



ATSC Candidate Standard: Signaling, Delivery, Synchronization, and Error Protection (A/331)

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Advanced Television Systems Committee
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The Advanced Television Systems Committee, Inc., is an international, non-profit organization developing voluntary standards for digital television. The ATSC member organizations represent the broadcast, broadcast equipment, motion picture, consumer electronics, computer, cable, satellite, and semiconductor industries.

Specifically, ATSC is working to coordinate television standards among different communications media focusing on digital television, interactive systems, and broadband multimedia communications. ATSC is also developing digital television implementation strategies and presenting educational seminars on the ATSC standards.

ATSC was formed in 1982 by the member organizations of the Joint Committee on InterSociety Coordination (JCIC): the Electronic Industries Association (EIA), the Institute of Electrical and Electronic Engineers (IEEE), the National Association of Broadcasters (NAB), the National Cable Telecommunications Association (NCTA), and the Society of Motion Picture and Television Engineers (SMPTE). Currently, there are approximately 120 members representing the broadcast, broadcast equipment, motion picture, consumer electronics, computer, cable, satellite, and semiconductor industries.

ATSC Digital TV Standards include digital high definition television (HDTV), standard definition television (SDTV), data broadcasting, multichannel surround-sound audio, and satellite direct-to-home broadcasting.

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This specification is being put forth as a Candidate Standard by the TG3/S33 Specialist Group. This document is an editorial revision of the Working Draft (S33-174r0) dated 8 December 2015. All ATSC members and non-members are encouraged to review and implement this specification and return comments to cs-editor@atsc.org. ATSC Members can also send comments directly to the TG3/S33 Specialist Group. This specification is expected to progress to Proposed Standard after its Candidate Standard period.

Revision History

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ATSC Candidate Standard: Signaling, Delivery, Synchronization, and Error Protection

1. SCOPE

This document specifies protocols used for delivery and synchronization of media and non-timed data in the ATSC 3.0 system.

1.1 Introduction and Background

This document specifies the technical mechanisms and procedures pertaining to service signaling and IP-based delivery of a variety of ATSC 3.0 services and contents to ATSC 3.0-capable receivers over broadcast, broadband and hybrid broadcast/broadband networks. The service signaling functionality defines the data formats and information components necessary to discover and acquire user services. The IP-based delivery functionality specifies two application transport protocols for the carriage of media content and service signaling data over broadcast and/or broadband networks to receivers. The delivery functionality also includes mechanisms for the synchronization of media components delivered on the same or different transport networks, and application-layer forward error correction methods that enable error-free reception and consumption of media streams or discrete file objects.

1.2 Organization

This document is organized as follows:

- Section 1 – Scope and organization
- Section 2 – Lists references and applicable documents.
- Section 3 – Provides a definition of terms, acronyms, and abbreviations for this document.
- Section 4 – System overview
- Section 5 – Service signaling overview
- Section 6 – Specification of low-layer signaling
- Section 7 – Specification of service-layer signaling
- Section 8 – Specification of delivery, synchronization, and AL-FEC
- Annex A – Real-time Object delivery over Unidirectional Transport (ROUTE)
- Annex B – Signaling instance examples
- Annex C – Filtering for signaling fragments
- Annex D – Template-based compression
- Annex E – Acquisition and Playback of Service Using MMTP
- Annex F – Rating Region Table Requirements
- Annex G – Emergency Alert Signaling

2. REFERENCES

All referenced documents are subject to revision. Users of this Standard are cautioned that newer editions might or might not be compatible.

2.1 Normative References

The following documents, in whole or in part, as referenced in this document, contain specific provisions that are to be followed strictly in order to implement a provision of this Standard.

- [1] 3GPP: TS 26.346 V12.4.0 (2014-12), "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; Multimedia Broadcast/Multicast Service (MBMS); Protocols and codecs (Release 12)."
- [2] ATSC: "Program and System Information Protocol for Terrestrial Broadcast and Cable," Document A/65:2013, Advanced Television Systems Committee, 7 August 2013.
- [3] ATSC: "Physical Layer Protocol," Document A/322, Advanced Television Systems Committee, *under development*.
- [4] ATSC: "Service Announcement," Document A/332, Advanced Television Systems Committee, *under development*.
- [5] ATSC A/153 Part 4, "ATSC- Mobile DTV Standard, Part 4: Announcement", Advanced Television Systems Committee, October 2009.
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2.2 Informative References

The following documents contain information that may be helpful in applying this Standard.

- [34] ATSC: “Application Runtime Environment,” Document A/344:201x, Advanced Television Systems Committee, Washington, D.C., [date]. (work in process)
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3. DEFINITION OF TERMS

With respect to definition of terms, abbreviations, and units, the practice of the Institute of Electrical and Electronics Engineers (IEEE) as outlined in the Institute’s published standards [7] shall be used. Where an abbreviation is not covered by IEEE practice or industry practice differs from IEEE practice, the abbreviation in question is described in Section 3.3 of this document.

3.1 Compliance Notation

This section defines compliance terms for use by this document:

shall – This word indicates specific provisions that are to be followed strictly (no deviation is permitted).

shall not – This phrase indicates specific provisions that are absolutely prohibited.

should – This word indicates that a certain course of action is preferred but not necessarily required.

should not – This phrase means a certain possibility or course of action is undesirable but not prohibited.

3.2 Treatment of Syntactic Elements

This document contains symbolic references to syntactic elements used in the audio, video, and transport coding subsystems. These references are typographically distinguished by the use of a different font (e.g., `restricted`), may contain the underscore character (e.g., `sequence_end_code`) and may consist of character strings that are not English words (e.g., `dynrng`).

3.2.1 Reserved Elements

One or more reserved bits, symbols, fields, or ranges of values (i.e., elements) may be present in this document. These are used primarily to enable adding new values to a syntactical structure without altering its syntax or causing a problem with backwards compatibility, but they also can be used for other reasons.

The ATSC default value for reserved bits is '1.' There is no default value for other reserved elements. Use of reserved elements except as defined in ATSC Standards or by an industry standards setting body is not permitted. See individual element semantics for mandatory settings and any additional use constraints. As currently-reserved elements may be assigned values and meanings in future versions of this Standard, receiving devices built to this version are expected to ignore all values appearing in currently-reserved elements to avoid possible future failure to function as intended.

3.3 Acronyms and Abbreviation

The following acronyms and abbreviations are used within this document.

3GPP – 3rd Generation Partnership Program

ALC – Asynchronous Layered Coding

AL-FEC – Application Layer Forward Error Correction

ATSC – Advanced Television Systems Committee

BMFF – Base Media File Format

BSID – Broadcast Stream ID

bslbf – bit string, left bit first

CENC – Common ENCryption

CVS – Coded Video Sequence

CRI – Clock Relation Information

CAP – Common Alerting Protocol

DASH – Dynamic Adaptive Streaming over HTTP

DASH-IF – DASH Industry Forum

DDE – Data Delivery Event

DMD – Dynamic MetaData

EA – Emergency Alert

EAA – Emergency Alert Application

EAS – Emergency Alert System

EB_n – Elementary Stream Buffer (nth instance)

EFDT – Extended File Delivery Table

eMBMS – enhanced Multimedia Broadcast/Multicast Service

EME – Encrypted Media Extensions

ESG – Electronic Service Guide

FDD – File Delivery Description

FEC – Forward Error Correction

FLUTE – File Delivery over Unidirectional Transport

HRBM – Hypothetical Receiver Buffer Model

HTML – Hyper Text Markup Language

HTML5 – Hyper Text Markup Language, rev 5
HTTP – Hypertext Transfer Protocol
HTTPS – Secure Hyper Text Transfer Protocol
IANA – Internet Assigned Numbers Authority
IDR – Instantaneous Decode Refresh
IETF – Internet Engineering Task Force
IP – Internet Protocol
ISO BMFF – ISO Base Media File Format
LCT – Layered Coding Transport
MA3 – MMT ATSC 3.0 Signaling Message
MBMS – Multimedia Broadcast/Multicast Service
LAN – Local Area Network
LLS – Low Level Signaling
MDE – Media Delivery Event
MIME – Multipurpose Internet Mail Extensions
MMT – MPEG Media Transport
MMTP – MPEG Media Transport Protocol
MPD – Media Presentation Description
MPI – Media Presentation Information
MPEG – Moving Pictures Experts Group
MPT – MMT Package Table
MPU – Media Processing Unit
MSB – Most Significant Bit
MSE – Media Source Extensions
NRT – Non-Real Time
OTI – Object Transmission Information
PAT – MPEG-2 Program Association Table
PLP – Physical Layer Pipe
RAP – Random Access Point
RFC – Request for Comments
ROUTE – Real-Time Object Delivery over Unidirectional Transport
RRT – Rating Region Table
SCT – Sender Current Time
SLS – Service Layer Signaling
SLT – Service List Table
S-TSID – Service-based Transport Session Instance Description
TBD – To Be Determined
TB_n – Transport Buffer (nth instance)
T-MDE – Transport Media Delivery Event
TOI – Transport Object Identifier
TOL – Transport Object Length
T-RAP – Transport Random Access Point

TSI – Transport Session Identifier
UDP – User Datagram Protocol
uimsbf – unsigned integer, most significant bit first
URI – Uniform Resource Identifier
UML – Unified Modeling Language
URL – Uniform Resource Locator
USB/USD – User Service Bundle Description / User Service Description
UTC – Universal Coordinated Time
W3C – Worldwide Web Consortium
WAN – Wide Area Network
XLink – XML Linking Language
XML – eXtensible Markup Language
XSL – eXtensible Stylesheet Language

3.4 Terms

The following terms are used within this document.

Asset – Any multimedia data entity that is associated with a unique identifier and that is used for building a multimedia presentation.

Broadcast Stream – The abstraction for an RF Channel which is defined in terms of a carrier frequency centered within a specified bandwidth.

Coded Video Sequence – Sequence parameter sets which apply to a series of consecutive coded video pictures.

Data Delivery Event (DDE) – A Data Delivery Event (DDE) is the result of a block based MAC/PHY delivering relevant contents of a specific physical layer block to a specific ROUTE session at specific time.

DASH Segment – Refers to a DASH Media Segment (per the DASH-IF [6] profile of MPEG DASH [42], clause 3.1.25).

LLS (Low Level Signaling) – Signaling information which supports rapid channel scans and bootstrapping of service acquisition by the receiver.

Media Delivery Event (MDE) – A Media Delivery Event (MDE) is the arrival of a collection of bytes that is meaningful to the upper layers of the stack for example the media player and decoder(s). MDE data blocks have delivery deadlines. The grouping of bytes that is a RAP is a “Delivery” in ROUTE and the arrival of these bytes is an “Event” at an upper layer. See Section 8.1.1.5.2 for further details.

Media Presentation – A collection of data that establishes a bounded or unbounded presentation of media content (per the DASH-IF [6] profile of MPEG DASH [42], clause 3.1.22).

MPI message – MMT signaling message containing an MPI Table.

MP Table – MMT Package Table containing information on MMT assets/content components.

MPI Table – MMT table containing presentation information.

Media Processing Unit – Generic container for independently decodable timed or non-timed data that is media codec agnostic.

MMT Package – Logical collection of media data, delivered using MMT.

MMT Protocol – Application layer transport protocol for delivering MMTP payload over IP networks.

MMTP Packet – Formatted unit of the media data to be delivered using the MMT protocol.

PLP (Physical Layer Pipe) – A portion of the RF channel which has certain modulation and coding parameters.

Random Access Point (RAP) – A Random Access Point is the starting byte of a sequence of data that allows an applicable media client and decoder to start.

reserved – Set aside for future use by a Standard.

Service – A collection of media components presented to the user in aggregate; components can be of multiple media types; a Service can be either continuous or intermittent; a Service can be Real Time or Non-Real Time; Real Time Service can consist of a sequence of TV programs.

Staggercast – A robustness feature that can be optionally added to audio components consisting of delivery of a redundant version of a main audio component, possibly coded with lower quality (lower bitrate, number of channels, etc.), and with a significant delay ahead of the audio with which it is associated. Receivers that support the Staggercast feature can switch to the Staggercast stream should main audio become unavailable. The delivery delay between Staggercast audio and main audio is chosen to be high enough to provide robustness thanks to sufficient time diversity between the two.

SLS (Service Layer Signaling) – Signaling which provides information for discovery and acquisition of ATSC 3.0 services and their content components.

SLT (Service List Table) – Table of signaling information which is used to build a basic service listing and provide bootstrap discovery of SLS.

S-TSID (Service-based Transport Session Instance Description) – An SLS XML fragment which provides the overall session description information for transport session(s) which carry the content components of an ATSC 3.0 service.

Transport Media Delivery Event (T-MDE) – A Transport Media Delivery Event is a Media Delivery Event wrapped in IP/UDP/ROUTE.

Transport Random Access Point (T-RAP) – A Transport Random Access Point (T-RAP) is the first byte of a Random Access Point as expressed in IP/UDP/ROUTE transport.

USBD/USD (User Service Bundle Description / User Service Description) – One of SLS XML fragment act as signaling hub which describes details of technical information for an ATSC 3.0 service.

3.5 Extensibility

The protocols specified in the present standard are designed with features and mechanisms to support extensibility. In general, the mechanisms include:

- Use of “protocol version” fields
- Definition of fields and values reserved for future use
- Use of XML, which is inherently extensible by means of future addition of new attributes and elements, potentially associated with different namespaces

Receiving devices are expected to disregard reserved values, and unrecognized or unsupported descriptors, XML attributes and elements.

3.6 XML Schema and Namespace

A number of new XML elements are defined and used in this Standard. These elements provide various service signaling elements and attributes defined in this standard (see for example Sections 6 Low Level Signaling, Section 7 Service Layer Signaling and Annex A ROUTE protocol). These

new XML elements are defined with separate namespaces in schema documents that accompany this standard. The namespaces used by various schemas are described in individual sections of the present document. The sub-string part of namespaces between the right-most two '/' delimiters indicate major and minor version of the schemas. The schemas defined in this present document shall have version '1.0', which indicates major version is 1 and minor version is 0.

In order to provide flexibility for future changes in the schema, decoders of XML documents with the namespaces defined in the present document should ignore any elements or attributes they do not recognize, instead of treating them as errors.

In the event of any discrepancy between the XML schema definitions implied by the tables that appear in this document and those that appear in the XML schema definition files, those in the XML schema definition files are authoritative and take precedence.

The XML schema document for the schemas defined in this document can be found at the ATSC website.

4. SYSTEM OVERVIEW

An overview of the signaling, delivery, synchronization, and application-layer FEC protocols specified in the present document is provided below, starting with a conceptual model of the system.

4.1 System Conceptual Model

A conceptual model of the system may be found in Figure 4.1.

Two methods of broadcast service delivery are specified in this Standard. The method depicted on the left side of Figure 4.1 is based on MPEG Media Transport (MMT), ISO/IEC 23008-1 [27] and uses MMT protocol (MMTP) to deliver Media Processing Units (MPU). The method shown in the center is based on the DASH-IF [6] profile of MPEG DASH [42] and uses Real-time Object delivery over Unidirectional Transport (ROUTE) to deliver DASH Segments. The ROUTE protocol is specified in Annex A. Non-timed content including NRT media, EPG data, and other files is delivered with ROUTE. Signaling may be delivered over MMTP and/or ROUTE, while bootstrap signaling information is provided by the means of the Service List Table (SLT).

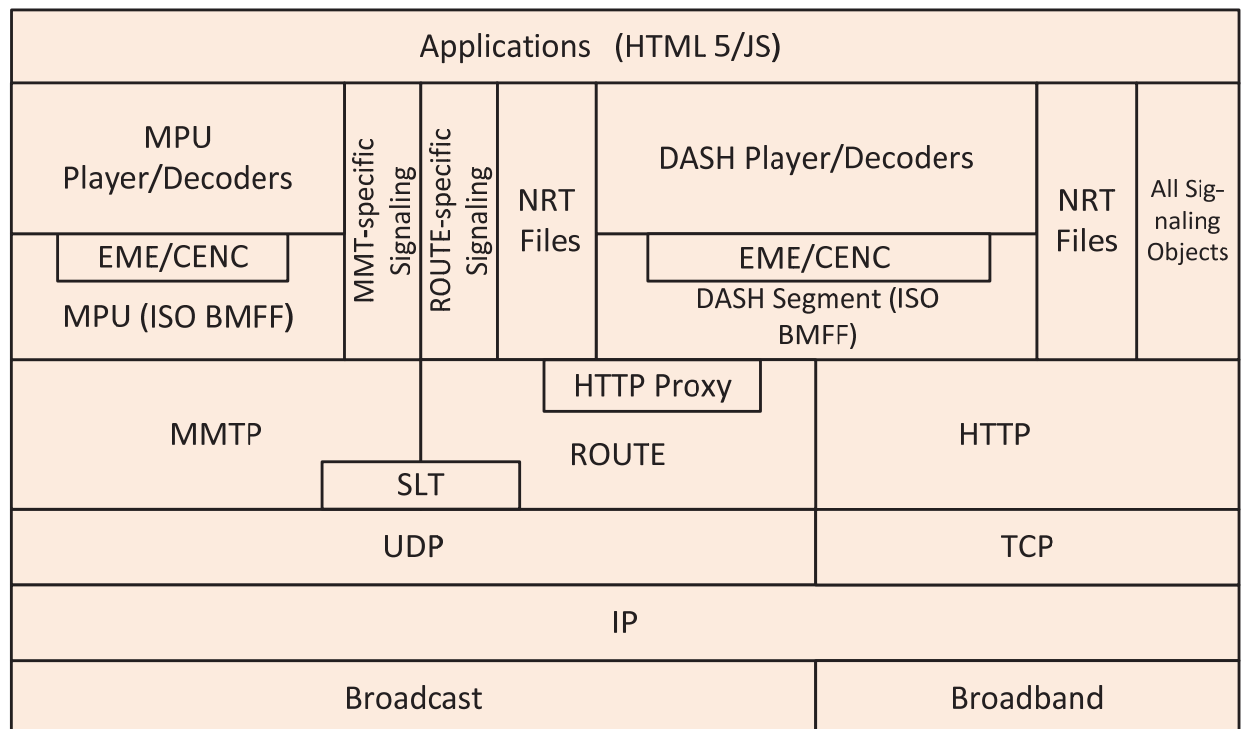


Figure 4.1 Conceptual protocol stack.

To support hybrid service delivery, in which one or more program elements are delivered via the broadband path, the DASH-IF [6] profile of MPEG DASH [42] over HTTP/TCP/IP is used on the broadband side. Media files in the DASH-IF [6] profile of ISO Base Media File Format (ISO BMFF) [41] are used as the delivery, media encapsulation and synchronization format for both broadcast and broadband delivery.

4.2 Features

The protocols specified herein provide support for system features including:

- Real-time streaming of broadcast media.
- Efficient and robust delivery of file-based objects.
- Support for fast Service acquisition by receivers (fast channel change).
- Support for hybrid (broadcast/broadband) Services.
- Highly efficient Forward Error Correction (FEC)
- Compatibility within the broadcast infrastructure. with formats and delivery methods developed for (and in common use within) the Internet.
- Support for DRM, content encryption, and security.
- Support for Service definitions in which all components of the Service are delivered via the broadband path (note that acquisition of such Services still requires access to the signaling delivered in the broadcast).
- Signaling to support state-of-the-art audio and video codecs.
- Non-real-time delivery of media content.

- Non-multiplexed delivery of Service components (e.g., video and audio in separate streams).
- Support for adaptive streaming on broadband-delivered streaming content.
- Appropriate linkage to application-layer features such as ESG and the ATSC 3.0 Runtime Environment.

5. SERVICE SIGNALING OVERVIEW

5.1 Receiver Protocol Stack

ATSC 3.0 services are delivered using three functional layers. These are the Physical layer, the Delivery layer and the Service Management layer. The Physical layer provides the mechanism by which signaling, service announcement and IP packet streams are transported over the Broadcast Physical layer and/or Broadband Physical layer. The Delivery layer provides object and object flow transport functionality. It is enabled by the MPEG Media Transport Protocol (MMTP) as defined in [27] or the Real-Time Object Delivery over Unidirectional Transport (ROUTE) protocol as defined in the present document, operating on a UDP/IP multicast over the Broadcast Physical layer, and enabled by the HTTP protocol [10] on a TCP/IP unicast over the Broadband Physical layer. The Service Management layer enables any type of service, such as linear TV or HTML5 application service, to be carried by the underlying Delivery and Physical layers. Figure 5.1 shows the ATSC 3.0 receiver protocol stack.

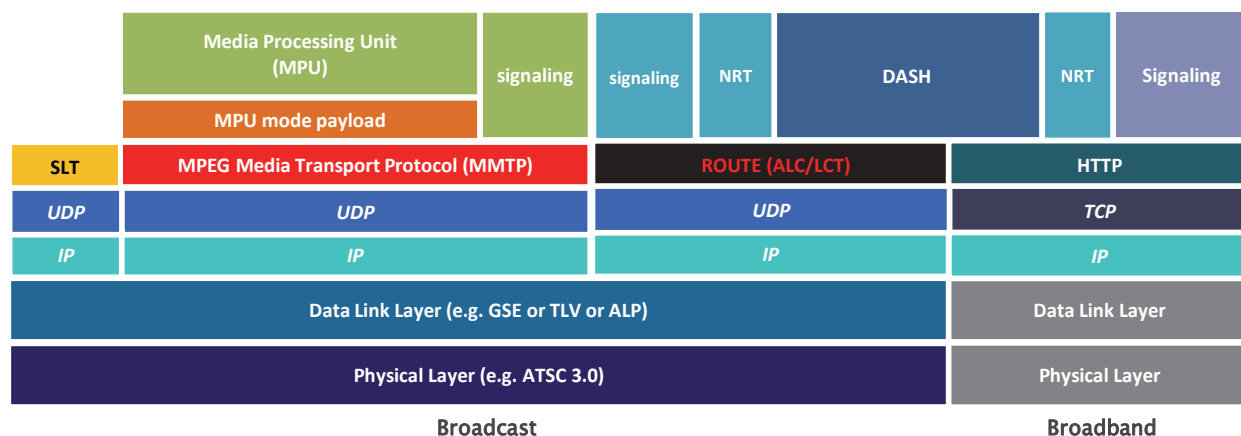


Figure 5.1 ATSC 3.0 receiver protocol stack.

Service Signaling provides service discovery and description information, and comprises two functional components: Bootstrap signaling via the Service List Table (SLT) and the Service Layer Signaling (SLS). These represent the information which is necessary to discover and acquire user services. The SLT, as described in Section 6.3, enables the receiver to build a basic service list, and bootstrap the discovery of the SLS for each ATSC 3.0 service.

The SLT can enable very rapid acquisition of basic service information. The SLS, as described in Section 7, enables the receiver to discover and access ATSC 3.0 services and their content components. The relationship between SLT and SLS for ROUTE Signaling (for ROUTE/DASH services) and the relationship between SLT and SLS for MMT Signaling (for services using MMT/MPU streaming) is shown in Figure 5.2 below.

For ROUTE/DASH services delivered over broadcast, the SLS is carried by ROUTE/UDP/IP in one of the LCT transport channels comprising a ROUTE session, at a suitable carousel rate to support fast channel join and switching. For MMT/MPU streaming delivered over broadcast, the SLS is carried by MMTP Signaling Components, at a suitable carousel rate to support fast channel join and switching. In broadband delivery, the SLS is carried over HTTP(S)/TCP/IP.

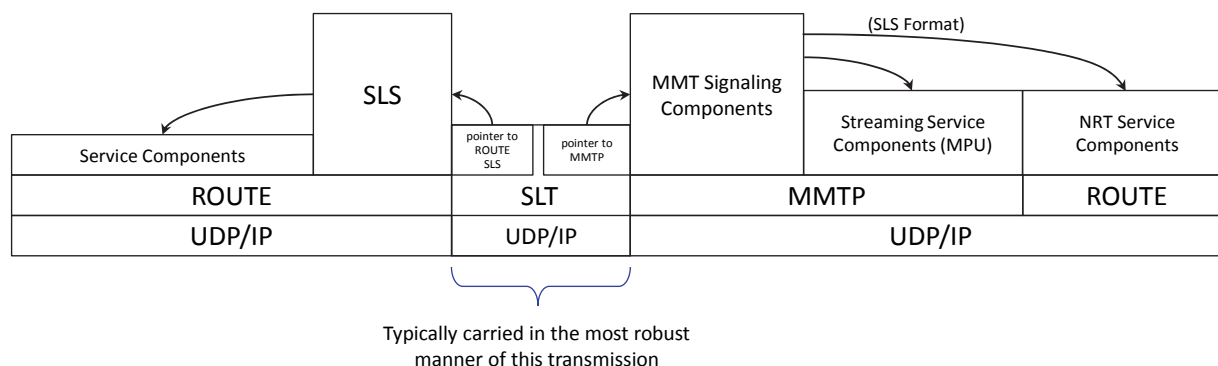


Figure 5.2 Service List Table References to Services.

5.2 Entity Relationships and Addressing Architecture

Figure 5.3 shows the ATSC 3.0 Service Management, Delivery and Physical layer logical entities and their relationships.

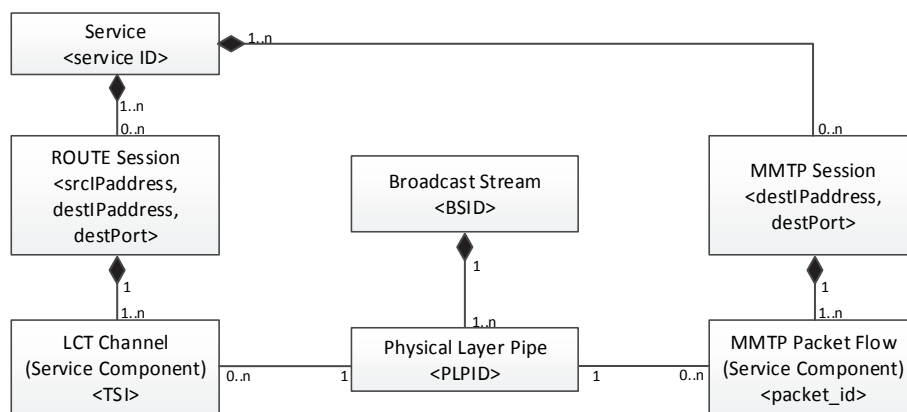


Figure 5.3 UML Diagram showing relationships among Service Management, Delivery, and Physical Layer entities.

Five basic types of ATSC 3.0 services are currently defined:

- 1) A “linear audio/video service” provides a video content element and one or more audio content elements associated with the video content streamed in real time. Linear A/V services may also have app-based enhancements.
- 2) A “linear audio-only service” provides one or more audio content elements and no video content elements streamed in real time. Linear audio-only services may also have app-based enhancements.
- 3) An “app-based service” is a service whose presentation and composition is entirely controlled by a downloaded application that is executed upon acquisition of the service.

- 4) An “ESG service (program guide) service” provides Electronic Service Guide information.
- 5) An “Emergency Alert (EA) service” provides emergency alerting information (text and, if available, associated video and/or audio content).

These service types correspond to the values of `SLT.Service@serviceCategory`. New types of ATSC 3.0 services may be defined in future versions of this standard

The rules regarding presence of ROUTE sessions and/or MMTP sessions for carrying the content components of an ATSC 3.0 service shall be as follows:

- a) For a broadcast delivery of a Linear service without app-based enhancement, the service’s content components are carried by either (but not both):
 - One or more ROUTE sessions, or
 - One or more MMTP sessions.
- b) For broadcast delivery of a Linear service with app-based enhancement, the service’s content components are carried by:
 - One or more ROUTE sessions, and
 - Zero or more MMTP sessions.

Use of both MMTP and ROUTE for streaming media components in the same service shall be disallowed.

- c) For broadcast delivery of an App-based service, the service’s content components are carried by:
 - One or more ROUTE sessions.

Each ROUTE session comprises one or more LCT channels which carry as a whole, or in part, the content components that make up the ATSC 3.0 service. In streaming services delivery, an LCT channel may carry an individual component of a user service such as an audio, video or closed caption stream. Streaming media is formatted per the DASH-IF [6] profile of MPEG DASH [42] as DASH Segments.

Each MMTP session comprises one or more MMTP packet flows which carry MMT signaling messages or as a whole, or in part, the content component. An MMTP packet flow may carry MMT signaling messages or components formatted per MMT [27] as MPUs.

For the delivery of NRT User Services or system metadata, an LCT channel carries file-based content items. These content files may consist of continuous (time-based) or discrete (non-time-based) media components of an NRT service, or metadata such as Service Signaling or ESG [4] fragments. Delivery of Service Signaling may also be achieved through the Signaling Message mode of MMTP as per Section 7.2.3.

A Broadcast Stream is the abstraction for an RF Channel, which is defined in terms of a carrier frequency centered within a specified bandwidth. It is identified by the pair [geographic area, frequency]. A Physical Layer Pipe (PLP) corresponds to a portion of the RF channel. Each PLP has certain modulation and coding parameters. It is identified by a PLP identifier (PLPID), which is unique within the Broadcast Stream it belongs to.

Each service is identified by two forms of service identifier: a compact form that is used in the SLT and is unique only within the broadcast area, and a globally unique form that is used in the SLS and the ESG. A ROUTE Session is identified by a source IP Address, destination IP Address and destination port number. An LCT channel (associated with the service component(s) it carries) is identified by a Transport Session Identifier (TSI) which is unique within the scope of the parent ROUTE session. Properties common to the LCT channels, and certain properties unique to

individual LCT channels, are given in a ROUTE signaling structure called a Service-based Transport Session Instance Description (S-TSID), which is part of the Service Layer Signaling. Each LCT channel is carried over a single Physical Layer Pipe. Different LCT channels of a ROUTE session may or may not be contained in different Physical Layer Pipes. The properties described in the S-TSID include the TSI value and PLPID for each LCT channel, descriptors for the delivery objects/files, and Application Layer FEC parameters.

A MMTP Session is identified by destination IP Address and destination port number. An MMTP packet flow (associated with the service component(s) it carries) is identified by a `packet_id` which is unique within the scope of the parent MMTP session. Properties common to each MMTP packet flow, and certain properties of MMTP packet flows, are given in the SLT. Properties for each MMTP session are given by MMT signaling messages, which may be carried within the MMTP session.

6. LOW LEVEL SIGNALING

Signaling information which is carried in the payload of IP packets with a well-known address/port dedicated to this function is referred to as Low Level Signaling (LLS).

In this document, the Service List Table (SLT) is specified as the LLS. The function of the SLT is similar to that of the Program Association Table (PAT) in MPEG-2 Systems [25], and the Fast Information Channel (FIC) found in ATSC A/153, Part 3 [37]. For a receiver first encountering the broadcast emission, this is the place to start. It supports a rapid channel scan which allows a receiver to build a list of all the services it can receive, with their channel name, channel number, etc., and it provides bootstrap information that allows a receiver to discover the SLS for each service. For ROUTE/DASH-delivered services, the bootstrap information includes the destination IP address and destination port of the LCT channel that carries the SLS. For MMT/MPU-delivered services, the bootstrap information includes the destination IP address and destination port of the MMTP session carrying the SLS.

6.1 IP Address Assignment

LLS shall be transported in IP packets with address 224.0.23.60 and destination port 4937/udp.¹ All IP packets other than LLS IP packets shall carry a Destination IP Address either (a) allocated and reserved by a mechanism guaranteeing that the destination addresses in use are unique in a geographic region², or (b) in the range of 239.255.0.0 to 239.255.255.255³, where the bits in the third octet shall correspond to a value of **SLT.Service@majorChannelNo** registered to the broadcaster for use in the Service Area⁴ of the broadcast transmission, with the following caveats:

¹ IANA has assigned this multicast address to atsc-mh-ssc and this port address as AtscSvcSig, although these packets are not intended for distribution over a LAN or WAN subsequent to reception.

² For a destination IP address and port number pair to be “unique in a geographic region,” the broadcaster must assure that no receiver in the Service Area of the broadcast can receive content (from a different broadcast emission) using that same IP address/port combination.

³ This IP address range is the “IPv4 Local Scope,” per RFC 2365 [ref], Section 6.1.

⁴ Assignments for major channel numbers in the range 2–69 follow the rules in A/65 [ref] Annex B. Management of assignment of major channel numbers above 69 are TBD.

- If a broadcast entity operates transmissions carrying different Services on multiple RF frequencies with all or a part of their service area in common, each IP address/port combination shall be unique across all such broadcast emissions;
- In the case that multiple LLS streams (hence, multiple SLTs) are present in a given broadcast emission, each IP address/port combination in use for non-LLS streams shall be unique across all Services in the aggregate broadcast emission;
- To minimize the impacts on the complexity of redistribution of multicast IP packets in local networks, the total number of IP multicast addresses/ports in use by a given service should be minimized.

Some examples:

- a) A broadcaster whose Services in the SLT all have `majorChannelNo` equal to 50 may use UDP multicast Destination IP Addresses in the range of 239.255.50.0 – 239.255.50.255.
- b) A broadcaster whose SLT signals that some Services are associated with `majorChannelNo` 50 and some with `majorChannelNo` 89 may use 50 for the third octet of the IP address for all Services.
- c) Two broadcaster entities share one ATSC 3.0 emission. One specifies an SLT defining a Service on Virtual Channel 52.1; the other specifies an SLT defining a Service on Virtual Channel 48.1. Each may use their respective `majorChannelNo` as the third octet of the IP multicast address.
- d) A broadcaster who operates an ATSC 3.0 emission on RF channel 58 carrying Services with Virtual Channel Numbers 58.1 and 58.2, and also an ATSC 3.0 emission on RF channel 60 carrying Services with Virtual Channel Numbers 58.3 and 58.4, may use 58 for the third octet of all IP addresses used on the two emissions. In this case, all UDP multicast Destination IP Addresses must be unique across the two emissions.

6.2 LLS Table Format

UDP/IP packets delivering LLS data shall be formatted per the bit stream syntax given in Table 6.1 below. The first byte of every UDP/IP packet carrying LLS data shall be the start of an `LLS_table()`. The maximum length of any LLS table is limited by the largest IP packet that can be delivered from the PHY layer, 65,507 bytes⁵.

⁵ The maximum size of the IP datagram is 65,535 bytes. The maximum UDP data payload is 65,535 minus 20 bytes for the IP header minus 8 bytes for the UDP header.

Table 6.1 Common Bit Stream Syntax for LLS Tables

Syntax	No. of Bits	Format
LLS_table() {		
LLS_table_id	8	uimsbf
provider_id	8	uimsbf
LLS_table_version	8	uimsbf
switch (LLS_table_id) {		
case 0x01:		
SLT	var	Sec. 6.3
break;		
case 0x02:		
RRT	var	See Annex F
break;		
case 0x03:		
SystemTime	var	Sec. 6.4
break;		
case 0x04:		
CAP	var	Sec. 6.5
break;		
default:		
reserved	var	
}		
}		

LLS_table_id – An 8-bit unsigned integer that shall identify the type of table delivered in the body.

provider_id – An 8-bit unsigned integer that shall identify the provider that is associated with the services signaled in this instance of LLS_table(), where a “provider” is a broadcaster that is using part or all of this broadcast stream to broadcast services. The provider_id shall be unique within this broadcast stream.

LLS_table_version – An 8-bit unsigned integer that shall be incremented by 1 whenever any data in the table identified by table_id changes. When the value reaches 0xFF, the value shall wrap to 0x00 upon incrementing.

SLT – The XML format Service List Table (Section 6.3), compressed with gzip [8].

RRT – An instance of a Rating Region Table conforming to the structure specified in Annex F, compressed with gzip [8].

SystemTime – The XML format System Time fragment (Section 6.4), compressed with gzip [8].

CAP – The XML format Common Alerting Protocol fragment (Section 6.5) compressed with gzip [8].

6.3 Service List Table (SLT)

The SLT supports rapid channel scans and service acquisition by including the following information about each service in the broadcast stream:

- Information necessary to allow the presentation of a service list that is meaningful to viewers and that can support initial service selection via channel number or up/down selection.
- Information necessary to locate the Service Layer Signaling for each service listed.

The SLT shall be represented as an XML document containing a **SLT** root element that conforms to the definitions in the XML schema that has namespace:

`http://www.atsc.org/XMLSchemas/ATSC3/Delivery/SLT/1.0/`

The definition of this schema is in a schema file accompanying this standard, as described in Section 3.6 above.

6.3.1 SLT Syntax Description

While the indicated XML schema specifies the normative syntax of the **SLT** element, informative Table 6.2 below describes the structure of the **SLT** element in a more illustrative way. The specifications following the table give the semantics of the elements and attributes.

Table 6.2 SLT XML Format (*next page*)

Element or Attribute Name	Use	Data Type	Short Description
SLT			Root element of the SLT
@bsid	1	unsignedShort	Identifier of the entire Broadcast Stream.
@sltCapabilities	0..1	string	Required capabilities for decoding and meaningfully presenting the content for all the services in this SLT instance.
sltInetUrl	0..1	anyURI	Base URL to acquire ESG or service layer signalling files available via broadband for services in this SLT.
@urlType	1	unsignedByte	Type of files available with this URL
Service	1..N		Service information
@serviceId	1	unsignedShort	Integer number that identifies this Service within the scope of this Broadcast area.
@sltSvcSeqNum	1	unsignedByte	Version of SLT service info for this service.
@protected	0..1	boolean	Indicates whether one or more components needed for meaningful presentation of this service are protected.
@majorChannelNo	0..1	1..999	Major channel number of the service
@minorChannelNo	0..1	1..999	Minor channel number of the service
@serviceCategory	1	unsignedByte	Service category, coded per Table 6.4
@shortServiceName	0..1	string	Short name of the Service
@hidden	0..1	boolean	Indicates whether the service is intended for testing or proprietary use, and is not to be selected by ordinary TV receivers.
@broadbandAccessRequired	0..1	boolean	Indicates whether broadband access is required for a receiver to make a meaningful presentation of the service.
@svcCapabilities	0..1	string	Required capabilities for decoding and meaningfully presenting content of this service.
BroadcastSvcSignaling	0..1		Location, protocol, address, id information for broadcast signaling
@slsProtocol	1	unsignedByte	Protocol used to deliver the service layer signalling for this service
@slsMajorProtocolVersion	1	unsignedByte	Major version number of protocol used to deliver Service Layer Signalling for this service.
@slsMinorProtocolVersion	1	unsignedByte	Minor version number of protocol used to deliver Service Layer Signalling for this service.
@slsPlpId	0..1	unsignedByte	PLP ID of the physical layer pipe carrying the broadcast SLS for this service.
@slsDestinationIpAddress	1	string	A string containing the dotted-IPv4 destination address of the packets carrying broadcast SLS data for this service.
@slsDestinationUdpPort	1	unsignedShort	Port number of the packets carrying broadcast SLS data for this service.
@slsSourceIpAddress	1	string	A string containing the dotted-IPv4 source address of the packets carrying broadcast SLS data for this service.
svcInetUrl	0..N	anyURI	URL to access Internet signalling for this service
@urlType	1	unsignedByte	Type of files available with this URL

6.3.2 SLT Semantics

The following text specifies the semantics of the elements and attributes in the SLT.

SLT – Root element of the SLT.

- @bsid** – Identifier of the whole Broadcast Stream. The value of **bsid** shall be unique on a regional level (for example, North America). An administrative or regulatory authority may play a role.
- @sltCapabilities** – Required capabilities for decoding and meaningfully presenting the content for all the services in this **SLT** instance. The syntax and semantics of the **sltCapabilities** attribute shall follow the syntax and semantics of the **atsc:capabilities** element specified under the Content fragment of the ATSC 3.0 Service Announcement specification [4].
- sltIneturl** – Base URL to acquire ESG or service layer signaling files for all services in this **SLT** via broadband, if available.
- @urlType** – Type of files available with the **sltIneturl** (ESG or signaling). See Table 6.3 for values.

Table 6.3 Code Values for **urlType**

urlType	Meaning
0	Not specified
1	URL of Signaling server
2	URL of ESG server
3	URL of Service Usage Data Gathering Report server
other values	Reserved for future use

Service – Service information.

- @serviceId** – 16-bit integer that shall uniquely identify this Service within the scope of this Broadcast area.
- @sltSvcSeqNum** – This integer number shall indicate the sequence number of the SLT service information with service ID equal to the **serviceId** attribute above. **sltSvcSeqNum** value shall start at 0 for each service and shall be incremented by 1 every time any attribute or child of this **Service** element is changed. If no attribute or child element values are changed compared to the previous **Service** element with a particular value of **serviceId** then **sltSvcSeqNum** shall not be incremented. The **sltSvcSeqNum** field shall wrap back to 0 after reaching the maximum value.
- @protected** – When set to “true” indicates that one or more components necessary for meaningful presentation is protected. When set to “false”, indicates that no components necessary for meaningful presentation of the service are protected. Default value is false.
- @majorChannelNo** – An integer number in the range 1 to 999 that shall represent the “major” channel number of the service. Assignment of major channel numbers shall follow the guidelines given in A/65 Annex B [2] in order to guarantee that the two-part channel number combinations used by a licensee of an ATSC 3.0 broadcast will be different from those used by any other such licensee with an overlapping DTV Service Area. Note that an ATSC 3.0 broadcast Service may use the same two-part channel number combination in use in an ATSC A/53 broadcast within the DTV Service Area, given equivalent programming between the two. Specification of a **@majorChannelNo** is not required for services that are not intended to be selected directly by viewers, such as an ESG data delivery service or an EAS rich media delivery service.
- @minorChannelNo** – An integer number in the range 1 to 999 that shall represent the “minor” channel number of the service. This number is not required for services that are not intended

to be selected directly by viewers, such as an ESG data delivery service or an EAS rich media delivery service.

@serviceCategory – 8-bit integer that indicates the category of this service. The value shall be coded according to Table 6.4.

Table 6.4 Code Values for SLT.Service@serviceCategory

serviceCategory	Meaning
0	Not specified
1	Linear A/V service
2	Linear audio only service
3	App-based service
4	ESG service (program guide)
5	EAS service (emergency alert)
other values	Reserved for future use

@shortServiceName – Short name of the Service (up to 7 characters). This name is not required for services that are not intended to be selected directly by viewers, such as an ESG data delivery service or an EAS rich media delivery service.

@hidden – Boolean value that when present and set to “true” shall indicate that the service is intended for testing or proprietary use, and is not intended to be selected by ordinary TV receivers. The default value shall be “false” when not present.

@broadbandAccessRequired – A Boolean indicating that broadband access is required for a receiver to make a meaningful presentation of the service. Default value is false.

@svccapabilities – Required capabilities for decoding and meaningfully presenting the content for the service with service ID equal to the serviceId attribute above. The syntax and semantics of the svccapabilities element shall follow the syntax and semantics of the atsc:capabilities element specified under the Content fragment of the ATSC 3.0 Service Announcement specification [4].

BroadcastSvcSignaling – This element and its attributes provides broadcast signaling related information. When the BroadcastSignaling element is not present, the element svcIneturl of the parent service element (i.e. Service.svcIneturl element) shall be present and attribute urlType of svcIneturl (i.e. Service.svcIneturl@urlType attribute) shall include value 1 (URL to signaling server), or the element sltIneturl shall be present as a child element of the SLT root element (i.e. SLT.sltIneturl element) and its attribute urlType (i.e. SLT.sltIneturl@urlType attribute) shall include value 1 (URL to signaling server) and shall support the <service_id> path term where service_id corresponds to the serviceId attribute for the parent service element (i.e. Service@serviceId attribute) of this BroadcastSvcSignaling element.

@slsProtocol – An attribute indicating the type of protocol of Service Layer Signaling used by this service, coded according to Table 6.5.

Table 6.5 Code Values for SLT.Service@s1sProtocol

s1sProtocol	Meaning
0	Reserved
1	ROUTE
2	MMTP
other values	Reserved for future use

@s1sMajorProtocolVersion – Major version number of the protocol used to deliver the Service Layer Signaling for this service. Default value is 1.

@s1sMinorProtocolVersion – Minor version number of the protocol used to deliver the Service Layer Signaling for this service. Default value is 0.

@s1sPlpId – Integer number indicating the PLP ID of the physical layer pipe carrying the SLS for this service. PLP ID shall be as specified in A/322 [3].

@s1sDestinationIpAddress – A string containing the dotted-IPv4 destination address of the packets carrying SLS data for this service.

@s1sDestinationUdpPort – Port number of the packets carrying SLS data for this service.

@s1sSourceIpAddress – A string containing the dotted-IPv4 source address of the packets carrying SLS data for this service.

svcInetUrl – Base URL to access ESG or service layer signaling files for this service via broadband, if available.

@urlType – Type of files available with **svcInetUrl**. See Table 6.3 for values.

6.4 System Time Fragment

System time is delivered in the ATSC PHY layer as a 32-bit count of the number of seconds since January 1, 1970 00:00:00, International Atomic Time (TAI), which is the Precision Time Protocol (PTP) epoch as defined in IEEE 1588 [38]. Further time-related information is signaled in the XML **systemTime** element delivered in LLS.

System Time shall be represented as an XML document containing a **systemTime** root element that conforms to the definitions in the XML schema that has namespace:

<http://www.atsc.org/XMLSchemas/ATSC3/Delivery/SYSTIME/1.0/>

The definition of this schema is in a schema file accompanying this standard, as described in Section 3.6 above.

An LLS packet including **systemTime** shall be included no more frequently than once per second and no less often than once every five seconds.

While the indicated XML schema specifies the normative syntax of the **systemTime** element, informative Table 6.6 below describes the structure of the **systemTime** element in a more illustrative way. The specifications following the table give the normative semantics of the elements and attributes.

Table 6.6 systemTime Element Structure

Element or Attribute Name	Use	Data Type	Description
systemTime	1		
@currentUtcOffset	1	unsignedByte	The current offset in whole seconds between TAI and UTC.
@ptpPrepend	0..1	unsignedShort	Signals the upper 16 bits of the 48-bit count of PTP seconds.
@leap59	0..1	boolean	Indicates a pending 59-second leap second event
@leap61	0..1	boolean	Indicates a pending 61-second leap second event
@utcLocalOffset	1	duration	Indicates the offset between the local time zone of the originating broadcast station, and UTC.
@dsStatus	0..1	boolean	Indicates that Daylight Saving Time is in effect
@dsDayOfMonth	0..1	unsignedByte (range 1..31)	Indicates the local day of the month on which the transition into or out of daylight saving time is to occur.
@dsHour	0..1	unsignedByte (range 0..24)	Indicates the local hour at which the transition into or out of daylight saving time is to occur (0–24).

systemTime – Root element.

@currentUtcOffset – This unsigned integer shall indicate the current offset in whole seconds between TAI and UTC. Required.

@ptpPrepend – This unsigned integer shall indicate, when present, the upper 16 bits of the 48-bit count of PTP seconds. When not present, the value shall be understood to be zero.

@leap59 – When present and set to “true”, shall indicate that the last minute of the current UTC day contains 59 seconds. Default value is “false”

@leap61 – When present and set to “true”, shall indicate that the last minute of the current UTC day contains 61seconds. Default value is “false”.

@utcLocalOffset – This attribute shall indicate the offset between UTC and the time zone of the originating broadcast station. As a receiver may be located in a time zone adjacent to that of the broadcast transmitter, this offset is not directly usable to establish the time zone local to a given receiver. The @utcLocalOffset may be used to convert the value given in @dsHour to the time of day local to the receiver. Example: for a broadcaster whose transmitter is located in the U.S. West Coast, when Daylight Saving is not in effect, @utcLocalOffset = “-P8H”. For a broadcaster in Venezuela, @utcLocalOffset = “-P4H30M”.

@dsStatus – When set to “true”, shall indicate that Daylight Saving Time is in effect in effect at the transmitter location. When set to “false”, shall indicate that Daylight Saving Time is not in effect in effect at the transmitter location. Shall be included whenever Daylight Saving Time is in effect in effect at the transmitter location. Default value when not present shall be “false”.

@dsDayOfMonth – This unsigned integer value in the range 1 to 31 shall indicate, when present, that a transition into or out of daylight saving time is to occur during the present month, and the local day of the month on which it to occur. @dsDayOfMonth shall be included whenever there will be a Daylight Saving Time transition during the current month. @dsDayOfMonth shall be omitted whenever there will not be a Daylight Saving Time transition during the current month.

@dsHour – Shall indicate the hour at which the transition into or out of daylight saving time is to occur (0–24), in the time zone of the originating broadcast station. Such transitions usually

occur at 2 a.m. in the U.S. @dsHour shall be included whenever @dsDayOfMonth is present and shall not be included whenever @dsDayOfMonth is not present.

Table 6.7 below illustrates the progression of states of signaling Daylight Saving Time throughout the year.

Table 6.7 Daylight Saving Signaling Throughout the Year

Conditions	dsStatus	dsDayOfMonth	dsHour
At the beginning of the year (January) daylight saving is off.	not present ("false")	not present	not present
This is the status of the fields until:			
When the transition into daylight saving time is between one day less than one month away and the actual transition, dsDayOfMonth takes the value day_in, and the dsHour field takes the value hour_in. The dsStatus attribute is not present, indicating it is not yet Daylight Saving Time. (The transition is to occur on the day_in day of the month at hour=hour_in; for example, if the transition were on April 15 at 2 a.m., then day_in=15 and hour_in=2.)	not present ("false")	day_in	hour_in
This is the status of the fields until:			
After all time zone daylight transitions (within the span of the network) have occurred, dsStatus is present and set to "true", indicating that daylight saving time is on. Attributes dsDayOfMonth and dsHour are not present. (In the U.S., this transition occurs no later than 7 p.m. Pacific Time on the day day_in).	"true"	not present	not present
This is the status of the fields until:			
When the transition out of daylight saving time is between one day less than one month away and the actual transition, the dsDayOfMonth field takes the value day_out, and dsHour takes the value hour_out. The dsStatus is present and set to "true", indicating it is still Daylight Saving Time. (The transition is to occur on the day_out day of the month at hour=hour_out; for example, if the transition were on October 27 at 2 a.m., then day_out=27 and hour_out=2)	"true"	day_out	hour_out
This is the status of the fields until:			
After all time zones (within the span of the network) have shifted out of daylight saving time, dsStatus takes the value "false" (or is not present), indicating that daylight saving time is off. Attributes dsDayOfMonth and dsHour are not present. (In the U.S., this transition occurs no later than 7 p.m. Pacific Time on the day day_out).	not present ("false")	not present	not present
This finishes the cycle.			

6.5 Common Alerting Protocol Message

Low Level Signaling may deliver CAP messages as defined in [32].

Note: possible ATSC-defined extensions to the CAP message defined in [32] are under discussion.

6.6 Broadband Delivery of Signaling Metadata

When an s1tIneturl with URLtype attribute value "1" is present, it can be used as a base URL to make HTTP requests for signaling metadata. The desired signaling objects to be returned are indicated by appending path terms to the base URL (rather than using query terms). This makes the retrieval of the signaling objects more efficient from the server standpoint, since no server side application is required to retrieve the desired objects. Each retrieval simply fetches a file. To make

such a request, the GET method is used, and the path appended to the end of the base URL contains terms indicating the desired signaling object or objects, as indicated in Table 6.8 below.

Table 6.8 Path Terms, in Order of Appearance in Path

Terms	Meaning
<service_id>	Identifies desired service
normal diff template	Identifies desired mode of files
current next	Identifies desired current/next version
ALL RD USBID STSID MPD MMT MPT PAT MPIT CRIT DCIT AST EMT AEI	Identifies desired type of object(s)

When an `sltInetUrl` with `urltype` attribute "1" base URL appears (at the SLT level), the `service_id` term is used to indicate the service to which the requested signaling metadata objects apply. If the `service_id` term is not present, then the signaling metadata objects for all services in the section are requested.

The `normal/diff/template` term indicates whether the normal form of the metadata object(s), the `diff` form of the metadata object(s), or the `template` form of the metadata object(s) is requested. If the normal form is desired, the `normal` term shall be omitted.

The `current/next` term indicates whether the current version of the metadata object(s) or the next version of the metadata object(s) after the current version is requested. If the current version is desired, then the `current` term shall be omitted.

The fourth term is used to indicate which type of metadata object(s) are desired. The supported types are listed in Table 6.9 below, with their descriptions.

Table 6.9 Metadata Object Types

Name	Values
ALL	All metadata objects for requested service(s)
RD	All ROUTE/DASH metadata objects for requested service(s)
MMT	All MMT metadata objects for requested service(s)
USBID	USBID for requested service(s)
STSID	S-TSID for requested service(s)
MPD	DASH MPD for requested service(s)
PAT	MMT Package Access Table for requested service(s)
MPT	MMT Package Table for requested service(s)
MPIT	MMT Media Presentation Information Table for requested service(s)
CRIT	MMT Clock Relation Information Table for requested service(s)
DCIT	MMT Device Capabilities Information Table for requested service(s)
AST	Application Signaling Table for requested service(s)
EMT	ROUTE/DASH Event Messages Table for requested service(s)
AEI	MMT Application Event Information for requested service(s)

Some examples of the URL for an HTTP request for signaling metadata objects would be:

`<sltInetUrl urltype="1">/0x2107/RD` – returns the current, normal version of all ROUTE/DASH signaling objects for service with `service_id` 0x2107

`<sltInetUrl URLtype="1">/0x2103/next/MPD` – returns the next, normal version of the MPD for service with `service_id 0x2103`

`<sltInetUrl URLtype="1">/0x2104template/AST` – returns the current, template version of the AST for service with `service_id 0x2104`

When an `svcInetUrl` with `URLtype` attribute "1" appears (at the service layer), then the same paths can be appended to the end of it, with the same semantics, except that no service term shall appear, since it is not needed to designate the desired service.

The response body for those HTTP requests shall include an MBMS metadata envelope per Section 11.1.3 of [1] containing an `item` element for each signaling object included in the response. Either zero or one of the signaling objects may be embedded in its `item` element, as specified in the MBMS standard [36]. Any signaling objects that are not embedded shall be referenced in their `item` elements, and they shall be packaged together with the metadata envelope in a multi-part MIME message, in the order in which they are referenced. The `validFrom` and `validUntil` attributes of the `item` elements should be present, to indicate the interval of validity of each signaling object.

The `item` element of the MBMS metadata envelope shall be extended by the addition of the following attribute, defined in an ATSC namespace:

```
<xs:attribute name="nextUrl" type="xs:anyURI" use="optional"/>
```

When present, the URL given by this attribute shall be the URL of the next scheduled update to the signaling object described in the `item` element.

Thus, when the `validUntil` time approaches for a signaling object that was acquired via broadband, the device can acquire the next scheduled update to the signaling object by making an HTTP GET request with the URL given by the `nextUrl` attribute in the `item` element that was used to represent the signaling object in the metadata envelope.

If an unscheduled update is made to a signaling object, a dynamic event will be issued announcing the update, as specified in the ATSC 3.0 Application Signaling and Triggers Standard [35]. A device can then acquire the updated signaling object, using the URL in the data attribute of the dynamic event.

When an `sltInetUrl` with `URLtype` attribute "2" is present, the URL given by this element can be used to retrieve ESG data via broadband for all services in the SLT. When a `svcInetUrl` with `URLtype` attribute "1" is present, the URL given by this attribute can be used to retrieve ESG data via broadband for the service with the same `serviceId` as the service element in which the `svcInetUrl` appears. In both cases the URL is used for queries as specified in the ATSC 3.0 Service Announcement Standard [4].

7. SERVICE LAYER SIGNALING

For ROUTE/DASH, the SLS for each service describes characteristics of the service, such as a list of its components and where to acquire them, and the receiver capabilities required to make a meaningful presentation of the service. In the ROUTE/DASH system, the SLS includes the User Service Bundle Description (USBD), the S-TSID and the DASH Media Presentation Description (MPD). The USBD is based on the identically-named (i.e. User Service Bundle Description) service description metadata fragment as defined in 3GPP-MBMS [1], with extensions that support ATSC 3.0 requirements. Table 7.1 shows the elements and attributes of the USBD that would be used in practice for ATSC 3.0 service delivery.

The Service Signaling focuses on basic attributes of the service itself, especially those attributes needed to acquire the service. Properties of the service and programming that are intended for viewers appear as Service Announcement, or ESG data. Carriage of the ESG is specified in the ATSC 3.0 Service Announcement specification [4].

Having separate Service Signaling for each service permits a receiver to acquire the appropriate SLS for a service of interest without the need to parse the entire SLS carried within a Broadcast Stream.

For optional broadband delivery of Service Signaling, the SLT can include HTTP URLs where the Service Signaling files can be obtained. (See Section 6.3 above.)

Figure 7.1 provides an example of the use of the LLS to bootstrap SLS acquisition, and subsequently, the use of the SLS to acquire service components delivered on either ROUTE sessions or MMTP sessions. The figure illustrates the following signaling sequences. ATSC 3.0 receiver starts acquiring the SLT described in Section 6.3. Each service identified by `service_id` delivered over ROUTE sessions provides SLS bootstrapping information: PLPID(#1), source IP address (`sIP1`), destination IP address (`dIP1`), and destination port number (`dPort1`). Each service identified by `service_id` delivered over MMTP sessions provides SLS bootstrapping information: PLPID(#2), destination IP address (`dIP2`), and destination port number (`dPort2`).

For streaming services delivery using ROUTE, the receiver can acquire SLS fragments carried over the IP/UDP/LCT channel and PLP; whereas for streaming services delivery using MMTP, the receiver can acquire SLS fragments carried over an MMTP session and PLP. For service delivery using ROUTE, these fragments include USBD/USD fragments, S-TSID fragments, and MPD fragments. They are relevant to one service. USBD/USD fragments describes service layer properties and provide URI references to S-TSID fragments and URI references to MPD fragments. For service delivery using MMTP, the USBD references the MMT Signaling's MPT Message, the MP Table of which provides identification of Package ID and location information for assets belonging to the service.

The S-TSID fragment provides component acquisition information associated with one service and mapping between DASH Representations found in the MPD and in the TSI corresponding to the component of the service. The S-TSID can provide component acquisition information in the form of a TSI and the associated DASH Representation identifier, and PLPID carrying DASH Segments associated with the DASH Representation. By the PLPID and TSI values, the receiver collects the audio/video components from the service and begins buffering DASH Media Segments then applies the appropriate decoding processes.

For USBD listing service components delivered on MMTP sessions, as illustrated by "Service #2" in Figure 7.1, the receiver also acquires an MPT message with matching `MMT_package_id` to complete the SLS. An MPT message provides the full list of service components comprising a service and the acquisition information for each component. Component acquisition information includes MMTP session information and the `packet_id` within that session.

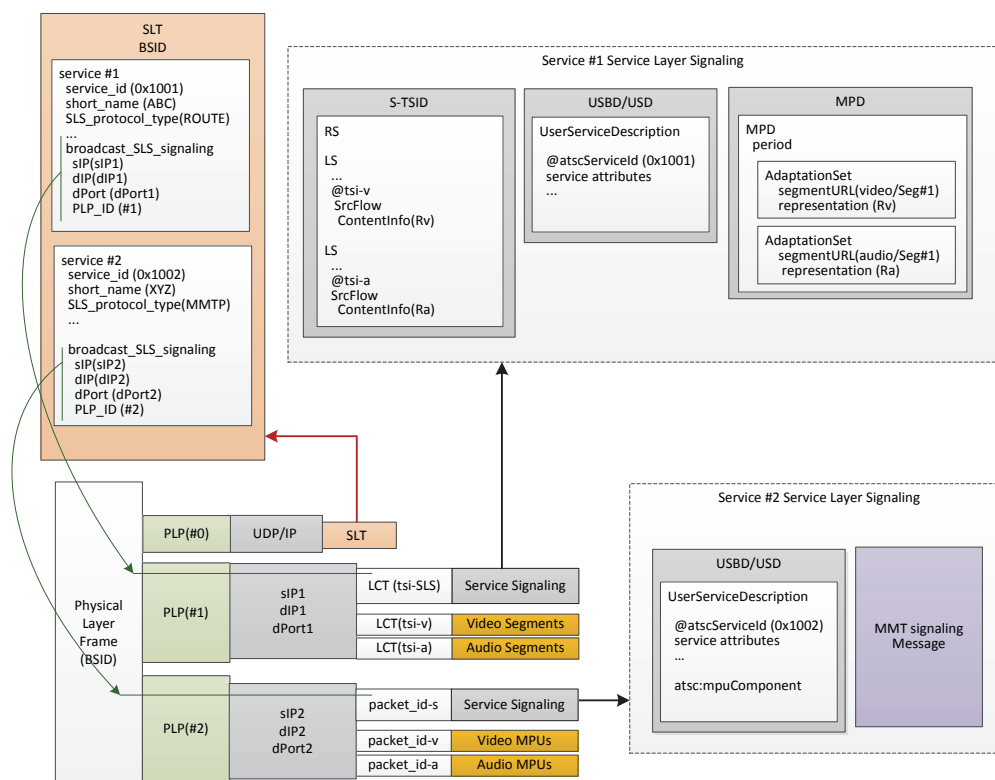


Figure 7.1 Example use of service signaling for bootstrapping and service discovery.

Annex B provides an example implementation of the ATSC 3.0 hierarchical signaling architecture featuring two separate S-TSID fragments, each of which provides the access information for the LCT channels carrying the contents of an individual ATSC 3.0 service.

7.1 ROUTE/DASH Service Layer Signaling

Service Layer Signaling provides detailed technical information to the ATSC 3.0 receiver to enable the discovery and access of ATSC 3.0 user services and their content components. It comprises a set of XML-encoded metadata fragments carried over a dedicated LCT channel. That LCT channel can be acquired using the bootstrap information contained in the SLT as described in Section 6.3. The SLS is defined on a per-service level, and it describes the characteristics and access information of the service, such as a list of its content components and how to acquire them, and the receiver capabilities required to make a meaningful presentation of the service. In the ROUTE/DASH system, for linear services delivery, the SLS consists of the following metadata fragments: USBD, S-TSID and the DASH MPD. The SLS fragments shall be delivered on a dedicated LCT transport channel with TSI = 0.

The data model of the SLS fragments applicable to linear services, shown using UML convention, is shown in Figure 7.2.

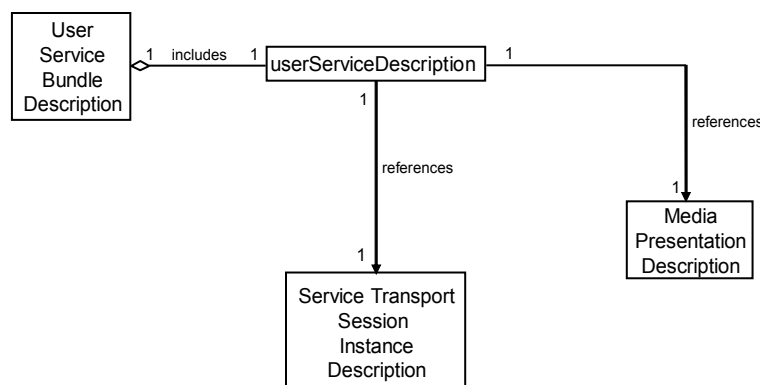


Figure 7.2 Service Layer Signaling Data Model for Linear Services.

ROUTE/DASH Service Layer Signaling comprises the User Service Bundle Description (USBD) and Service-based Transport Session Instance Description (S-TSID) metadata fragments. These service signaling fragments are applicable to both linear and application-based services. The USBD fragment contains service identification, device capabilities information, references to other SLS fragments required to access the service and constituent media components, and metadata to enable the receiver to determine the transport mode (broadcast and/or broadband) of service components. The S-TSID fragment, referenced by the USBD, provides transport session descriptions for the one or more ROUTE sessions in which the media content components of an ATSC 3.0 service are delivered, and descriptions of the delivery objects carried in those LCT channels. The USBD and S-TSID are further detailed in Sections 7.1.3 and 7.1.4.

7.1.1 Streaming Content Signaling

The streaming content signaling component of the SLS corresponds to the MPD fragment. The MPD is typically associated with linear services for the delivery of DASH Segments as streaming content. The MPD provides the resource identifiers for individual media components of the linear/streaming service in the form of Segment URLs, and the context of the identified resources within the Media Presentation. It is further described in Section 7.1.5.

7.1.2 App-based Enhancement Signaling

App-based enhancement signaling pertains to the delivery of app-based enhancement components, such as an application logic file, locally-cached media files, an network content items, or a notification stream. Note that an application can also retrieve locally-cached data over a broadband connection when available. Details about app-based enhancement signaling are specified in the Application Signaling & Trigger Specification, A/337 [35].

7.1.3 User Service Description

The top level or entry point SLS fragment is the USBD fragment. The USBD fragment for ATSC 3.0 is modeled on the USBD fragment as defined by 3GPP MBMS [1], with the following extension:

- Child attributes `serviceId`, `serviceStatus`, `fullMPDuri`, `stsiduri` and `capabilityCode` under the element **userServiceDescription**;

The following extensions defined in 3GPP MBMS Release 12 [1] are included:

- Child element **broadcastAppService** and its child element **basePattern** under the element **deliveryMethod**;

- Child element **unicastcastAppService** and its child element **basePattern** under the element **deliveryMethod**.

The **bundleDescription** shall be represented as an XML document containing a **bundleDescription** root element that conforms to the definitions in the XML schema that has namespace:

<http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTEUSD/1.0/>

The definition of these schemas are in schema files accompanying this standard, as described in Section 3.6 above.

While the XML schemas identified above specify the normative syntax of the elements specified in this ATSC 3.0 standard, informative Table 7.1 below describes the structure of the **bundleDescription** element of [1], including the ATSC extensions, in a more illustrative way. A large number of the attributes and elements in the MBMS USB D fragment are optional and not relevant to ATSC 3.0. Table 7.1 shows the elements and attributes that would be used in practice for ATSC 3.0 service delivery.

Table 7.1 Semantics of the User Service Bundle Description Fragment for ROUTE/DASH

Element or Attribute Name	Use	Data Type	Description
bundleDescription			Root element of the User Service Bundle Description.
userServiceDescription			A single instance of an ATSC 3.0 Service.
@globalServiceID	1	anyURI	A globally unique URI that identifies the ATSC 3.0 Service. This parameter is used to link to ESG data (Service@globalServiceID).
@serviceId	1	unsignedShort	Reference to corresponding service entry in LLS (SLT). The value of this attribute is the same value of serviceId assigned to the entry.
@serviceStatus	0..1	boolean	Specify the status of this service. The value indicates whether this service is active or inactive. When set to "1" (true), that indicates service is active. Shall default to 1 when not present.
@fullMPDuri	1	anyURI	Reference to an MPD fragment which contains descriptions for contents components of the ATSC 3.0 Service delivered over broadcast and optionally, also over broadband.
@TSIDuri	1	anyURI	Reference to the S-TSID fragment which provides access related parameters to the Transport sessions carrying contents of this ATSC 3.0 Service.
name	0..N	string	Name of the ATSC 3.0 service as given by the lang attribute
@lang	1	language	Language of the ATSC 3.0 service name. The language shall be specified according to BCP 47 [24].
serviceLanguage	0..N	language	Available languages of the ATSC 3.0 service. The language shall be specified according to BCP 47 [24].
capabilityCode	0..1	string	Specifies the capabilities and capability groups, as defined in the ATSC 3.0 Service Announcement specification [4], required in the receiver to be able to create a meaningful presentation of the content of this ATSC service. The format of this element shall be identical to the capabilities element specified under the Content fragment of the ATSC 3.0 Service Announcement specification [4].
deliveryMethod	1..N		Container of transport related information pertaining to the contents of the service over broadcast and (optionally) broadband modes of access.
broadcastAppService	1..N		A DASH Representation delivered over broadcast, in multiplexed or non-multiplexed form, containing the corresponding media component(s) belonging to the ATSC 3.0 Service, across all Periods of the affiliated Media Presentation.
basePattern	1..N	string	A character pattern for use by the ATSC receiver to match against any portion of the Segment URL used by the DASH client to request Media Segments of a parent Representation under its containing Period . A match implies that the

						corresponding requested Media Segment is carried over broadcast transport.	
				unicastAppService	0..N		A DASH Representation delivered over broadband, in multiplexed or non-multiplexed form, containing the constituent media content component(s) belonging to the ATSC 3.0 Service, across all Periods of the affiliated Media Presentation.
				basePattern	1..N	string	A character pattern for use by the ATSC receiver to match against any portion of the Segment URL used by the DASH client to request Media Segments of a parent Representation under its containing Period . A match implies that the corresponding requested Media Segment is carried over broadband transport.

7.1.4 Service-based Transport Session Instance Description (S-TSID)

The S-TSID is the SLS metadata fragment that contains the overall transport session description information for the zero or more ROUTE sessions and constituent LCT channels in which the media content components of an ATSC 3.0 service are delivered. The S-TSID also includes file metadata for the delivery object or object flow carried in the LCT channels of the service, as well as additional information on the payload formats and content components carried in those LCT channels.

Each instance of the S-TSID fragment is referenced in the USBD fragment by the @sTSIDuri attribute of the **userServiceDescription** element. See Annex A.3 and A.4 for additional information.

Table 7.2 contains the semantics of the S-TSID fragment. Note that the **SrcFlow** and **RepairFlow** elements of the S-TSID are defined in Annex A (see Sections A.1.1, A.1.2, A.3 and A.4).

The S-TSID shall be represented as an XML document containing a **S-TSID** root element that conforms to the definitions in the XML schema that has namespace:

http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTE_SLS/1.0/

The definition of this schema is in a schema file accompanying this standard, as described in Section 3.6 above.

While the indicated XML schema specifies the normative syntax of the **S-TSID** element, informative Table 7.2 below describes the structure of the **S-TSID** element in a more illustrative way. See Annex A.3 and A.4 for additional information.

Table 7.2 Semantics of the Service-based Transport Session Instance Description Fragment

Element and Attribute Names		Use	Data Type	Description
S-TSID				Service Transport Session Instance Description
	@serviceId	0..1	unsignedShort	Reference to corresponding service element in the USD. The value of this attribute shall reference a service with a corresponding value of <code>ServiceId</code> .
RS		1..N		ROUTE session
	@bsid	0..1	unsignedShort	Identifier of the Broadcast Stream within which the content component(s) of the broadcastAppService are carried. When this attribute is absent, the default Broadcast Stream is the one whose PLPs carry SLS fragments for this ATSC 3.0 service. Its value shall be identical to that of the @bsid in the SLT.
	@sIpAddr	0..1	string	Source IP address (default: current ROUTE session's source IP address) (M for non-primary session)
	@dIpAddr	0..1	string	Destination IP address (default: current ROUTE session's destination IP address) (M for non-primary session)
	@dport	0..1	unsignedShort	Destination port (default: current ROUTE session's destination port) (M for non-primary session)
	@PLPID	0..1	unsignedByte	Physical Layer Pipe ID for ROUTE session (default: current physical layer pipe). PLP_ID shall be as specified A/322 "ATSC 3.0 Physical Layer Downlink Standard" [3].
LS		1..N		LCT channel
	@tsi	1	unsignedInt	TSI value
	@PLPID	0..1	unsignedByte	PLP ID (overrides default ROUTE session value)
	@bw	0..1	unsignedInt	Maximum bandwidth
	@startTime	0..1	dateTime	Start time
	@endTime	0..1	dateTime	End time
	SrcFlow	0..1	srcFlowType	Source Flow as defined in Annex A, Section A.3
	RepairFlow	0..1	rprFlowType	Repair Flow as defined in Annex A, Section A.4

7.1.5 Media Presentation Description (MPD)

The MPD is an SLS metadata fragment which contains a formalized description of the DASH-IF [6] profile of a DASH Media Presentation, corresponding to a linear service of a given duration defined by the broadcaster (for example a single TV program, or the set of contiguous linear TV programs over a period of time). The contents of the MPD provide the resource identifiers for Segments and the context for the identified resources within the Media Presentation. The data structure and semantics of the MPD fragment shall be according to the Media Presentation Description as defined by the DASH-IF [6] profile of MPEG DASH [42].

In the context of ATSC 3.0 services, one or more of the DASH Representations conveyed in the MPD are carried over broadcast. The MPD may describe additional Representations delivered over broadband, e.g. in the case of a hybrid service, or to support service continuity in handoff from broadcast to broadcast due to broadcast signal degradation (e.g. driving through a tunnel).

7.1.5.1 Delivery Path Signaling

URLs in the MPD corresponding to items delivered in the broadcast stream shall be of the form

`http://localhost/... .`

URLs in the MPD corresponding to items delivered via the broadband path shall use `http://` or `https://` protocol identifier followed by a valid IP address or domain name.

7.1.5.2 Signaling for Staggercast Audio Representation

Staggercast is a robustness feature that can be optionally added to audio components. It consists in delivering a redundant version of a main audio component, possibly coded with lower quality (e.g. lower bitrate, number of channels, etc.) with a significant delay ahead of the audio with which it is associated. Receivers that support Staggercast feature can switch to the Staggercast stream should main audio become unavailable. The delivery delay between Staggercast audio and main audio should be chosen high enough to provide robustness thanks to sufficient time diversity between both audios.

To explicitly signal that a Representation is only suitable for Staggercast, the MPD shall be constructed as follows:

- Include an Adaptation set that contains one and only one Staggercast audio Representation;
- Annotate the Adaptation set with an `EssentialProperty` descriptor with `SchemeIdUri` set to "urn:atsc:org:staggercast" and the `@value` attribute set to the value of the `@id` attribute of the Adaptation set to which the Staggercast Representation belongs. The value may also be a white-space separated list of `@id` values. In this case the Staggercast Adaptation set is associated with all Adaptation sets with the indicated `@id` values.

Note: ATSC is currently reviewing urn:atsc syntax and applying to IANA for registration. This urn is subject to change based on that work.

- Each Staggercast Representation shall be time-aligned with the Representation in the main Adaptation set.

If an Adaptation set is annotated with an `EssentialProperty` descriptor with `SchemeIdUri` set to "urn:atsc:org:staggercast" then the receiver is expected to not select such Representation for regular playout. If the receiver supports the Staggercast feature, it is expected to buffer both the main audio and the Staggercast audio in order to be able to switch to the Staggercast audio, should main audio become unavailable.

Note: The amount of delay between main audio and Staggercast audio can be inferred from the MPD by comparing `AvailabilityTimeOffset` information of the two.

7.1.6 Service Signaling Delivery

Service Signaling of a service shall be carried in an ALC/LCT channel of the ROUTE session as defined in Annex A, and signaled in the SLT.

7.1.6.1 Signaling Description Encapsulation

One or more Service Signaling fragments, along with the metadata envelope as defined in 3GPP MBMS [1] Section 11.1.3, can be included in a multipart MIME container that represents an aggregate SLS document, referred to as an "aggregate service announcement document" in [1]. In this structure, the metadata envelope is used to provide the identification, versioning and expiration of the associated SLS metadata fragments. The metadata envelope and associated fragments may

be compressed using the generic gzip algorithm specified in RFC 1952 [8] as content/transport encoding. If used, content encoding of those encapsulated objects shall be signaled in the EFDT, by the Content-Encoding attribute of the **FDTParameters** element in the EFDT. In addition, ATSC 3.0 receivers may utilize the template-based compression scheme as specified in Annex D.

7.1.6.2 Signaling Description Filtering

When processing SLS fragments, ATSC 3.0 receivers may utilize a filtering scheme by inspecting the TOI field of the LCT header, which identifies the type and version of the Service Layer Signaling fragment. The TOI field of the LCT header shall be constructed according to the rules specified in Annex C. This enables quick filtering for target LCT packets which carry Service Layer Signaling fragments of expected type before recovering whole Service Layer Signaling fragment from those packets. See Annex C for a detailed example.

7.2 MMT Service Layer Signaling

MMT Service Layer Signaling for linear services comprise the USBD fragment and the MMT Package (MP) table. The USBD fragment contains service identification, device capabilities information, references to other SLS information required to access the service and constituent media components, and the metadata to enable the receiver to determine the transport mode (broadcast and/or broadband) of the service components. The MP table for MPU components, referenced by the USBD, provides transport session descriptions for the MMTP sessions in which the media content components of an ATSC 3.0 service are delivered and the descriptions of the Assets carried in those MMTP sessions. The data model of the SLS applicable to MMT linear services, shown using UML convention, shall be as shown in Figure 7.3.

The streaming content signaling component of the SLS for MPU components shall correspond to the MP table defined in Section 9.3.9 of ISO/IEC 23008-1 [27]. The MP table provides a list of MMT Assets where each Asset corresponds to a single service component and the description of the location information for this component. The MP table shall be further described as in Section 7.2.3.

USBID fragments may also contain references to the S-TSID as specified in Section 7.1.4 and the MPD as specified in Section 7.1.5 for service components delivered by the ROUTE protocol and the broadband, respectively.

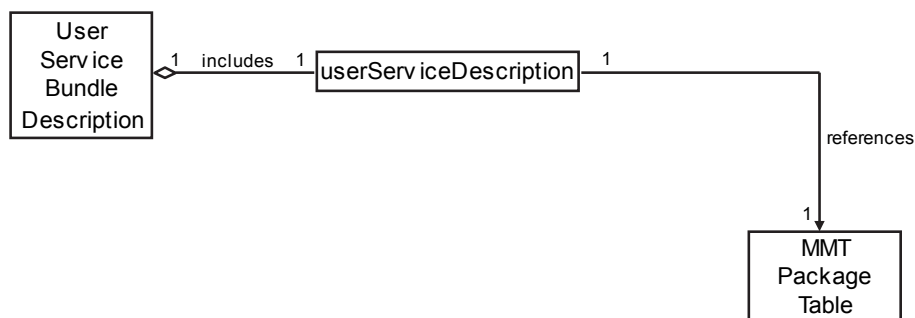


Figure 7.3 Service Layer Signaling Data Model for Linear Services.

7.2.1 User Service Description for MMT

The top level or entry point SLS fragment is the USBD fragment. The USBD fragment for ATSC 3.0 is modeled on the USBD fragment (**bundleDescription**) as defined by 3GPP MBMS [1], with the following extensions:

- Child attribute **serviceId** under the element **userServiceDescription**
- Child element **contentAdvisoryRating** under the element **userServiceDescription**;
- Child element **channel** and its child attributes **serviceGenre**, **serviceIcon**, and child element **serviceDescription** and its child attributes **serviceDescrTex**, **serviceDescrLang** under the element **userServiceDescription**;
- Child element **mpuComponent** and its child attributes **mmtPackageID** and **nextMmtPackageID** under the element **userServiceDescription**;
- Child element **routeComponent** and its child attributes **sTSIDUri**, **sTSIDDestinationIpAddress**, **sTSIDDestinationUdpPort**, **sTSIDSourceIpAddress**, **sTSIDMajorProtocolVersion**, **sTSIDMinorProtocolVersion** under the element **userServiceDescription**;
- Child element **broadbandComponent** and its child attributes **fullMPDUri** under the element **userServiceDescription**; and
- Child element **ComponentInfo** and its child attributes **componentType**, **componentRole**, **componentProtectedFlag**, **componentId**, **componentName** under the element **userServiceDescription**.

It is recommended that the same information should not be repeated in the MMT USBD when it is carried in the service announcement. In this case information in Service Announcement should take precedence.

A large number of the attributes and elements in the MBMS USBD fragment are optional and not relevant to ATSC 3.0. Table 7.3 shows the elements and attributes that would be used in practice for ATSC 3.0 service delivery.

The **bundleDescription** shall be represented as an XML document containing a **bundleDescription** root element that conforms to the definitions in the XML schema that has namespace:

<http://www.atsc.org/XMLSchemas/ATSC3/Delivery/MMTUSD/1.0/>

The definition of these schemas are in schema files accompanying this standard, as described in Section 3.6 above.

While the XML schemas identified above specify the normative syntax of the elements specified in this ATSC 3.0 standard, informative Table 7.2 below describes the structure of the **bundleDescription** element of [1], including the ATSC extensions, in a more illustrative way.

Table 7.3 Semantics of the User Service Bundle Description Fragment for MMT

Element or Attribute Name	Use	Description
bundleDescription		Root element of the User Service Bundle Description.
userServiceDescription		A single instance of an ATSC 3.0 Service.
@globalServiceID	M	A globally unique URI that identifies the ATSC 3.0 Service, unique within the scope of the BSID. This parameter is used to link to ESG data (Service@globalServiceID). Same as given in Table 7.1.
@serviceId	M	Reference to corresponding service entry in LLS(SLT). The value of this attribute is the same value of serviceId assigned to the entry. Same as given in Table 7.1.
Name	0..N	Name of the ATSC 3.0 service as given by the lang attribute. (Same as given in Table 7.1).
@lang	CM	Language of the ATSC 3.0 service name. The language shall be specified according to BCP 47 [24]. (Same as given in Table 7.1).
serviceLanguage	0..N	Available languages of the ATSC 3.0 service. The language shall be specified according to BCP 47 [24]. (Same as given in Table 7.1).
contentAdvisoryRating	0..1	Specifies the content advisory rating, as defined in the ATSC 3.0 Service Announcement specification [4]. The format of this element shall be identical to the ContentAdvisoryRatings element specified in the Service fragment of the ATSC 3.0 Service Announcement specification [4].
Channel	1	Contains information about the service
@serviceGenre	0..1	Attribute indicates primary genre of the service. This attribute shall be instantiated to describe the genre category for the service. The <classificationSchemeURI> is http://www.atsc.org/XMLSchemas/mh/2009/1.0/genre-cs/ and the value of serviceGenre shall match a termID value from the classification schema in Annex B of A/153 Part 4 [5].
@serviceIcon	1	Attribute indicates the Uniform Resource Locator (URL) for the icon used to represent this service.
ServiceDescription	0..N	Contains service description possibly in multiple languages.
@serviceDescrText	1	Attribute indicates description of the service.
@serviceDescrLang	0..1	Attribute indicates the language of the serviceDescrText . Semantics of xs:lang shall be followed.
mpuComponent	0..1	A description about the contents components of ATSC 3.0 Service delivered as MPUs
@mmtPackageId	1	Reference to a MMT Package for content components of the ATSC 3.0 Service delivered as MPUs.
@nextMmtPackageId	0..1	Reference to a MMT Package to be used after the one referenced by @mmtPackageId in time for

		content components of the ATSC 3.0 Service delivered as MPUs.
routeComponent	0..1	A description about the contents components of ATSC 3.0 Service delivered by ROUTE.
@sTSIDUri	1	Reference to the S-TSID fragment which provides access related parameters to the Transport sessions carrying contents of this ATSC 3.0 Service (Same as given in Table 7.1).
@sTSIDDestinationIpAddress	0..1	A string containing the dotted-IPv4 destination address of the packets carrying S-TSID for this service. (default: current MMTP session's source IP address)
@sTSIDDestinationUdpPort	1	A string containing the port number of the packets carrying S-TSID for this service.
@sTSIDSourceIpAddress	1	A string containing the dotted-IPv4 source address of the packets carrying S-TSID for this service.
@sTSIDMajorProtocolVersion	0..1	Major version number of the protocol used to deliver the S-TSID for this service. Default value is 1.
@sTSIDMinorProtocolVersion	0..1	Minor version number of the protocol used to deliver the S-TSID for this service. Default value is 0.
broadbandComponent	0..1	A description about the contents components of ATSC 3.0 Service delivered by broadband.
@fullMPDUri	1	Reference to an MPD fragment which contains descriptions for contents components of the ATSC 3.0 Service delivered over broadband.
ComponentInfo	1..N	Contains information about components available in the service. For each component includes information about component type, component role, component name, component identifier, component protection flag.
@componentType	1	Attribute indicates the type of this component. Value of 0 indicates an audio component. Value of 1 indicates a video component. Value of 2 indicates a closed caption component. Values 3 to 7 are reserved.
@componentRole	1	Attribute indicates the role or kind of this component. For audio (when componentType attribute above is equal to 0): values of componentRole attribute are as follows: 0 = Complete main, 1 = Music and Effects, 2 = Dialog, 3 = Commentary, 4 = Visually Impaired, 5 = Hearing Impaired, 6 = Voice-Over, 7-254= reserved, 255 = unknown. For Video (when componentType attribute above is equal to 1) values of componentRole attribute are as follows: 0 = Primary video, 1-254 = reserved, 255 = unknown. For Closed Caption component (when componentType attribute above is equal to 2) values of componentRole attribute are as follows: 0 = Normal, 1 = Easy reader, 2-254 = reserved, 255 = unknown.

			When componentType attribute above is between 3 to 7, inclusive, the componentRole shall be equal to 255.
	@componentProtectedFlag	0..1	Attribute indicates if this component is protected (e.g. encrypted). When this flag is set to a value of 1 this component is protected (e.g. encrypted). When this flag is set to a value of 0 this component is not protected (e.g. encrypted). When not present the value of componentProtectedFlag attribute is inferred to be equal to 0.
	@componentId	1	Attribute indicates the identifier of this component. The value of this attribute shall be the same as the asset_id in the MP table corresponding to this component
	@componentName	0..1	Attribute indicates the human readable name of this component.

7.2.2 Media Presentation Description (MPD)

The Media Presentation Description is an SLS metadata fragment corresponding to a linear service of a given duration defined by the broadcaster (for example a single TV program, or the set of contiguous linear TV programs over a period of time). The contents of the MPD provide the resource identifiers for Segments and the context for the identified resources within the Media Presentation. The data structure and semantics of the MPD shall be according to the Media Presentation Description as defined by the DASH-IF [6] profile of MPEG DASH [42].

In the context of ATSC 3.0 services, an MPD delivered by an MMTP session shall only describe Representations delivered over broadband, e.g. in the case of a hybrid service, or to support service continuity in handoff from broadcast to broadband due to broadcast signal degradation (e.g. driving under a mountain or through a tunnel).

7.2.3 MMT Signaling Message

When MMTP sessions are used to carry an ATSC 3.0 streaming service, MMT signaling messages specified in clause 9 of ISO/IEC 23008-1 [27] are delivered in binary format by MMTP packets according to Signaling Message Mode specified in subclause 8.3.4 of ISO/IEC 23008-1 [27]. The value of the `packet_id` field of MMTP packets carrying Service Layer Signaling shall be set to '00' except for MMTP packets carrying MMT signaling messages specific to an Asset, which shall be set to the same `packet_id` value as the MMTP packets carrying the Asset. Identifiers referencing the appropriate Package for each ATSC 3.0 Service are signaled by the USBD fragment as described in Table 7.3. MMT Package Table (MPT) messages with matching `MMT_package_id` shall be delivered on the MMTP session signaled in the SLT. Each MMTP session carries MMT signaling messages specific to its session or each asset delivered by the MMTP session.

The following MMTP messages shall be delivered by the MMTP session signaled in the SLT:

- MMT Package Table (MPT) message: This message carries an MP (MMT Package) table which contains the list of all Assets and their location information as specified in 9.3.4 of ISO/IEC 23008-1) [27].
- MMT ATSC3 (MA3) message `mmt_atsc3_message()`: This message carries system metadata specific for ATSC 3.0 services including Service Layer Signaling as specified in Section 7.2.3.1.

The following MMTP messages shall be delivered by the MMTP session signaled in the SLT, if required:

- Media Presentation Information (MPI) message: This message carries an MPI table which contains the whole document or a subset of a document of presentation information. An MP table associated with the MPI table also can be delivered by this message (see subclause 9.3.3 of ISO/IEC 23008-1) [27];

The following MMTP messages shall be delivered by each MMTP session carrying streaming content:

- Hypothetical Receiver Buffer Model message: This message carries information required by the receiver to manage its buffer (see subclause 9.4.2 of ISO/IEC 23008-1 [27]);
- Hypothetical Receiver Buffer Model Removal message: This message carries information required by the receiver to manage its MMT de-capsulation buffer (see subclause 9.4.9 of ISO/IEC 23008-1) [27];

7.2.3.1 mmt_atsc3_message() MMT Signaling Message

An MMT Signaling message `mmt_atsc3_message()` is defined to deliver information specific to ATSC 3.0 services. The value of 0x8000 in the range of user private use shall be assigned for the `message_id` of `mmt_atsc3_message()` as per subclause 9.2 of ISO/IEC 23008-1[27]. The syntax of this message shall be as provided in Table 7.4.

The text following Table 7.4 shall describe the semantics of each field in `mmt_atsc3_message()`.

Table 7.4 Bit Stream Syntax for `mmt_atsc3_message()`

Syntax	No. of Bits	Format
<code>mmt_atsc3_message() {</code>		
message_id	16	uimbsf
version	8	uimbsf
length	32	uimbsf
message payload {		
service_id	16	uimbsf
atsc3_message_content_type	16	uimbsf
atsc3_message_content_version	8	uimbsf
atsc3_message_content_compression	8	uimbsf
URI_length	8	uimbsf
for (i=0;i< URI_length;i++) {		
URI_byte	8	uimbsf
}		
atsc3_message_content_length	32	uimbsf
for (i=0;i<atsc3_message_content_length;i++) {		
atsc3_message_content_byte	8	uimbsf
}		
for (i=0;i<length-10-URI_length-atsc3_message_content_length) {		
reserved	8	uimbsf
}		
}		
}		

message_id – A 16-bit unsigned integer field that shall uniquely identify the `mmt_atsc3_message()`. The value of this field shall be 0x8000.

version – An 8-bit unsigned integer field that shall be incremented by 1 any time there is a change in the information carried in this message. When the version field reaches its maximum value of 255, its value shall wraparound to 0.

length – A 32-bit unsigned integer field that shall provide the length of `mmt_atsc3_message()` in bytes, counting from the beginning of the next field to the last byte of the `mmt_atsc3_message()`.

service_id – A 16-bit unsigned integer field that shall associate the message payload with the service identified in the `serviceId` attribute given in the SLT.

atsc3_message_content_type – A 16-bit unsigned integer field that shall uniquely identify the type of message content in the `mmt_atsc3_message()` payload, coded per Table 7.5 below.

Table 7.5 Code Values for `atsc3_message_content_type`

atsc3_message_content_type	Meaning
0x0000	Reserved
0x0001	userServiceDescription ⁶
0x0002	MPD ⁷
0x0003	Application Information Table ⁸
0x0004	Application Event Information ⁹
0x0005	Video Stream Properties Descriptor (Sec. 7.2.3.2)
0x0006	ATSC Staggercast Descriptor (Sec. 7.2.3.3)
0x0007	Inband Event Descriptor ¹⁰
0x0008~0xFFFF	Reserved for future use

atsc3_message_content_version – An 8-bit unsigned integer field that shall be incremented by 1 any time there is a change in the `atsc3_message_content` identified by a `service_id` and `atsc_message_content_type` pair. When the `atsc3_message_content_version` field reaches its maximum value, its value shall wraparound to 0.

atsc3_message_content_compression – An 8-bit unsigned integer field that shall identify the type of compression applied to the data in `atsc3_message_content_byte`.

Table 7.6 Code Values for `atsc3_message_content_compression`

atsc3_message_content_compression	Meaning
0x00	Reserved
0x01	No compression has been applied
0x02	gzip specified in RFC 1952 [8] has been applied
0x03	The template-based compression scheme as specified in Annex D has been applied
0x04~0xFF	Reserved for future use

⁶ As given in Table 7.3.

⁷ As given in [42].

⁸ As given in A/337, Application Signaling and Triggers [35].

⁹ As given in A/337, Application Signaling and Triggers [35].

¹⁰ As given in A/337, Application Signaling and Triggers [35].

URI_length – An 8-bit unsigned integer field that shall provide the length of the URI uniquely identifying the message payload across services. If the URI is not present, the value of this field shall be set to 0.

URI_byte – An 8-bit unsigned integer field that shall contain a UTF-8 character of the URI associated with the content carried by this message excluding the terminating null character, as per RFC 3986 [13]. This field when present shall be used to identify delivered message payloads. The URI can be used by system tables to reference tables made available by delivered message payloads.

atsc3_message_content_length – A 32-bit unsigned integer field that shall provide the length of the content carried by this message.

atsc3_message_content_byte – An 8-bit unsigned integer field that shall contain a byte of the content carried by this message.

7.2.3.2 Video Stream Properties Descriptor

Each video asset `video_stream_properties_descriptor()` provides information about its associated video stream. This includes information about resolution, chroma format, bit depth, temporal scalability, bit-rate, picture-rate, 3D, color characteristics, profile, tier, and level.

7.2.3.2.1 Syntax

The syntax for the `video_stream_properties_descriptor()` shall conform to Table 7.7. The semantics of the fields in the `video_stream_properties_descriptor()` shall be as given immediately below the table.

Table 7.7 Bit Stream Syntax for Video Stream Properties Descriptor

Syntax	No. of Bits	Format
<code>video_stream_properties_descriptor() {</code>		
descriptor_tag	16	uimsbf
descriptor_length	16	uimsbf
number_of_assets	8	uimsbf
for (i=0; i<number_of_assets; i++) {		
asset_id_length	8	uimsbf
for (i=0; i<asset_id_length; i++) {		
asset_id_byte	8	uimsbf
}		
codec_code	4*8	uimsbf
temporal_scalability_present	1	bslbf
scalability_info_present	1	bslbf
multiview_info_present	1	bslbf
res_cf_bd_info_present	1	bslbf
pr_info_present	1	bslbf
br_info_present	1	bslbf
color_info_present	1	bslbf
reserved	1	'1'
if (temporal_scalability_present) {		
max_sub_layers_instream /* s */	6	uimsbf
sub_layer_profile_tier_level_info_present	1	bslbf
reserved	1	'1'
tid_max	3	uimsbf
tid_min	3	uimsbf
}		
}		

reserved2	2	'11'
}		
if (scalability_info_present) {		
scalability_info()	8	Table 7.8
}		
if (multiview_info_present) {		
multiview_info()	40	Table 7.9
}		
if (res_cf_bd_info_present) {		
res_cf_bd_prop_info()	48	Table 7.10
}		
if (pr_info_present) {		
if (sub_layer_profile_tier_level_info_present) {		
pr_info(max_sub_layers_instream-1)	var	Table 7.11
} else {		
pr_info(0)	var	Table 7.11
}		
}		
if (br_info_present) {		
if (sub_layer_profile_tier_level_info_present) {		
br_info(max_sub_layers_instream-1)	32*(s-1)	Table 7.12
} else {		
br_info(0)	32	Table 7.12
}		
}		
if (color_info_present) {		
color_info()	var	Table 7.13
}		
if (sub_layer_profile_tier_level_info_present) {		
profile_tier_level(1,max_sub_layers_instream-1)	var	H.265
} else {		
profile_tier_level(1,0)	var	H.265
}		
}		

descriptor_tag – This 16-bit unsigned integer shall have the value 0x0005, identifying this descriptor as the video_stream_properties_descriptor().

descriptor_length – This 16-bit unsigned integer shall specify the length (in bytes) immediately following this field up to the end of this descriptor.

number_of_assets – An 8-bit unsigned integer field that shall specify the number of video assets described by this descriptor.

asset_id_length – This 8-bit unsigned integer field shall specify the length in bytes of the video asset id.

asset_id_byte – An 8-bit unsigned integer field that shall contain a byte of the video asset id..

- codec_code** – This field specifies 4-character code for codec. For this version of this specification the value of these four characters shall be one of 'hev1', 'hev2', 'hvc1', 'hvc2', 'lhv1' or 'lhe1' with semantic meaning for these codes as specified in ISO/IEC 14496-15 [26].
- temporal_scalability_present** – This 1-bit Boolean flag shall indicate, when set to '1', that the elements `max_sub_layers_present` and `sub_layer_profile_tier_level_info_present` are present and temporal scalability is provided in the asset. When set to '0', the flag shall indicate that the elements `max_sub_layers_present` and `sub_layer_profile_tier_level_info_present` are not present and temporal scalability is not provided in the asset.
- scalability_info_present** – This 1-bit Boolean flag shall indicate, when set to '1', that the elements in the `scalability_info()` structure are present. When set to '0', the flag shall indicate that the elements in the `scalability_info()` structure are not present.
- multiview_info_present** – This 1-bit Boolean flag shall indicate, when set to '1', that the elements in the `multiview_info()` structure are present. When set to '0', the flag shall indicate that the elements in the `multiview_info()` structure are not present.
- res_cf_bd_info_present** – This 1-bit Boolean flag shall indicate, when set to '1', that the elements in the `res_cf_bd_info()` structure are present. When set to '0', the flag shall indicate that the elements in the `res_cf_bd_info()` structure are not present.
- pr_info_present** – This 1-bit Boolean flag shall indicate, when set to '1', that the elements in the `pr_info()` structure are present. When set to '0', the flag shall indicate that the elements in the `pr_info()` structure are not present.
- br_info_present** – This 1-bit Boolean flag shall indicate, when set to '1', that the elements in the `br_info()` structure are present. When set to '0', the flag shall indicate that the elements in the `br_info()` structure are not present.
- color_info_present** – This 1-bit Boolean flag shall indicate, when set to '1', that the elements in the `color_info()` structure are present. When set to '0', the flag shall indicate that the elements in the `color_info()` structure are not present.
- max_sub_layers_instream** – This 6-bit unsigned integer shall specify the maximum number of temporal sub-layers that may be present in each Coded Video Sequence (CVS) in the asset. The value of `max_sub_layers_instream` shall be in the range of 1 to 7, inclusive.
- sub_layer_profile_tier_level_info_present** – This 1-bit Boolean flag shall indicate, when set to '1', that profile, tier, and level information be present for temporal sub-layers in the asset. When set to '0', the flag shall indicate that the profile, tier, and level information is not present for temporal sub-layers in the asset. When not present `sub_layer_profile_tier_level_info_present` shall be inferred to be equal to 0.
- tid_max** – This 3-bit field shall indicate the maximum value of `TemporalId` (as defined in Rec. ITU-T H.265 [28]) of all access units for this video asset. `tid_max` shall be in the range of 0 to 6, inclusive. `tid_max` shall be greater than or equal to `tid_min`.
- tid_min** – This 3-bit field shall indicate the minimum value of `TemporalId` (as defined in Rec. ITU-T H.265 [28]) of all access units for this video asset. `tid_min` shall be in the range of 0 to 6, inclusive.
- profile_tier_level(profileFPresentFlag, maxSubLayersMinus1)** – This variable-sized field shall provide the profile, tier, level syntax structure as described in H.265 (10/2014) HEVC specification Section 7.3.3 [28].

7.2.3.2.1.1 Scalability Information

Table 7.8 Bit Stream Syntax for Scalability Information

Syntax	No. of Bits	Format
scalability_info() {		
asset_layer_id	6	uimsbf
reserved	2	'11'
}		

asset_layer_id – This 6-bit unsigned integer field shall specify the nuh_layer_id [28] for this asset. The value of asset_layer_id shall be in the range of 0 to 62, inclusive.

When the value of scalable_info_present is equal to 1 or the value of multiview_info_present is equal to 1, the Dependency Descriptor specified in Section 9.5.3 of MMT specification [27] shall be included in MPT for each asset. In this case the num_dependencies element in MMT Dependency Descriptor shall indicate the number of layers that the asset_layer_id for this asset is dependent on.

7.2.3.2.1.2 MultiView Information

Table 7.9 Bit Stream Syntax for Multi-View Information

Syntax	No. of Bits	Format
multiview_info() {		
view_nuh_layer_id	6	uimsbf
view_pos	6	uimsbf
reserved	4	'1111'
min_disp_with_offset	11	uimsbf
max_disp_range	11	uimsbf
reserved	2	'11'
}		

view_nuh_layer_id – This 6-bit unsigned integer field shall specify the nuh_layer_id [28] for the view represented by this asset. The value of view_nuh_layer_id shall be in the range of 0 to 62, inclusive.

view_pos – This 6-bit unsigned integer field shall specify the order of the view with nuh_layer_id [28] equal to view_nuh_layer_id among all the views from left to right for the purpose of display, with the order for the left-most view being equal to 0 and the value of the order increasing by 1 for next view from left to right. The value of view_pos[i] shall be in the range of 0 to 62, inclusive.

min_disp_with_offset – This 11-bit unsigned integer field shall specify minus 1024 of the minimum disparity, in units of luma samples, between pictures of any spatially adjacent views among the applicable views in an access unit. The value of min_disp_with_offset shall be in the range of 0 to 2047, inclusive.

max_disp_range – This 11-bit unsigned integer field shall specify the maximum disparity, in units of luma samples, between pictures of any spatially adjacent views among the applicable views in an access unit. The value of max_disp_range shall be in the range of 0 to 2047, inclusive.

7.2.3.2.1.3 Resolution, Chroma Format, Bi-depth and Video Properties Information:

Table 7.10 Bit Stream Syntax for Resolution, Chroma Format, Bit-Depth

Syntax	No. of Bits	Format
res_cf_bd_prop_info() {		
pic_width_in_luma_samples	16	uimsbf
pic_height_in_luma_samples	16	uimsbf
chroma_format_idc	2	uimsbf
if (chroma_format_idc == 3) {		
separate_colour_plane_flag	1	bslbf
reserved	3	'111'
} else {		
reserved	4	'1111'
}		
video_still_present	1	bslbf
video_24hr_pic_present	1	bslbf
bit_depth_luma_minus8	4	uimsbf
bit_depth_chroma_minus8	4	uimsbf
}		

pic_width_in_luma_samples, **pic_width_in_chroma_samples**, **chroma_format_idc**, **separate_colour_plane_flag**, **bit_depth_luma_minus8**, **bit_depth_chroma_minus8** elements respectively shall have the same semantic meanings as the elements with the same name in H.265 (10/2014) HEVC specification Section 7.4.3.2 (Sequence parameter set RBSP semantics) [28].

video_still_present – This 1-bit Boolean flag when set to '1', shall indicate that the video asset may include HEVC still pictures as defined in ISO/IEC 13818-1 [25]. When set to '0', the flag shall indicate that the video asset shall not include HEVC still pictures as defined in ISO/IEC 13818-1 [25].

video_24hr_pic_present – This 1-bit Boolean flag when set to '1', shall indicate that the video asset may include HEVC 24-hour pictures as defined in ISO/IEC 13818-1 [25]. When set to '0', the flag shall indicate that the video asset shall not include any HEVC 24-hour picture as defined in ISO/IEC 13818-1 [25].

7.2.3.2.1.4 Picture Rate Information

Table 7.11 Bit Stream Syntax for Picture Rate Information

Syntax	No. of Bits	Format
pr_info(maxSubLayersMinus1) {		
for (i = 0; i <= maxSubLayersMinus1; i++) {		
picture_rate_code[i]	8	uimsbf
if(picture_rate_code[i] == 255) {		
average_picture_rate[i]	16	uimsbf
}		
}		
}		

picture_rate_code[i] – This 8-bit unsigned integer field shall provide information about the picture rate for the i^{th} temporal sub-layer of this video asset. The picture_rate_code[i] code indicates following values for picture rate for the i^{th} temporal sub-layer: 0= unknown, 1 = 23.976 Hz, 2 = 24 Hz, 3 = 29.97 Hz, 4 = 30 Hz, 5 = 59.94 Hz, 6 = 60 Hz, 7 = 25 Hz, 8 = 50 Hz, 9 = 100 Hz, 10 = 120/1.001 Hz, 11 = 120 Hz, 12-254= reserved, 255=Other. When picture_rate_code[i] is equal to 255 the actual value of picture rate shall be indicated by the average_picture_rate[i] element.

average_picture_rate[i] – This 16-bit unsigned integer field shall indicate the average picture rate, in units of picture per 256 seconds, of the i^{th} temporal sub-layer. The semantics of avg_pic_rate[0][i] defined in H.265 (10/2014) HEVC specification Section F.7.4.3.1.4 (VPS VUI Semantics) [28] shall apply.

The average_picture_rate[i] shall not have a value corresponding to any of the picture rate values: 23.976 Hz, 24 Hz, 29.97 Hz, 30 Hz, 59.94 Hz, 60 Hz, 25 Hz, 50 Hz, 100 Hz, 120/1.001 Hz, 120 Hz. In this case the picture_rate_code[i] shall be used to indicate the picture rate.

7.2.3.2.1.5 Bit rate Information

Table 7.12 Bit Stream Syntax for Bit Rate Information

Syntax	No. of Bits	Format
br_info(maxSubLayersMinus1) { for (i = 0; i <= maxSubLayersMinus1; i++) { average_bitrate[i] maximum_bitrate[i] } }	16 16	uimsbf uimsbf

average_bitrate[i] – This 16-bit unsigned integer field shall indicate the average bit rate of the i^{th} temporal sub-layer of this video asset in bits per second. The semantics of avg_bit_rate[0][i] defined in H.265 (10/2014) HEVC specification Section F.7.4.3.1.4 (VPS VUI Semantics) [28] shall apply.

maximum_bitrate[i] – This 16-bit unsigned integer field shall indicate the maximum bit rate of the i^{th} temporal sub-layer in any one-second time window. The semantics of max_bit_rate[0][i] defined in H.265 (10/2014) HEVC specification Section F.7.4.3.1.4 (VPS VUI Semantics) [28] shall apply.

7.2.3.2.1.6 Color Information

Table 7.13 Bit Stream Syntax for Color Information

Syntax	No. of Bits	Format
color_info() {		
colour_primaries	8	uimsbf
transfer_characteristics	8	uimsbf
matrix_coeffs	8	uimsbf
if (colour_primaries>=9) {		
cg_compatibility	1	bslbf
reserved	7	'1111111'
}		
if (transfer_characteristics>=16) {		
eotf_info_present	1	bslbf
if(eotf_info_present) {		
eotf_info()	var	
}		
}		
}		

colour_primaries, **transfer_characteristics**, **matrix_coefficients** elements respectively shall have the same semantics meaning as the elements with the same name in H.265 (10/2014) HEVC specification Section E.3.1 (VUI Parameter Semantics) [28].

cg_compatibility – This 1-bit Boolean flag shall indicate, when set to '1', that the video asset is coded to be compatible with Rec. ITU-R BT.709-5 [31] color gamut. When set to '0', the flag shall indicate that the video asset is not coded to be compatible with Rec. ITU-R BT.709-5 [31] color gamut.

eotf_info_present – This 1-bit Boolean flag shall indicate, when set to '1', that the elements in eotf_info() structure are present. When set to '0', the flag shall indicate that the elements in eotf_info() structure are not present.

eotf_info() – Provides Electro-Optical Transfer Function (EOTF) information data.

Note: details are under discussion and will be specified later.

7.2.3.3 ATSC Staggercast Descriptor

In order to explicitly signal that an Asset is only suitable for Staggercast, the following shall be done in MMT signaling:

- Signal the Staggercast audio Asset in the list of Assets in the MP table of the service to which the Staggercast Asset belongs to;
- Deliver an ATSC_staggercast_descriptor() (see Table 7.14) using mmt_atsc3_message() that allows signaling the dependency between the Staggercast Asset and the main Asset protected by it thanks to the presence of the packet_id of the main Asset in the ATSC_staggercast_descriptor().

Table 7.14 Bit Stream Syntax for ATSC Staggercast Descriptor

Syntax	No. of Bits	Format
ATSC_staggercast_descriptor() {		
descriptor_tag	16	uimbsf
descriptor_length	16	uimbsf
number_of_staggercast_assets	8	uimbsf
for (i=0;i<number_of_assets;i++) {		
staggercast_packet_id	16	uimbsf
main_asset_packet_id	16	uimbsf
}		
}		

descriptor_tag - This 16-bit unsigned integer shall have the value 0x0006, identifying this descriptor as being the ATSC_staggercast_descriptor().

descriptor_length - This 16-bit unsigned integer shall specify the length (in bytes) immediately following this field up to the end of this descriptor.

number_of_assets - An 8-bit unsigned integer field that shall specify the number of Staggercast Assets described by this descriptor.

staggercast_packet_id - This 16-bit unsigned integer shall indicate the packet_id of a Staggercast Asset.

main_asset_packet_id - indicates which main Asset is protected by the Staggercast Asset. Its value shall be set to the value of the packet_id of the main Asset protected by the Staggercast Asset.

If an Asset is annotated with an ATSC_staggercast_descriptor(), the ATSC 3.0 client is expected to not select such Asset for regular playout. If the ATSC 3.0 receiver supports the Staggercast mechanism, it is expected to buffer both the main audio and the Staggercast audio in order to be able to switch down to the Staggercast audio, should main audio become unavailable.

7.3 Content Advisory Ratings in Service Signaling

The content advisory rating XML data as specified in the ContentAdvisoryRatings element defined in the ATSC Service Announcement specification [4] is represented in string format using the following rules.

A content advisory rating string corresponding to a given rating region shall consist of three parts separated by a comma as a delimiter:

- The first part shall indicate the region identifier coded as an unsigned byte value as specified by ContentAdvisoryRatings.RegionIdentifier element. If the ContentAdvisoryRatings.RegionIdentifier element is not present, an empty first part shall be included for the region identifier. If not present, the value of RegionIdentifier shall be coded as '1'.
- The second part shall indicate the rating description text coded as a string, as specified by the ContentAdvisoryRatings.RatingDescription element, enclosed further inside single quotes. If the ContentAdvisoryRatings.RatingDescription element is not present, an empty second part shall be included.
- The third part shall consist of one or more tuples enclosed within curly braces (“{}”). Each tuple shall consist of a rating dimension separated by a space (0x20 UTF-8) followed by a rating value. The rating dimension shall be coded as an unsigned byte, as

specified by the `RatingDimension` element. The rating value shall be coded as a string, as specified by the `RatingValueString` element, enclosed further inside single quotes. If a `RatingDimension` element is not present, it shall be coded as '0'. The third part shall not be empty.

To specify content advisory information data for multiple rating regions, additional three-part strings (one for each region) shall be concatenated to create one string consisting of multiple concatenated three part strings. In this case, the third part of each content advisory information string except the last shall be followed by a comma (","),. Thus the last character of the entire content advisory ratings string is a right curly brace ("}").

The content advisory information XML data as specified in each `ContentAdvisoryRatings` element may be transformed into content advisory ratings string using following XPath transformation:

```
concat(concat(//RegionIdentifier,',',concat(concat(' ',//RatingDescription), ' ')),',',normalize-space(string-join(//RatingDimVal /
concat("{",concat(RatingDimension , ' ', RatingValueString, "}")'), '
')))
```

Alternatively, the content advisory information XML data as specified in each `ContentAdvisoryRatings` element may be transformed into a content advisory ratings string using following XSL transformation:

```
<?xml version="1.0" encoding="UTF-8"?>
<xsl:stylesheet version="1.0" xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
<xsl:output method="text"/>
<xsl:variable name="squote">'</xsl:variable>
<xsl:template match="/">
  <xsl:value-of select="concat(//RegionIdentifier,',')" />
  <xsl:value-of select="concat(concat(concat($squote,//RatingDescription),$squote),',')" />
  <xsl:for-each select="//RatingDimVal">
    <xsl:value-of select="concat(concat('{', RatingDimension),' ')" />
    <xsl:value-of select="concat(concat(concat($squote,RatingValueString),$squote),'}')" />
  </xsl:for-each>
</xsl:template>
</xsl:stylesheet>
```

Table 7.15 below illustrates some example cases.

Table 7.15 Example Content Advisory Rating Strings

Rating Region	Program Rating	RRT Reference		Content Advisory Rating String
		Dimension	Level	
1	TV-PG-D-L-S-V	0	3	"1, 'TV-PG D-L-S-V', {0 'TV-PG'} {1 'D'} {2 'L'} {3 'S'} {4 'V'}"
		1	1	
		2	1	
		3	1	
		4	1	
2	Canadian English Language Rating: 14+	Dimension	Level	"2, '13+(fr)/14+(en)', {0 '14+'} {1 '13+'}"
	Canadian French Language Rating: 13+	0	5	
		1	3	

In the case that a single program is rated for both rating regions 1 and 2, for the example in Table 7.15, the string would be

```
"1, 'TV-PG D-L-S-V', {0 'TV-PG'} {1 'D'} {2 'L'} {3 'S'} {4 'V'},
2, '13+(fr)/14+(en)', {0 '14+'} {1 '13+'}"
```

7.3.1 DASH Signaling of Content Advisories

When using DASH, the ratings value shall be specified by the `MPD.Period.AdaptationSet.Rating` element. `Rating@schemeIdUri` shall be set equal to “urn:atsc:org:carating:1.” The `@value` string shall be set equal to the content advisory ratings string specified in Section 7.3 above.

Note: ATSC is currently reviewing urn:atsc syntax and applying to IANA for registration. This urn is subject to change based on that work

7.3.2 MMT Signaling of Content Advisories

When using MMT, the content advisory rating shall be specified by **atsc:contentAdvisoryRating** element in the USB D for MMT as defined in Table 7.3. The format of this element shall be identical to the **ContentAdvisoryRatings** element specified in the Service fragment of the ATSC 3.0 Service Announcement specification [4].

8. DELIVERY AND AL-FEC

8.1 Broadcast Delivery

Two delivery methods for streaming broadcast content are specified in the sections that follow. A method based on ROUTE and ROUTE/DASH is specified in Section 8.1.1. A method based on MMTP/MPU is specified in Section 8.1.2.

Source data may be transmitted with additional repair data that is generated from the source data by applying an AL-FEC algorithm. The repair data enables but does not require application-layer error correction at reception.

8.1.1 ROUTE/DASH

The DASH-IF [6] profile of MPEG DASH [42], specifies formats and methods that enable the delivery of streaming service(s) from standard HTTP servers to DASH client(s). DASH specifies the description of a collection of Media Segments and auxiliary metadata (all referenced by HTTP URLs) through a Media Presentation Description (MPD).

The ROUTE protocol is designed to deliver, via broadcast, DASH-formatted streaming content, such as linear TV services, to a large receiver population. It is capable of delivering an arbitrary number of different types of media objects over one or more source flows on LCT channels. Repair flows may be additionally used for certain deployment scenarios, such as to mobile devices, in certain geographical areas, only for certain service, etc.

8.1.1.1 Streaming Services Delivery

The MPD shall conform to the DASH-IF [6] profile of MPEG DASH [42]. Regardless of whether a streaming service is delivering live content (e.g. live sports events, real-time news reports), or pre-recorded programming, the **MPD@type** (attribute ‘type’ of the MPD) shall be set to “dynamic” as defined in ISO/IEC 23009-1 [42]. When **MPD@minimumUpdatePeriod** is present, the receiver should expect MPD updates to be carried in the LCT channel which provide carousel delivery of Service Layer Signaling (SLS) metadata fragments. The objects delivered by LCT channel of the ROUTE protocol in Annex A shall be formatted according to the announcement in the MPD. The MPD and the described Media Presentation should conform to the DASH-IF [6] live profile of the ISO Base media file format live profile as specified in MPEG DASH [42], clause 8.4.

If it is not possible that the EFDT parameters are determined prior to the object delivery, the Entity Mode (see Annex A Sec. A.3.3.3) shall be used. In this case, the EFDT parameters (as entity-headers) are sent in-band with the delivery object (as the entity-body), in the form of a compound object.

In addition, use of the Entity Mode enables partial or chunked delivery in the same way as HTTP provides a means to reduce the sender delay and therefore possibly the end-to-end delay. If the File Mode (see Annex A Sec. A.3.3.2) is used, then the file may also be sent in a progressive manner using the regular ROUTE sending operation in order to reduce the sender delay.

In the File Mode, the file/object metadata as represented by the EFDT shall either be embedded within, or shall be referenced as a separate delivery object by, the signaling metadata. In the Entity Mode, the file/object metadata shall be carried by one or more **entity-header** fields associated with the **entity-body**. If the repair flow is used in conjunction with the source flow for streaming content delivery, it may use the Packaging mode as the delivery object format as defined in Section A.3.3.4 of Annex A. Doing so enables more robust Application Layer FEC recovery, by applying FEC protection across a collection of delivery objects for enhanced time diversity and constant QoS.

The **EXT_TIME** LCT extension header defined in RFC 5651 [20] shall be used to provide Sender Current Time (SCT), (**SCT-High** and **SCT-Low** are both set). For byte range delivery (MDE mode), the timing information optionally carried shall be the UTC time of the completion of the emission of the entire MDE data block and all related encapsulation in the broadcast emission necessary to decode it. For object delivery, the timing information optionally carried shall be the current UTC time at the sender when the last of byte of an object (last LCT packet) is emitted/radiated. This labeling shall be based on the same time base as is used to deliver time in the PHY layer.

When the first byte of an MDE data block with Random Access Point (RAP) is emitted/radiated, the LCT extension header of the first LCT packet shall include **EXT_ROUTE_PRESENTATION_TIME**, which shall be the only condition whereby this header is present. The value of **EXT_ROUTE_PRESENTATION_TIME** (see Annex A A.3.7.2) shall be set such that the delay (**EXT_ROUTE_PRESENTATION_TIME** - SCT) is the duration in time that the ROUTE receiver must hold this MDE data block with RAP prior to delivery to the ROUTE output to achieve stall-free playback. This time can be derived via characterization of the equipment generating the

broadcast emission. The delay value is specific to a particular configuration of the scheduler and encoder, e.g. GOP duration, sequence of RAPs etc. Delivery of data blocks in MDE mode is by definition in-order delivery, so any subsequent data blocks are held until after such time as the leading MDE data block with RAP is delivered to the ROUTE output.

8.1.1.2 Locally-Cached Content Delivery

This section specifies file-based delivery of locally-cached service content, and service metadata such as SLS fragments or the ESG.

File contents comprising discrete (i.e. untimed) media are downloaded by the receiver either in response to user selection, or acquired without need for user intervention. The source flow, when delivering file content, should use the File Mode as defined in Annex A as the delivery object format. The file metadata, or equivalently, the EFDT, is assumed to be known, available, and delivered to the receiver before the scheduled delivery of the file. The EFDT is delivered in advance by the S-TSID metadata fragment as defined in Table 7.2. The source flow for the subsequently delivered file shall provide a reference to the LCT channel and file URI. The file URI shall be identical in format to the **EFDT@idRef** as defined in Table A.3.3, to identify the previously delivered EFDT/file metadata, and in turn, enable the receiver to use the EFDT to process the content file.

From the application transport and IP delivery perspective, service metadata such as SLS or ESG fragments are no different from locally-cached files which belong to an locally-cached content item of an ATSC 3.0 application service. Service metadata delivery by the ROUTE protocol operates the same way as described above for files of locally-cached service contents.

8.1.1.3 Synchronization and Time

DASH requires accurate wall clock for synchronization. When a receiving device is connected to ATSC 3.0 broadcast reception, it is expected to utilize UTC as established via the physical layer for wall clock.

Network servers for both broadcast and broadband components of a Service shall synchronize to a common wall clock (UTC) source. GPS or similar accuracy and stability shall be provided.

The broadcast-established wall clock is expected to be utilized for both broadband and broadcast served media components at the receiver at all times. Wall clock (UTC) established via broadband connection is expected to be utilized at the receiver in the absence of the broadcast wall clock source.

Several use cases of receiver presentation time are supported for **MPD@type='dynamic'**. These are defined as: Earliest MDE ROUTE presentation time, Earliest Segment level DASH presentation time, and Synchronized Segment level DASH presentation timeline. The receiver is expected to calculate the offset of presentation timeline relative to receiver wall clock for two use cases as follows:

- 1) Earliest MDE ROUTE presentation time: MDE data block with T-RAP ROUTE reception time plus (**EXT_ROUTE_PRESENTATION_TIME** - **SCT**). Initial media request based on adjusted segment availability start time per Section 5.3.9.5.3 of [42]¹¹.
- 2) Segment level DASH presentation time: Segment request based on DASH “Segment availability start time” per the DASH-IF [6] profile of MPEG DASH [42] in which the receiver calculates the presentation timeline based on UTC timing given in the MPD.

¹¹ Adjusted segment availability start time is computed by subtracting the value of **@availabilityTimeOffset** from the Segment availability start time.

Note that DASH provides a mode supporting synchronized playback among different receivers using a parameter called `MPD@suggestedPresentationDelay`. Broadcast emission of `MPD@suggestedPresentationDelay` is optional. This mode is not described here as there is no ATSC 3.0 requirement for synchronized playback across multiple devices, and causes delay in channel change times.

8.1.1.4 ROUTE/DASH System Model

Figure 8.1 below depicts the ROUTE/DASH System Model. Definitions of terms are given below the figure after a discussion of the distinctions of the ROUTE/DASH System Model as compared to MPEG-2 Systems [25]. Note that there is a single unified model for all types of traffic i.e. there is no required distinction between video, audio, data and system control. Figure 8.1 depicts real time services. For locally-cached and system control services, ROUTE is implemented as depicted by the left hand section of Figure 8.1 with the object(s) terminating in a memory location visible to the appropriate application. In Figure 8.1, the appropriate memory location for media objects is the ROUTE Output Buffer, as shown below.

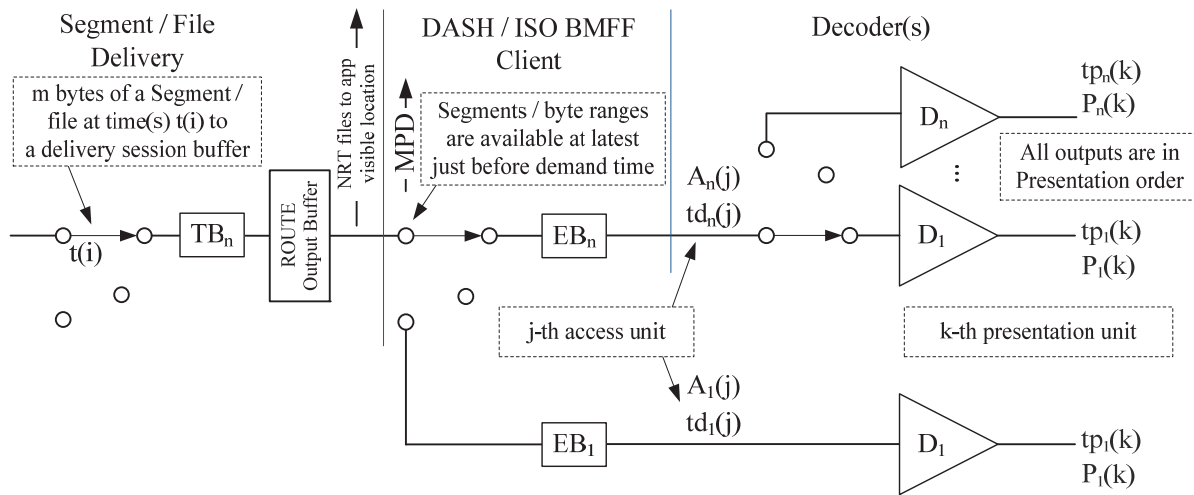


Figure 8.1 ROUTE/DASH system model.

There are a number of distinctions for the ROUTE/DASH system relative to the MPEG-2 Systems [25] model. The Data Delivery Events (DDEs) at specific $t(i)$ s are not individual bytes, but rather the result of the delivery of a block of data by the PHY/MAC at a specific time i.e. a discrete data size in bytes at a discrete delivery time.

A TB_n buffer is for a specific ROUTE session, rather than an elementary stream. A ROUTE session may deliver multiple objects and related AL-FEC. The specific TB_n buffer applies to all the objects and AL-FEC as encapsulated by IP/UDP/ROUTE. The size of TB_n is derived via the attribute `@minBufSize` as described in the ROUTE specification, Table A.3.1. The size of TB_n is the sum of the `minBufSize` values for each of the constituent LCT channels.

All events in a ROUTE/DASH model are discrete time based, i.e. “m” bytes input to or “n” bytes output from the buffer at a specific time. Since all events are discrete time for ROUTE/DASH, there are no leakage rates specified.

The delivered objects for media services are very briefly buffered in the ROUTE Output Buffer before they are consumed by the DASH client. The minimum size of this buffer is slightly smaller

than the corresponding TB_n , as the data in TB_n is wrapped in IP/UDP/ROUTE and may include AL-FEC packets. The output objects/files are of course both de-encapsulated and decoded.

The EB_n buffer is defined in MPEG-2 Systems [25] as an elementary stream buffer. This buffer, as applied to ROUTE/DASH, is associated with the ISO BMFF file handler, which holds data while it waits to be parsed by the decoders. Given that there may exist multiple object/file streams in an LCT session, there may be n total EB_n (s) associated with a given LCT channel. There may also be "i" media types within a single ISO BMFF file stream and hence the multiple decoders D_n are each connected to a single instance of EB_n . The required size of EB_n is included as part of the definition of an appropriate DASH profile.

The task of scheduling media to the decoder(s) in the receiver is exclusively the responsibility of the ISO BMFF file handler (within the DASH client). The scheduling of the objects/files to the ISO BMFF handler is part of the DASH function. This described series of steps result in the ISO BMFF handler receiving Media Delivery Events or object(s) that makes contextual and temporal sense and allows it to deliver samples (media frames) to the decoders, such that the media presentation timeline is met.

Unstated so far, but implicit, is that an LCT channel may carry multiple content types, and that content types comprising a service may be available from a collection of separate LCT channels, which belong to one or more ROUTE sessions. It is also significant that the ROUTE/DASH System Model supports continuous streaming with live ad insertions, which may impose some specific constraints with respect to behaviors at DASH Period boundaries.

The operation of the ROUTE/DASH system is defined such that none of the constituent buffers (TB_n , EB_n , or the ROUTE Output Buffer) are allowed to overflow, nor are the decoder(s) allowed to stall due to lack of input media. Each buffer starts at zero and can likely go to zero briefly during operation.

- t(i) indicates the time in UTC at which m bytes of the transport stream i.e. a Data Delivery Event enters the target ROUTE transport buffer (exits top of the PHY/MAC layers). The value $t(0)$ is a specific time in UTC, which is known by the physical layer scheduler.
- TB_n is the buffer size for the current LCT channel(s) in the ROUTE session, including all source object(s) and all related AL-FEC, as delivered in IP/UDP/ROUTE packets.
- EB_n is the buffer in the DASH client that holds the received from the ROUTE Output Buffer, until the media is streamed to decoder(s) D_1 - D_n according to ISO BMFF semantics.
- $A_n(j)$ is the j^{th} access unit in a ROUTE delivery per decoder. $A_n(j)$ is indexed in decoding order.
- $td_n(j)$ is the decoding time in UTC of the j^{th} decoded media frame
- D_n is the decoder for a specific media type per Service definition.
- $P_n(k)$ is the k^{th} presentation unit for a specific content type. $P_n(k)$ results from decoding $A_n(j)$. $P_n(k)$ is indexed in presentation order.
- $tp_n(k)$ is the presentation time in UTC of the k^{th} presentation unit.

8.1.1.5 ROUTE System Buffer Model

A valid broadcast emission shall have physical layer resources allocated in such a manner as to ensure that defined constraints on the maximum sizes of TB_n , ROUTE Output Buffer and EB_n (see Figure 8.1) are not exceeded. A valid broadcast emission shall have physical layer resources allocated in such a manner that buffers in devices that comply with an agreed-upon set of receiver

characteristics, e.g. as determined by a standards organization such as CEA, will not overflow or underflow (cause a stall during playback).

The total transport buffer size of the TB_n required for a specific ROUTE session shall be defined by adding together the size of the buffer requirements for each LCT channel comprising the ROUTE session. The parameter @minBuffSize specifies the minimum buffer size for each LCT channel. A service may be comprised of multiple ROUTE sessions.

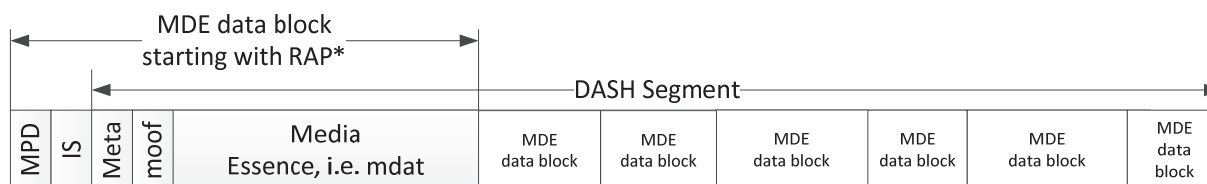
8.1.1.5.1 Data Delivery Event (DDE)

A Data Delivery Event (DDE) occurs when a block-based MAC/PHY delivers relevant contents of a specific physical layer block to a specific ROUTE session at a specific time. Each DDE has a specific time slot at the physical layer and its reception time at the receiver is known by the physical layer scheduler.

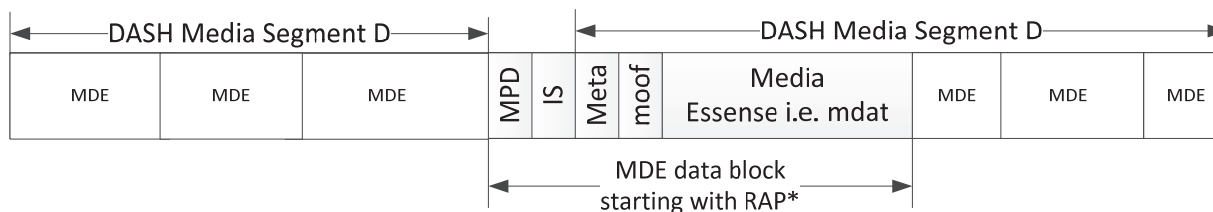
8.1.1.5.2 Media Delivery Event (MDE)

A Media Delivery Event (MDE) is the arrival of a collection of bytes that is meaningful to the upper layers of the stack for example the media player and decoder(s). The broadcast emission shall be constructed such that the delivery deadlines for MDE data blocks are met.

Figure 8.2 below depicts the concept of an MDE data block starting with a Random Access Point (RAP), which becomes a T-MDE starting with a T-RAP once encapsulated in IP/UDP/ROUTE. In the figure, “Meta” represents the collective set of metadata boxes located at the start of a Media Segment, such as styp and sidx. Figure 8.3 illustrates a “meaningful to upper layer” grouping of video frames. A key aspect is that it has a “required delivery time” to the DASH client at the interface to ROUTE.



*When MDE data block(s) are encapsulated in IP/UDP/ROUTE, they become T-MDE data block(s) starting with T-RAP.



* Once these MDE data blocks(s) are encapsulated in IP/UDP/ROUTE, they become T-MDE data block(s) starting with T-RAP

Figure 8.2 Concept of an MDE data block starting with a RAP.

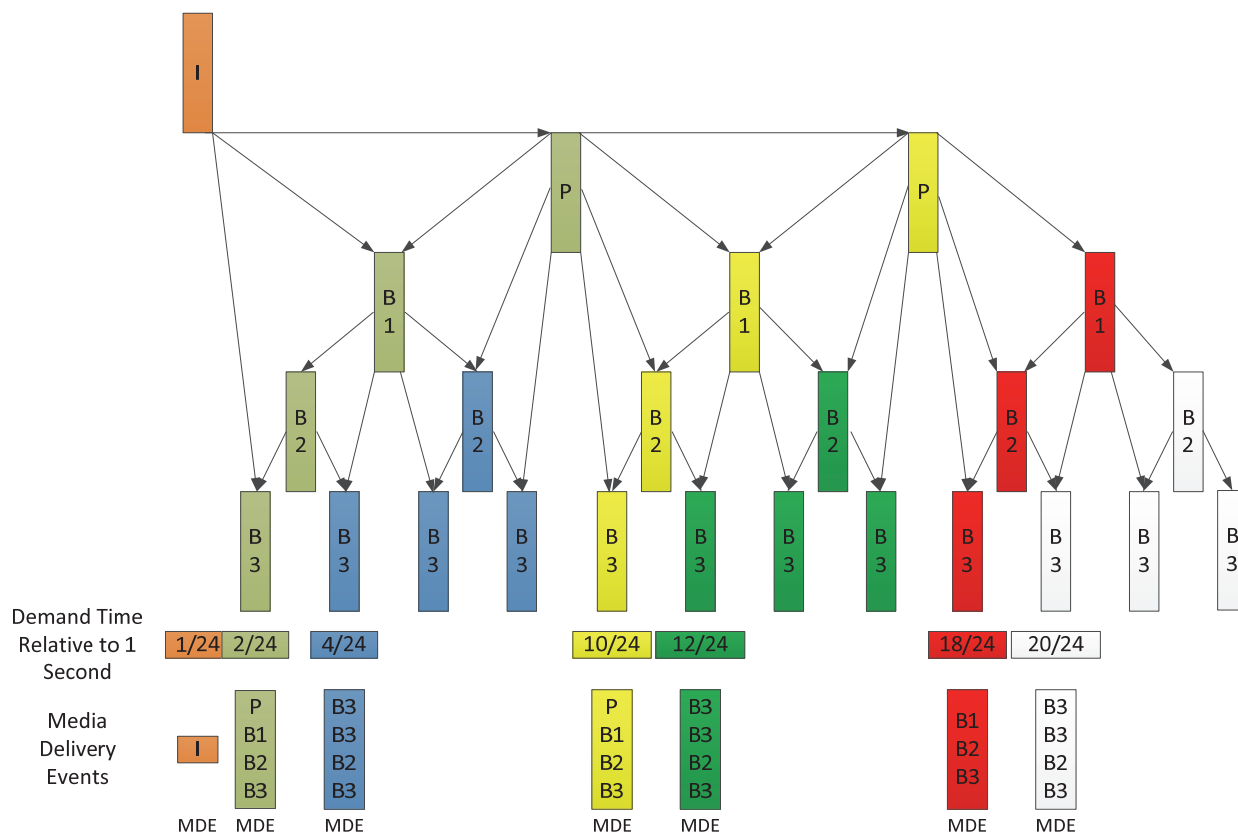
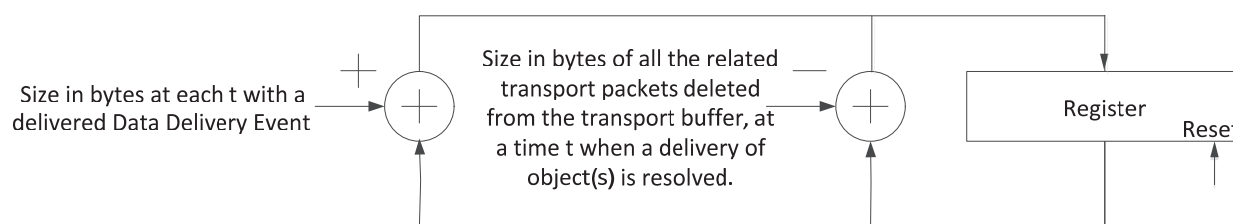


Figure 8.3 Illustration of an MDE data block at the video level.

8.1.1.5.3 ROUTE Transport Buffer Model

The transport buffer fullness is completely described by the ROUTE transport buffer model as shown in Figure 8.4 below. The value in the register on the right hand side of the figure denotes transport buffer fullness in bytes.



- Notes:
- The register value is the buffer model fullness in bytes for time t at receiver
 - Service start clears the register, a T-MDE with a T-RAP starts Service
 - The buffer model updates at every t that transport data will be delivered to or removed from the transport buffer

Figure 8.4 ROUTE Transport Buffer Model.

A notable aspect of the ROUTE/DASH System Model is the lack of a physical layer buffer. The ROUTE/DASH System Model has no object delivery event, i.e. a complete file required to play the T-MDE data block starting with T-RAP, as the MDE data block with RAP is delivered to the DASH client as soon as allowed, rather than waiting for a complete object.

All the transport data associated with a ROUTE delivery of an MDE data block is retained in the transport buffer until the outcome of that specific ROUTE Segment delivery(s) are resolved. Resolution in this context means that the specific ROUTE delivery of the object(s) succeeded in whole or part, all the related MDE data block deliveries are complete, and all the results have been either posted up to the output buffer or have been abandoned. Upon resolution, all the related packets in the related transport buffer(s) are deleted. If there is FEC as part of the ROUTE delivery, the “related packets” include all those packets in buffers for all the related LCT channels. If an object is exclusively related to a single LCT channel, then the associated per-LCT transport buffer may be managed independently. In all cases the last object/MDE data block in a ROUTE delivery to be resolved and delivered determines when all the related IP/UDP/ROUTE packets get deleted from the associated LCT buffers.

8.1.1.6 Application of AL-FEC (Informative)

The availability of AL-FEC in ROUTE is crucial to a number of important applications, for example:

- Large NRT file delivery
- DVR applications
- Enhanced robustness for collection of smaller objects; e.g., a web page

The application of AL-FEC is of marginal benefit for linear streaming service content that have object physical layer durations in the range of the soft-decision FEC Frame in the physical layer, e.g. on the order of 250 msec. AL-FEC is applicable to ROUTE applications for both QoS and efficiency improvements. Users may tolerate up to 1% lost time for streaming video¹², i.e., a 99% success rate on a per-second basis, however they would prefer that their DVR recording be error-free. Assuming a live streaming video service bit rate of 4 Mbps and with a FEC Frame of 16 Kb requires a successful block reception probability of $\sim(1 - 0.99^{(1/250)})$, i.e., a per-FEC Frame error rate of $\sim 4e-5$ is required to achieve 1% lost time. For this FEC Frame loss rate, the probability of a successful recording of an hour-long show with no lost seconds is $\sim 2e-16$ without AL-FEC being applied. The addition of just 5% AL-FEC across 30-second blocks increases the probability of success for a one-hour recording to $\sim 99.99988\%$

Another application of AL-FEC is the broadcast delivery of app-based services, i.e., file-based delivery of application specific content objects (downloaded songs, video clips, interactivity related media assets, etc.), and service metadata such as the ESG. For NRT content delivery over ROUTE, the source flow, when delivering file content, should use the File Mode as defined by ROUTE. As an example, delivery of a 60-second video clip may achieve $\sim 50\%$ success of reception at a service quality that nominally supports video without AL-FEC. Under error conditions of the previous example, a fraction of a percent of AL-FEC assures complete delivery of the 60-second video clip.

Details on the implementation of AL-FEC in the ROUTE/DASH protocol can be found in Section A.4 of Annex A.

¹² One percent lost time is a more stringent requirement than ESR 5 as described in ITU-R Document 6E/64-E [43].

8.1.2 MMTP/MPU

MMTP is a protocol designed to deliver ISO BMFF files as specified in subclause 8 of ISO/IEC 23008-1 [27]. MMTP provides a number of useful features for real-time streaming delivery of ISO BMFF files via a unidirectional delivery network such as:

- The media-aware packetization of ISO BMFF files
- The multiplexing of various media components into a single MMTP session
- The removal of jitter introduced by the delivery network at the receiver within the constraints set by the sender
- The management of the buffer fullness of the receiver by the server to avoid any buffer underflow or overflow and the fragmentation/aggregation of payload data
- Detection of missing packets during delivery

An MPU is an ISO BMFF formatted file compliant to the ‘mpuf’ brand as specified in subclause 6 of ISO/IEC 23008-1 [27]. Restrictions applied to the ‘mpuf’ brand enable efficient streaming delivery of ISO BMFF files. For example, an MPU is self-contained, i.e. initialization information and metadata required to fully decode the media data in each MPU is carried in the MPU. In addition, each MPU contains a globally unique ID of media components called the Asset ID and a sequence number to enable unique identification of each MPU regardless of the delivery mechanism.

8.1.2.1 Broadcast Streaming Delivery of ISO BMFF Files

8.1.2.1.1 Mapping Between an ATSC 3.0 Service and MMT Packages

Each content component is considered as an MMT Asset having a unique identifier as defined in ISO/IEC 23008-1 [27]. Each MMT Asset is a collection of one or more MPUs with the same Asset ID which is provided in the MPU ‘mmpu’ box as specified in clause 6 of ISO/IEC 23008-1 [27]. MPUs associated with the same Asset do not overlap in presentation time. An MMT Package is a collection of one or more Assets, and an ATSC 3.0 Service delivered by MMTP shall be composed of one or more MMT Packages, where MMT Packages do not overlap in presentation time.

Multiple Assets may be delivered over a single MMTP session. Each Asset shall be associated with a `packet_id` which is unique within the scope of the MMTP session. This enables efficient filtering of MMTP packets carrying a specific Asset. The mapping information between MMT Packages and MMTP sessions shall be delivered to the receiver by MPT messages as specified in subclause 9.3.4 of ISO/IEC 23008-1 [27].

Figure 8.5 and Figure 8.6 show examples of the mapping between a Package and an MMTP session. In these figures, the MMT Package has three assets: Asset A, Asset B and Asset C. Although all the MMT signaling messages required to consume and deliver the MMT Package are delivered to the receiver, only a single MPT message is shown for simplicity. In Figure 8.5, all the Assets of the MMT Package and its associated MPT message are multiplexed into a single MMTP session. This configuration provides the simplest way to deliver a MMT Package. In Figure 8.6, a MMT Package is delivered over two MMTP sessions.

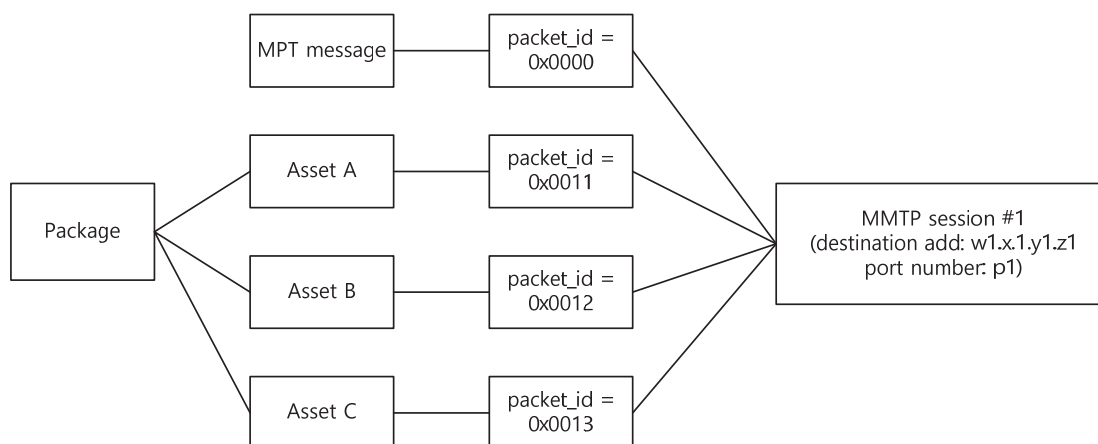


Figure 8.5 An MMT package delivered over a single MMTP packet flow.

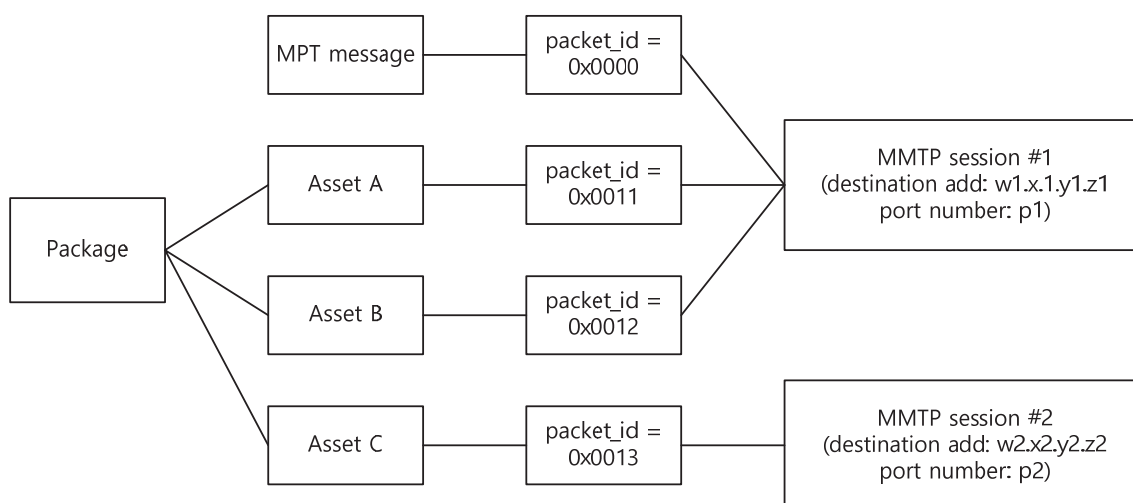


Figure 8.6 An MMT package delivered over two MMTP packet flows.

8.1.2.1.2 Constraints on MPU

MPUs as specified in subclause 6 of ISO/IEC 23008-1 [27] shall be used as the encapsulation format for broadcast streaming media delivery via MMTP with the following additional constraint:

- The ‘e1st’ box shall not be used in an MPU.

8.1.2.1.3 Constraints on MMTP

MMTP as specified in subclause 8 of ISO/IEC23008-1 [27] shall be used to deliver MPUs. The following constraints shall be applied to MMTP:

- The value of the version field of MMTP packets shall be ‘01’.
- The value of the `packet_id` field of MMTP packets shall be between 0x0010 and 0x1FFE for easy conversion of an MMTP stream to an MPEG-2 TS. The value of the `packet_id` field of MMTP packets for each content component can be randomly assigned, but the value of the `packet_id` field of MMTP packets carrying two different components shall not be the same in a single MMTP session.

- The value of '0x01' for the type field of an MMTP packet header shall not be used, i.e. the Generic File Delivery (GFD) mode specified in subclauses 8.3.3 and 8.4.3 of [27] shall not be used.
- The value of the timestamp field of an MMTP packet shall represent the UTC time when the first byte of the MMTP packet is passed to the UDP layer and shall be formatted in the "short format" as specified in clause 6 of RFC 5905, NTP version 4 [17].
- The value of the RAP_flag of MMTP packets shall be set to 0 when the value of the FT field is equal to 0.
- The Hypothetical Receiver Buffer Model (HRBM) specified in subclause 10 of ISO/IEC 23008-1 [27] shall be applied to the MMTP stream with the following constraints:
 - An independent set of HRMB buffers shall be used for each MMT asset, i.e. MMTP packets with a different value of the packet_id field shall not be delivered to the same HRBM buffer.
 - A decoder buffer configured according to the type of MMT asset shall be connected to each MMTP De-capsulation Buffer of the HRBM as shown in Figure 8.7.

The value of max_buffer_size field of the HRBM message shall be obtained by the product of the maximum jitter this buffer can handle and the maximum bitrate of the MMPT packet stream, and shall not be greater than

$$5 \text{ seconds} * (1.2 * R_{max}).$$

The value of R_{max} shall be determined as follows:

- For video Assets, the value of R_{max} shall be given in units of bytes/s and obtained by converting the maximum bit rate given in bits/s specified in Annex A of ISO/IEC 23008-2 [28] for the given video Profile, Level and Tier to bytes/s. Any fractional value as the result of the conversion from bits/s to bytes/s shall be rounded up to the next larger integer.
- *For audio Assets, the value of R_{max} is to be determined during the Candidate Standard phase.*
- *For closed-caption Assets, the value of R_{max} is to be determined during the Candidate Standard phase.*
- The value of the fixed_end_to_end_delay field of the HRBM message shall not be greater than 5 seconds.
- MMTP streams shall be constructed to be compliant with the following client operation:
 - Each MMTP packet with the value of the type field equal to 0x00 shall be available at an MMTP De-capsulation Buffer at time $t_s + \Delta$, where t_s is the value of the timestamp field of the MMTP packet and Δ is the fixed_end_to_end_delay signaled in milliseconds by an HRBM message.
 - At the time when an MMTP packet is available, i.e., when the UTC is $t_s + \Delta$, the header of the MMTP packet and the payload of the MMTP packet are immediately processed and the payload of the packet is copied to the MMTP De-capsulation Buffer.

- The media data available in an MMTP De-capsulation Buffer is constantly being delivered to the associated media decoder buffer at the average bit-rate of the associated MMT asset.
- The value of `max_decapsulation_buffer_size` field of the HRBM Removal message shall be obtained by the product of the maximum duration an MMTP packet can stay in this buffer and maximum bit rate of the MMTP packet stream, and shall not be greater than

$$1 \text{ second} * (1.2 * R_{max}).$$

The value of R_{max} shall be determined as follows:

- For video Assets, R_{max} shall be given in units of bytes/s and be obtained by converting the maximum bit rate in bits/s specified in Table A.5 of ISO/IEC 23008-2 [28] for the given video Profile, Level and Tier to bytes/s. Any fractional value as the result of the conversion from bits/s to bytes/s shall be rounded up to the next larger integer.
- *For audio Assets, the value of R_{max} is to be determined during the Candidate Standard phase.*
- *For closed-caption Assets, the value of R_{max} is to be determined during the Candidate Standard phase.*
- The MMTP Decapsulation Buffer shall not overflow.
- No MMTP packets shall stay longer than 1 second in the MMTP De-capsulation Buffer.

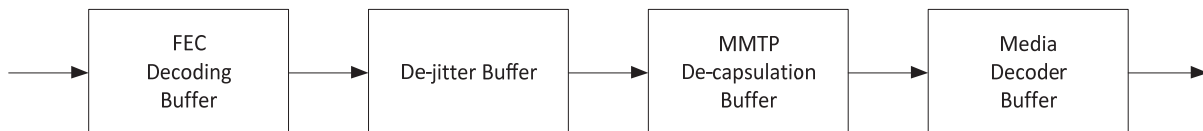


Figure 8.7 Receiver Buffer Model consuming MMTP sessions.

8.1.2.2 Packetization of MPU

ISO BMFF formatted files with an ‘mpuf’ brand are delivered by MMTP as MPUs. An MPU is delivered by MMTP in a media-aware packetized manner similar to an MPEG-2 TS [25] delivery. The media-aware packetization of MPUs into MMTP packets allows interleaving of packets thereby reducing latency by the receiver during initial acquisition of service while meeting bandwidth constraints of the delivery network. In addition, media-aware packetization allows a receiver to efficiently handle delivery errors. When an MPU is delivered by MMTP, the FT (MPU Fragment Type) field in the MMTP payload header shall be used to identify the type of MPU fragment as specified in subclause 8.3.2.2 of ISO/IEC23008-1 [27]. As an MPU is composed of both metadata and media data, it is packetized into two types of MMTP packets, packets with metadata and packets with media data.

MMTP packets with metadata in which the value of the FT field is set to 0 or 1 shall carry various boxes of an MPU, except media samples or sub-samples. The header of the ‘mdat’ box shall be carried by a MMTP packet with metadata.

MMTP packets with media data in which the value of the FT field is set to 2 shall carry samples or sub-samples of media data from the ‘mdat’ box. Each MMTP packet with media data has an

explicit indication of the boundaries of the media samples or sub-samples. In addition, MMTP packets with media data carry the minimum information about media data needed to recover the association between the media data and the metadata, such as a movie fragment¹³ sequence number and a sample number.

Figure 8.8 shows one example of the media-aware packetization of an MPU with HEVC coded video data. In this example, each coded video sequence (CVS) contains a single IDR picture as its first picture. Then each CVS of the video data is mapped to a single movie fragment. In Figure 8.8 one MPU has more than one movie fragment. When a MPU is fragmented, an ‘ftyp’ box, an ‘mmpu’ box and a ‘moov’ box and other boxes applied to the whole MPU are carried by the MMTP packet with the value of the FT field set to 0. A ‘moof’ box and the header of the following ‘mdat’ box are carried by an MMTP packet with the value of the FT field set to 1. A NAL Unit in an ‘mdat’ box is carried by an MMTP packet with the value of the FT field set to 2. One NAL Unit can be fragmented into more than two MMTP packets. Or more than two complete NAL Units can be aggregated into a single MMTP packet.

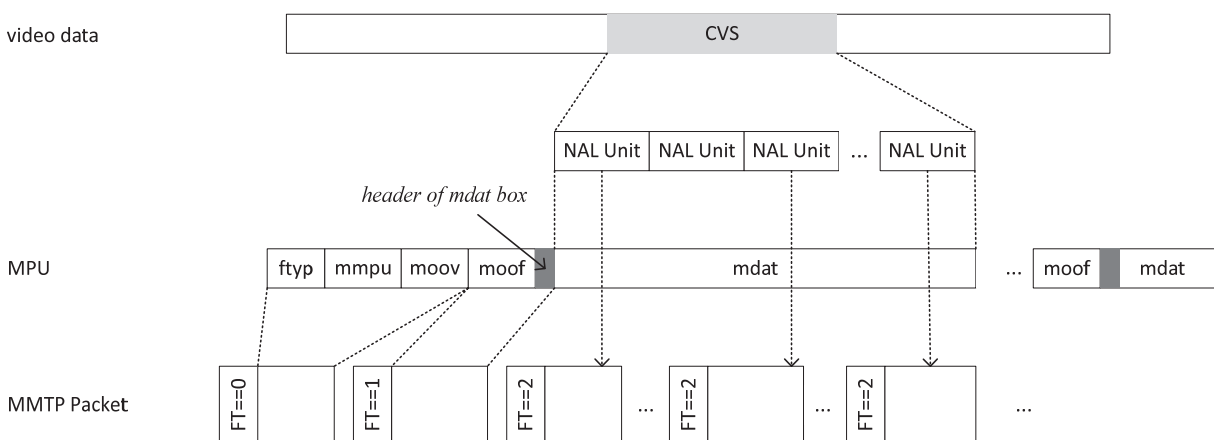


Figure 8.8 Example of media aware packetization of MPU.

8.1.2.3 Synchronization

The synchronization of MPUs shall be by timestamps referencing UTC. It is assumed that the receiver is synchronized to UTC utilizing the ATSC 3.0 physical layer and that the receiver presents media data at the designated presentation time.

The MPU_timestamp_descriptor as defined in subclause 9.5.2 of ISO/IEC 23008-1 [27] shall be used to represent the presentation time of the first media sample in presentation order in each MPU. The presentation time of each media sample of an MPU shall be calculated by adding the presentation time of the first media sample of an MPU to the value of the composition time of each sample in the MPU. The rule to calculate the value of the composition time of media samples in an MPU shall be defined by the DASH-IF [6] profile of the ISO BMFF specification [41].

8.1.2.4 Delivery of Locally-Cached Service Content

The ROUTE protocol shall be used to deliver files for locally-cached service content as specified in Section A.4 as informed by Section 8.1.1.6 when MMTP is used for streaming delivery. The

¹³ “movie fragment” is as defined by ISO/IEC 23009-1:2014 [42].

file metadata, or equivalently, the EFDT, is assumed to be known, available, and delivered to the receiver before the scheduled delivery of the file. The EFDT shall be delivered in advance by the S-TSID metadata fragment as defined in Table 7.2. The access information for the S-TSID metadata fragment shall be provided by an **routeComponent** element in the USBD for MMT as defined in Table 7.3.

To synchronize the presentation of locally-cached service content delivered by ROUTE with the presentation of MPUs delivered by MMTP, the presentation time for locally-cached service content shall be represented by a timestamp referencing UTC.

8.1.2.5 AL-FEC

Application layer forward error correction (AL-FEC) may be optionally applied. When AL-FEC is applied, the MMT AL-FEC framework shall be used as specified in Annex C of ISO/IEC 23008-1 [27] for MMTP sessions. When AL-FEC is applied to file delivery via ROUTE sessions, the repair protocol specified in Section A.4 of the ROUTE specification in Annex A shall be used.

8.2 Hybrid (Broadcast/Broadband) Delivery

Hybrid mode service delivery involves transport of one or more program elements over a broadband (Internet) path. This section specifies hybrid mode delivery. Section 8.2.1 specifies hybrid mode operation employing ROUTE/DASH in the broadcast path and DASH over HTTP(s) in the broadband path. Section 8.2.2 specifies a hybrid service delivery mode using MMTP/MPU in the broadcast path and DASH over HTTP(s) in the broadband path.

8.2.1 ROUTE/DASH Modes of Hybrid Service Access

Two modes of hybrid service delivery can be defined for the ROUTE/DASH system. The first features combined broadcast delivery via ROUTE, and unicast delivery via HTTP, of different content components, and this is described in Section 8.2.1.1. The second, as described in Section 8.2.1.2, features strictly broadband delivery of service components, and may comprise one of two sub-scenarios: a) all content components of the ATSC 3.0 service are exclusively delivered by broadband, as described in Section 8.2.1.2.1, and b) the broadcast signal becomes unavailable (e.g., due to device movement), resulting in a complete hand-off to broadband and subsequently, may involve a return to broadcast service, as described in Section 8.2.1.2.2.

8.2.1.1 Synchronization

Figure 8.9 illustrates hybrid mode operation and synchronization within the DASH Client.

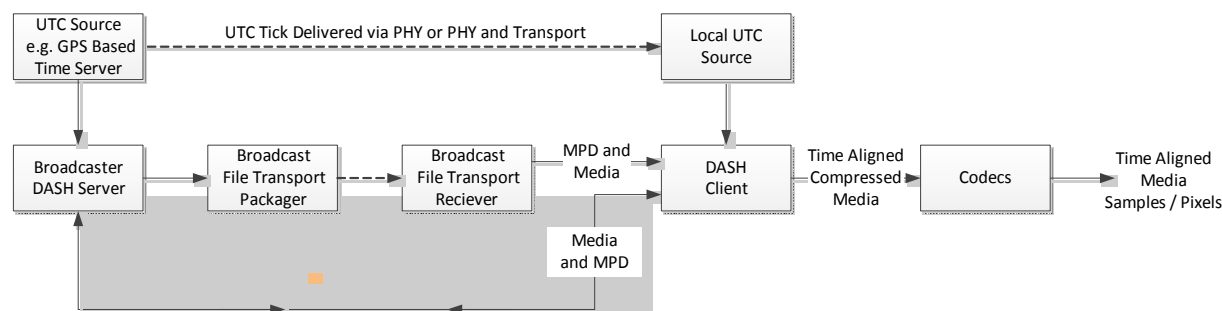


Figure 8.9 ROUTE/DASH hybrid synchronization.

The presence of an unmanaged broadband network introduces the possibility of a variable amount of extra delay (latency) in the delivery, as network congestion can impact the availability of the broadband-delivered content. That standard automatically includes a number of sophisticated methods to handle network jitter. The ROUTE/DASH proposal as specified in Annex A allows the broadcaster to employ techniques specified in the DASH-IF [6] profile of MPEG DASH [42] to optimize the user experience in the hybrid delivery case:

- A device with an excellent Internet connection may be able to get media data via broadband at least as fast as via broadcast. However, the broadcaster can ensure that broadband-delivered service components are made available to the broadcaster's broadband server well ahead of the broadcast-delivered components of the service to accommodate slower connections. This is especially easy for pre-produced (non-live) content and can be achieved for live content.
- MPEG DASH [42] specifies an optional attribute called `@availabilityTimeoffset` that can be associated with a particular delivery network via the parameter `MPD.BaseURL`. This allows the broadcaster to notify the DASH client in the receiver that it is OK to request broadband-delivered content earlier than the availability time of the broadcast-delivered stream. Since the likely usage scenario for broadband delivery of service components is audio, interactivity, captions, or the like (and not video), the buffering requirements at the receiver are not expected to be burdensome.
- The MPD for an ATSC 3.0 service shall contain `@availabilityTimeoffset` when broadband available content is available prior to broadcast of `EXT_TIME` header (SCT) for time aligned broadcast delivered media

Buffering is handled slightly differently for the different delivery modes. DASH Segments for unicast broadband components are requested by receivers in advance of the time they are needed, as indicated by the timeline set by the DASH MPD, and they are buffered until their presentation times. The receivers control delivery timing and buffering. Segments for broadcast components are delivered from the broadcast source according to the buffer model, which ensures that receivers get the Segments soon enough to avoid decoder stall, and not so early that buffers could overflow. The broadcast source uses the DASH MPD timeline to determine the appropriate delivery timing.

8.2.1.2 Broadband DASH-only Service Access

8.2.1.2.1 Exclusive Delivery of Service Components via Broadband

In this mode, all content components of the ATSC 3.0 service shall be carried exclusively over broadband – i.e. no content components are delivered via broadcast. Such implementation is indicated by Service Layer Signaling (SLS) as specified in Section 7, specifically, by the combination of the unified MPD fragment and the **userServiceDescription.deliveryMethod** element of the User Service Bundle Description fragment. In this case, only the child element **unicastAppService** shall be present under the **deliveryMethod** element (i.e. **broadcastAppService** is absent).

8.2.1.2.2 Hand-off from Broadcast to Broadband Service Access

This operational scenario involves the mobile ATSC 3.0 receiver, which due to user mobility, may move temporarily outside broadcast coverage and whereby only fallback service reception via broadband is possible. Similar to the scenario described in Section 8.2.1.2.1, support for such hand-off between different access modes may be indicated by the MPD fragment in SLS. In this case, as defined by the SLS protocols in Section 7, the **userServiceDescription.deliveryMethod** element in the User Service Bundle Description fragment shall contain both the child elements

broadcastAppService and **unicastAppService**, which represent the broadcast and broadband delivered components, respectively, of the named service. Furthermore, these broadcast and unicast delivered components may be substituted for one another in the case of hand-off from broadcast to broadband service access, or vice versa, from broadband to broadcast service access.

8.2.2 MMT/MPU Modes of Hybrid Service Access

8.2.2.1 Introduction

The overall hybrid streaming over broadcast and broadband delivery architecture for both the transmission system and the receiver system is shown in Figure 8.10. All the components of the system are locked to UTC for synchronization.

For the broadcast network, media data are encapsulated into MPUs, which are packetized into MMTP packets as specified in Section 8.1.2 of this document. For the broadband network, media data are encapsulated into DASH Segments. DASH Segments are delivered by an HTTP session through the network interface by a regular HTTP server while a DASH MPD is delivered via the broadcast network. The URI reference for a DASH MPD shall be provided by an **atsc:broadbandComponent** element in the USBD for MMT as defined in Table 7.3.

For the client it is assumed that the MMTP packets delivered through the broadcast network are de-packetized and the media data are decoded by the appropriate media decoders, and that the DASH Segments are delivered through the broadband network. To synchronize the presentation of a DASH Segment delivered via the broadband network with an MPU delivered via the broadcast network, the presentation time of the DASH Segment is represented by a timestamp referencing UTC.

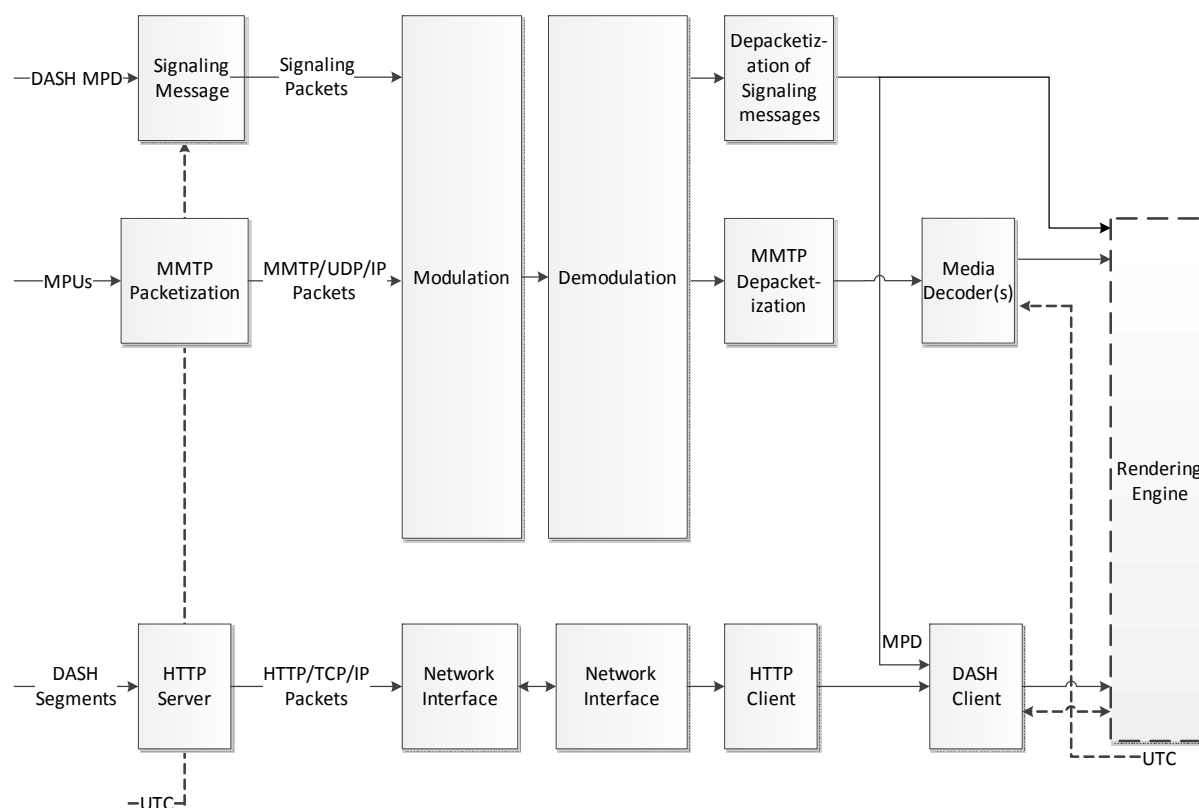


Figure 8.10 Conceptual hybrid service architecture.

8.2.2.2 Constraints on DASH

DASH Segments as specified in the DASH-IF [6] profile of MPEG DASH [42] shall be used as the encapsulation format for broadband streaming media delivery.

8.2.2.3 Synchronization

The synchronization of streaming media shall use timestamps referencing UTC. The MPU_timestamp_descriptor as defined in subclause 9.5.2 of ISO/IEC 23008-1 [27] shall be used to represent the presentation time of the first media sample in presentation order in each MPU.

The presentation timing for DASH Segments delivered through HTTP shall be based on the DASH Media Presentation timeline referencing UTC. The DASH MPD shall provide attributes for calculating the presentation time of the first sample in presentation order.

Frame-level media timing of both MPUs and DASH Segments shall be as per the DASH-IF [6] profile of ISO BMFF semantics [41].

8.2.2.4 Acquisition

Acquisition of broadcast MPU streaming shall be as specified as in Section 8.1.2. Acquisition of broadband content accessed through HTTP shall be as specified as in Section 8.2.1.2.

8.2.2.5 Broadband-Only Service (Signaled by Broadcast)

8.2.2.5.1 Zero Components Delivered by Broadcast

Media data is encapsulated into DASH Segments. DASH MPDs are delivered via the broadcast network by a signaling message and DASH Segments are delivered via the broadband network by an HTTP session through the network interface by a regular HTTP server.

Annex A: ROUTE

A.1 OVERVIEW OF ROUTE

The Real-time Object delivery over Unidirectional Transport (ROUTE) protocol is split in two major components:

- The source protocol for delivery of objects or flows/collection of objects (see Section A.3).
- The repair protocol for flexibly protecting delivery objects or bundles of delivery objects that are delivered through the source protocol (see Section A.4). The association between delivery objects delivered in the source protocol and the repair protocol is also provided in Section A.4.

The source protocol is independent of the repair protocol, i.e. the source protocol may be deployed without the ROUTE repair protocol. Repair may be added only for certain deployment scenarios, for example only for mobile reception, only in certain geographical areas, only for certain service, etc.

A.1.1 Source Protocol

The ROUTE source protocol is aligned with FLUTE as defined in RFC 6726 [23] as well as the extensions defined in 3GPP TS 26.346 [1], but also makes use of some principles of FCAST as defined in RFC 6968 [40], for example, that the object metadata and the object content may be sent together in a compound object.

In addition to the basic FLUTE protocol, certain optimizations and restrictions are added that enable optimized support for real-time delivery of media data; hence, the name of the protocol. Among others, the source ROUTE protocol enables or enhances the following functionalities:

- Real-time delivery of object-based media data
- Flexible packetization, including enabling media-aware packetization as well as transport aware packetization of delivery objects
- Independence of files and delivery objects, i.e. a delivery object may be a part of a file or may be a group of files

A.1.2 Repair Protocol

The ROUTE repair protocol is FEC based and enabled as an additional layer between the transport layer (e.g., UDP) and the object delivery layer protocol. The FEC reuses concepts of FEC Framework defined in RFC 6363 [39], but in contrast to the FEC Framework in RFC 6363 [39] the ROUTE repair protocol does not protect packets, but instead it protects delivery objects as delivered in the source protocol. However, as an extension to FLUTE, it supports the protection of multiple objects in one source block which is in alignment with the FEC Framework as defined in RFC 6363 [39]. Each FEC source block may consist of parts of a delivery object, as a single delivery object (similar to FLUTE) or multiple delivery objects that are bundled prior to FEC protection. ROUTE FEC makes use of FEC schemes in a similar way to that defined in RFC 5052 [15], and uses the terminology of that document. The FEC scheme defines the FEC encoding and

decoding, as well as the protocol fields and procedures used to identify packet payload data in the context of the FEC scheme.

In ROUTE all packets are LCT packets as defined in RFC 5651 [20]. Source and repair packets may be distinguished by:

- Different ROUTE sessions; i.e., they are carried on different IP/UDP port combinations.
- Different LCT channels; i.e., they use different TSI values in the LCT header.
- By the PSI bit in the LCT, if carried in the same LCT channel. This mode of operation is mostly suitable for FLUTE-compatible deployments.

A.1.3 Features

ROUTE defines the following features:

- Source protocol including packet formats, sending behavior and receiving behavior
- Repair protocol
- Metadata for transport session establishment
- Metadata for object flow delivery
- Recommendations for DASH configuration and mapping to ROUTE to enable rich and high-quality linear TV broadcast services. However, as an extension to FLUTE, it supports the protection of multiple objects in one source block which is in alignment with the FEC Framework as defined in RFC 6363 [39]

A.1.4 System Architecture

The scope of the ROUTE protocol is the reliable transport of delivery objects and associated metadata using LCT packets. This architecture is depicted in Figure A.1.1. In the figure, dotted-line connections illustrate the type of data being conveyed from one functional block to another.

The normative aspects of the ROUTE protocol focus on the following aspects:

- The format of the LCT packets that carry the delivery objects.
- The reliable transport of the delivery object using a repair protocol based on FEC.
- The definition and carriage of object metadata along with the delivery objects to enable the interface between the delivery object cache and the application.
- The ROUTE and LCT channel description to establish the reception of objects along with their metadata.
- The normative aspects (formats, semantics) of auxiliary information to be delivered along with the packets to optimize the performance for specific applications; e.g., real-time delivery. The objects are made available to the application through a Delivery Object Cache.

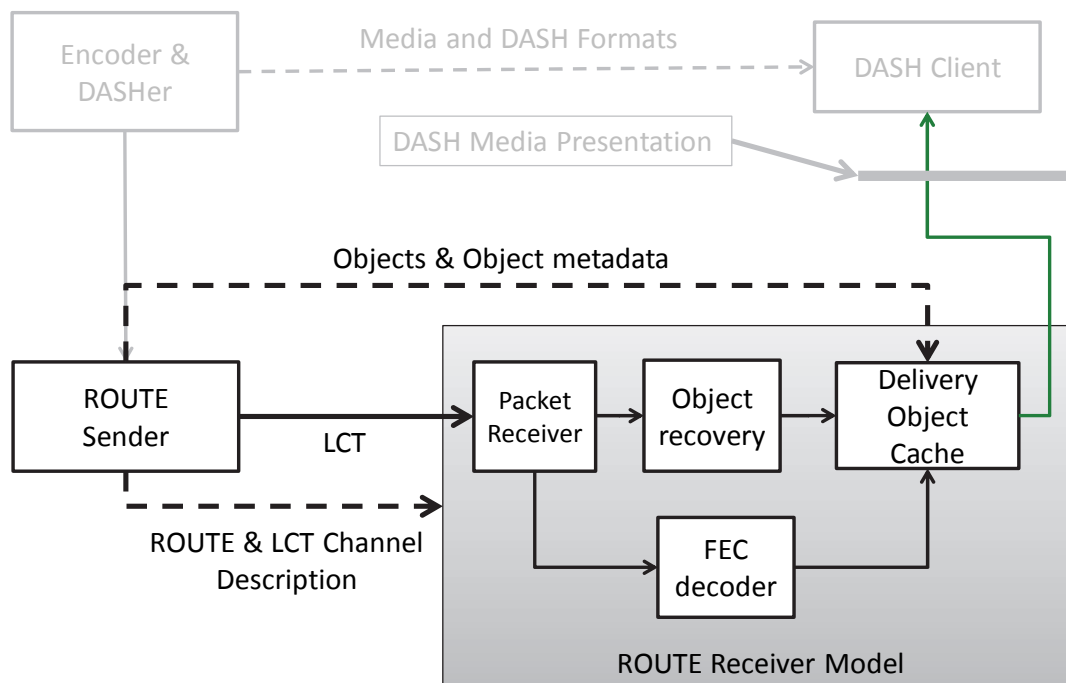


Figure A.1.1 Reference Receiver Architecture Model in ROUTE.

Figure A.1.2 shows the basic sender concept. A ROUTE session is established that delivers LCT packets. These packets may carry source objects or FEC repair data. From a top down approach, a source protocol consists of one or more LCT channels, each carrying associated objects along with their metadata. The metadata may be statically delivered in signaling metadata or may be dynamically delivered, either as a compound object in the Entity Mode or as LCT extension headers in packet headers. The packets are carried in ALC using a specific FEC scheme that permits flexible fragmentation of the object at arbitrary byte boundaries. In addition, delivery objects may be FEC protected, either individually or in bundles. In either case, the bundled object is encoded and only the repair packets are delivered. In combination with the source packets, this permits the recovery of delivery object bundles. Note that one or more repair flows may be generated, each with different characteristics, for example to support different latency requirements, different protection requirements, etc.

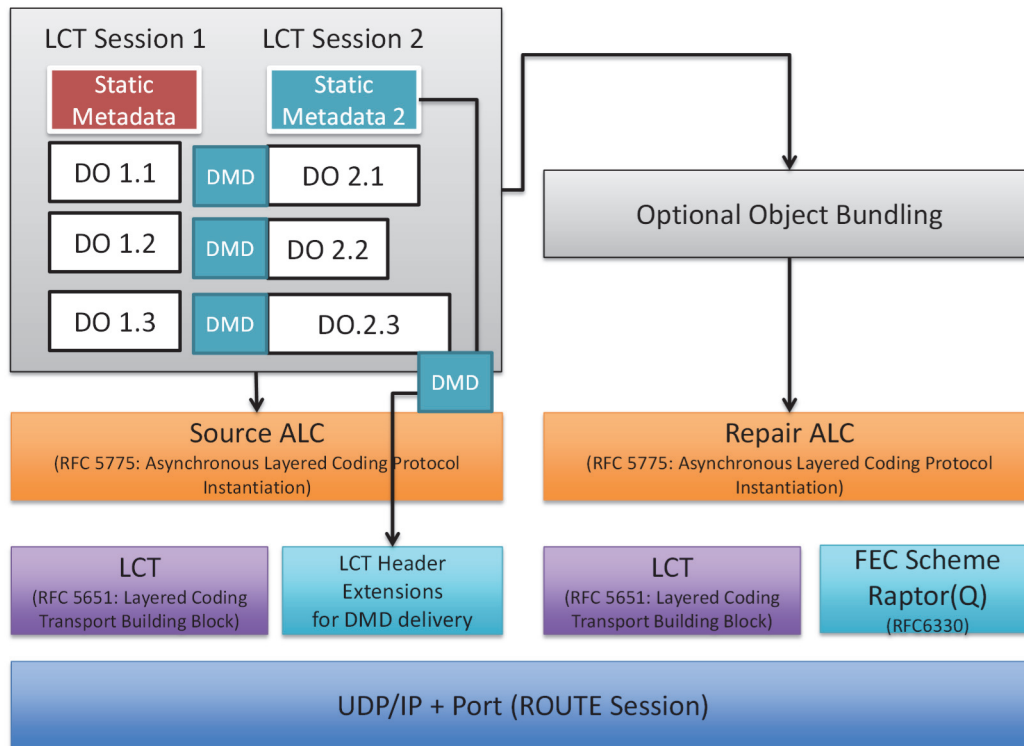


Figure A.1.2 Sender operation of ROUTE Protocol.

The architecture supports different protection and delivery schemes of the source data. It also supports all existing use cases for NRT delivery, as it can be deployed in a backwards-compatible mode.

A.2 DATA MODEL AND SESSION INITIATION

A.2.1 Data Model

Each ROUTE session shall be associated with an IP address/port combination. Each ROUTE session shall constitute one or more LCT channels. LCT channels are a subset of a ROUTE session. For media delivery, an LCT channel would typically carry a media component, for example a DASH Representation. From the perspective of broadcast delivery of DASH formats, the ROUTE session can be considered as the multiplex of LCT channels that carry constituent media components of one or more DASH Media Presentations. Within each transport session, one or more objects are carried, typically objects that are related, e.g. DASH Segments associated to one Representation. Along with each object, metadata properties are delivered such that the objects can be used in application services which may include, but are not limited to, DASH Media Presentations, HTML-5 Presentations, or any other object-consuming application.

A.2.2 ROUTE Session

ROUTE sessions may be bounded or unbounded from the temporal perspective.

A ROUTE session contains one or more LCT channels. Each transport session shall be uniquely identified by a unique Transport Session Identifier (TSI) value in the LCT header. The

TSI is scoped by the IP address of the sender, and the IP address of the sender together with the TSI shall uniquely identify the session.

Before a receiver can join a ROUTE session, the receiver needs to obtain a ROUTE Session Description that contains at least the following information:

- The sender IP address
- The address and port number used for the session
- The indication that the session is a ROUTE session and that all packets are LCT packets
- Other information that is essential to join and consume the session on an IP/UDP level

The Session Description could also include, but is not limited to:

- The data rates used for the ROUTE session
- Any information on the duration of the ROUTE session

A.2.3 Transport Sessions

Transport sessions are not described in the ROUTE session description, but in signaling external to the ROUTE protocol itself. Transport sessions (i.e., LCT channels) may contain either or both

- Source Flows: In this case source data is carried.
- Repair Flows: In this case repair data is carried.

A.3 SOURCE PROTOCOL SPECIFICATION

A.3.1 Overview

The source protocol is the core component of ROUTE; it is used to transmit delivery objects through a unidirectional channel. The source protocol establishes one or more source flows within a ROUTE session, each of which delivers related objects as an object flow. Each object is recovered individually.

The source protocol shall be defined by the description of a source flow as defined in Section A.3.2. A source flow sends delivery objects as defined in Section A.3.3. The usage of ALC [21] and LCT to deliver the objects shall be as defined in Section A.3.4. The packet format shall be as defined in Section A.3.5. The details on how to use LCT are defined in Section A.3.6. Section A.3.7 introduces newly-defined LCT headers. Sender and Receiver operations are provided in Sections A.3.8 and A.3.9, respectively.

A.3.2 Description

The **SrcFlow** element describes a source flow.

The **SrcFlow** shall be represented as an XML document containing a **SrcFlow** root element that conforms to the definitions in the XML schema that has namespace:

<http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTESLS/1.0/>

The definition of this schema is in a schema file accompanying this standard, as described in Section 3.6 above.

While the indicated XML schema specifies the normative syntax of the **SrcFlow** element, informative Table A.3.1 below describes the structure of the **SLT** element in a more illustrative way.

The semantics of the elements and attributes of **SrcFlow** shall be as given in Table A.3.1.

Table A.3.1 Semantics of **srcFlow** Element

Element or Attribute Name	Use	Data Type	Description
srcFlow		srcFlowType	Source flow carried in the LCT channel.
@rt	0..1	boolean	If @rt is not present, it is assumed false. Shall be present and set to “true” when the srcFlow carries streaming media. Default value: false
@minBuffSize	0..1	unsignedInt	Defines the minimum number of kilobytes required in the receiver transport buffer for the LCT channel. This value may be present if @rt is set to true.
EFD	0..1		The extended FDT instance. See further description in Section A.3.3.2.3.
ContentInfo	0..1	string	May provide additional information that can be mapped to the application service that is carried in this transport session, e.g. Representation ID of a DASH content or the Adaptation Set parameters of a DASH Media Representation in order to select the LCT channel for rendering.
Payload	1..N		Information on the payload of ROUTE packets carrying the objects of the source flow
@codePoint	0..1	unsignedByte	A numerical representation of the combination of values specified for the child elements and attributes of the Payload element. The value of @codePoint shall be identical to the CP (Codepoint) field in the LCT header. Default value = “0”
@formatID	1	unsignedByte	Specifies the payload format of the delivery object. For details see Table A.3.2.
@frag	0..1	unsignedByte	This attribute contains an unsignedByte value indicating how the payload of ROUTE packets carrying the objects of the source flow are fragmented for delivery. 0: arbitrary. This value means that the payload of this ROUTE packet carries a contiguous portion of the delivery object whose fragmentation occurs at arbitrary byte boundaries. 1: application specific (sample based). This value means that the payload of this ROUTE packet carries media data in the form of one or more complete samples, where the term “sample” is as defined in ISO/IEC 14496-12 [23]. Its usage pertains to the MDE mode as described in Sec. 8.1.1.5.2, whereby the packet strictly carries an MDE data block comprising samples stored in the ‘mdat’ box. 2: application specific (a collection of boxes). This value means that the payload of this ROUTE packet contains the entire data content of one or more boxes, where term “box” is as defined in ISO/IEC 14496-12 [41]. Its usage pertains to the MDE mode as described in Sec. 8.1.1.5.2, whereby each packet carries the portion of an MDE data block starting with RAP, and strictly comprising boxes which contain metadata (e.g. styp, sidx, moof and their contained (subordinate) boxes). 3-127: reserved for future use 128-255: reserved for proprietary use Default value = “0”

Element or Attribute Name	Use	Data Type	Description
@order	0..1	unsignedByte	<p>This attribute contains an unsignedByte value indicating whether and how the payload of ROUTE packets carrying the objects of the source flow as DASH Segments are delivered in the order of their generation by the DASH encoder.</p> <p>0: arbitrary. This packet carries a portion of the DASH Segment whose order is arbitrary (non-specific) relative to the portion of the same DASH Segment carried by another packet.</p> <p>1: in-order delivery. The concatenation of the payloads of contiguous packets which carry a DASH Segment is identical to the Segment produced by the DASH encoder.</p> <p>2: in-order delivery of media samples and prior to movie fragment box. The concatenation of the payloads of contiguous packets which carry the media samples of a movie fragment (where "movie fragment" is as defined by ISO/IEC 23009-1 [26]) is in the same order of those samples as produced by the DASH encoder. However, these packets shall be transmitted prior to the packet(s) which carry the movie fragment box, moof. Usage of @order=2 is specific to the MDE mode as described in Sec. 8.1.1.5.2.</p> <p>3-127: reserved for future use</p> <p>128-255: reserved for proprietary use</p> <p>Default value = "0"</p>
@srcFecPayloadID	0..1	unsignedByte	<p>Defined values of the Source FEC Payload ID for use in conjunction with the following rules:</p> <p>0: the source FEC payload ID is absent and the entire delivery object is contained in this packet. The FECParams child element of SrcFlow shall be absent.</p> <p>1: the source FEC payload ID is a 32-bit unsigned integer value that expresses the start offset in the object. Start offset is defined in Section A.3.5 The FECParams child element of SrcFlow shall be absent.</p> <p>2: the FECParams child element of SrcFlow defines the Format of the Source FEC Payload ID. Default value = "1"</p>
FECParams	0..1		<p>Defines the parameters of the FEC scheme associated with the source flow, in the form of FEC Object Transmission Information as defined in RFC 5052 [15]</p> <p>The FEC parameters are applied to the Source FEC Payload ID value specified in the ROUTE (ALC) packet header.</p>
<p>Legend: Note that the conditions only hold without using xlink:href. If linking is used, then all attributes are "optional" and <minOccurs=0> Elements are bold; attributes are non-bold and preceded with an @.</p>			

A.3.3 Delivery Objects

A.3.3.1 Overview

The ROUTE protocol enables delivery of delivery objects to receivers which recover the delivery objects and pass them to the application.

A delivery object is self-contained for the application, typically associated with certain properties, metadata and timing-related information that are of relevance for the application. In some cases the properties are provided in-band along with the object, in other cases the data needs to be delivered out-of-band in a static or dynamic fashion.

This protocol enables delivery of at least the following delivery objects:

- 3) Complete or partial files described and accompanied by an FDT instance.
- 4) HTTP Entities (HTTP Entity Header and HTTP Entity Body).
- 5) Packages of delivery objects.

In particular, a type 1 or 2 delivery object may be further differentiated by:

- Whether it corresponds to a full file or a byte range of a file, along with FDT instance.
- Whether it represents timed or non-timed delivery. If timed, certain real-time and buffer restrictions apply and specific extension headers may be used.
- Usage of dynamic and/or static metadata to describe delivery object properties.
- Delivery of specific data structures, especially ISO BMFF structures. In this case a media-aware packetization or a general packetization may be applied.

The delivery format ID specifies the payload format used in order to provide information to the applications. Values of **DeliveryObjectFormatID** shall be as specified in Table A.3.2.

Table A.3.2 Meaning of **DeliveryObjectFormatID** Values

DeliveryObjectFormatID Value	Meaning
0	Reserved
1	File Mode as defined in Section A.3.3.2
2	Entity Mode as defined in Section A.3.3.3
3	Package as defined in Section A.3.3.4
≥ 4	Reserved

A.3.3.2 File Mode

A.3.3.2.1 Background

In the file mode, the delivery object represents a file or a byte range of the file. This mode replicates FLUTE as defined in RFC 6726 [23], but with the ability to send metadata in a static manner as shown in Figure A.3.1.

In FLUTE, FDT instances are delivered in-band and need to be generated and delivered in real-time if objects are generated in real-time at the sender. In contrast to the FDT in RFC 6726 [23], Section 3.4.2 and TS 26.346 [1], Section 7.2.10, the FDT in ROUTE is extended by the use of rules that enable the receiver to generate the File element of the FDT, on-the-fly, by using information in the extended FDT and the LCT header.

The extended FDT together with the LCT packet header may be used to generate the FDT-equivalent descriptions for the delivery object. This avoids the necessity of continuously sending the FDT for real-time objects.

Table A.3.1 below illustrates the functional difference between FLUTE and ROUTE regarding FDT instance delivery and recovery. It is not intended to prescribe any particular means of implementation, but is only providing a reference model.

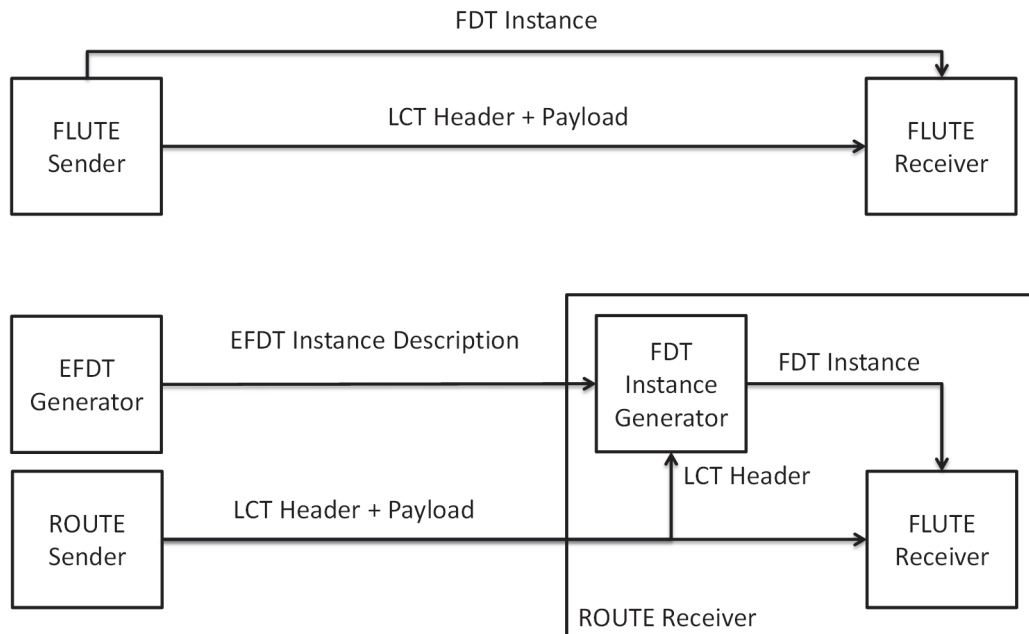


Figure A.3.1 ROUTE Distribution in File Mode compared to FLUTE Distribution

The following methods (non-exhaustive) can be used for delivering the extended FDT:

- As the **EFDT** element embedded in the **SrcFlow** element
- As a separate delivery object referenced by the signaling metadata and which is in turn carried
 - a) In the same ROUTE session and constituent LCT channel that carries the delivery object described by this EFDT
 - b) In the same ROUTE session but different LCT channel from the LCT channel that carries the delivery object described by this EFDT
 - c) Over the broadband network
- As a separate delivery object sent in the same LCT channel that carries the delivery object described by this EFDT, but is not referenced by signaling metadata, i.e., in a delivery mode compatible to legacy FDT delivery in FLUTE.

A.3.3.2.2 Semantics Overview

The **EFDT** shall be represented as an XML document containing a **EFDT** root element that conforms to the definitions in the XML schema that has namespace:

<http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTESLS/1.0/>

The definition of this schema is in a schema file accompanying this standard, as described in Section 3.6 above.

While the indicated XML schema specifies the normative syntax of the **EFDT** element, informative Table A.3.3 below describes the structure of the **EFDT** element in a more illustrative way.

The semantics of the elements and attributes of **EFDT** shall be as given in Table A.3.3.

Table A.3.3 Extended File Delivery Table Semantics

Element or Attribute Name	Use	Data Type	Description
EFDT			If provided, it specifies the details of the file delivery data in the form of the Extended FDT instance which includes nominal FDT instance parameters. The EFDT may either be embedded or may be provided as a reference. If provided as a reference the EFDT may be updated independently of the signaling metadata. If referenced, and delivered as an in-band object of the included source flow which is delivered on an LCT channel separate from the LCT channel carrying the signaling metadata, its TOI value shall be "0". If the referenced EFDT is delivered on a different LCT channel from the LCT channel carrying the contents of the referencing SrcFlow , its TOI value shall be "1".
@tsi	0..1	unsignedInt	TSI of the LCT channel carrying the referenced EFDT.
@idRef	0..1	anyURI	Identification of the EFDT in the form of a URI when the EFDT is delivered in-band with the source flow as a referenced delivery object. Identical to the Content-Location for the FDT.
@version	0..1	unsignedInt	Version of this Extended FDT instance descriptor. The version is increased by one when the descriptor is updated. The received EFDT with highest version number is the currently valid version.
@maxExpiresDelta	0..1	unsignedInt	Time interval in number of integer seconds, which when added to the wall-clock time at the receiver when it acquires the first ROUTE packet carrying the object described by this EFDT, shall represent the expiration time of the associated EFDT. If @maxExpiresDelta is not present, the expiration time of the EFDT shall be given by the sum of a) the value of the ERT field in the EXT_TIME header of the ROUTE packet and b) the current receiver time when parsing the packet header. See Section A.3.3.2.3.2 on additional rules for deriving the EFDT expiration time.
@maxTransportSize	0..1	unsignedInt	The maximum transport size of any object described by this EFDT. Shall be present if not present in FEC_OTI.
FileTemplate	0..1	string	Specifies the file URL (equivalent to the Content-Location attribute of the FDT) or a template format for the derivation of the file URI. For details refer to Section A.3.3.2.3.2.
FDTParameters	0..1	fdt:FDT-InstanceType	Any parameters allowed in the FLUTE FDT instance from RFC 6726 [23].

A.3.3.2.3 EFDT Instance Semantics

A.3.3.2.3.1 General

If the **FileTemplate** element is absent, the EFDT shall conform to an FDT instance according to TS 26.346 [1], Section 7.2.10. This means that

- At least one **File** element must be present, and
- The @expires attribute must be present.

If a **FileTemplate** element is present, then the sender shall operate as follows:

- The TOI shall be set such that Content-Location can be derived according to Section A.3.3.2.3.2.
- After sending the first packet of a TOI, none of the packets pertaining to this TOI shall be sent later than as indicated by @maxExpiresDelta. In addition, the EXT_TIME header with Expected Residual Time (ERT) may be used in order to convey more accurate expiry time, if considered useful. If @maxExpiresDelta is not present, then the EXT_TIME header with Expected Residual Time (ERT) shall be used to signal the value of @expires, according to the procedure described below in Sec. A.3.3.2.3.2.

If a **FileTemplate** element is present, an FDT instance is produced at the receiver as follows:

- Any data that is contained in the **EFDT** may be used as is in generating an FDT instance.
- The data in the **FileTemplate** element is used to generate the file URI (equivalent to the **File@Content-Location** in the FDT) as documented in Section A.3.3.2.3.2 with the reception of an LCT packet with a specific TOI value.

A.3.3.2.3.2 File Template

If an LCT packet with a new TOI is received for this transport session, then an FDT instance is generated with a new **File** entry as follows:

- The **TOI** is used to generate **File@Content-Location** using the mechanism defined in Section A.3.3.2.3.3.
- All other parameters that are present in the **EFDT** element are associated to the **File** attribute.
- Either the EXT_FTI header (per RFC 5775 [21]) or the EXT_TOL header (per Section A.4.2.6.2) shall be used to extract any relevant FEC transport information and map them into the **File** parameters. Note that in ROUTE the EXT_TOL header does not need to be present as the Transport Object Length (TOL) can be derived from the last packet (indicated with the B flag) as given by the sum of the start offset for that packet (i.e. the value of the FEC Payload Id header) and the packet payload length in bytes. In addition, presence of the **File@Transfer-Length** parameter in the EFDT would fulfill the role of the EXT_TOL header.
- If present, the @maxExpiresDelta shall be used to generate the value of the @expires attribute. The receiver is expected to add this value to the current time to obtain the value for @expires. If not present, the EXT_TIME header with Expected Residual Time (ERT) shall be used to extract the expiry time of the FDT instance. If both are present, the smaller of the two values should be used as the incremental time interval to be added to the receiver's current time to generate the effective value for the @Expires. If neither @maxExpiresDelta nor the ERT field of the EXT_TIME is present, then the expiration time of the EFDT is given by the FDT's @Expires attribute.

A.3.3.2.3.3 Substitution

If the **FileTemplate** element is present, the value of **FileTemplate** element may contain the identifiers listed in Table A.3.4.

If no identifier is present, then the **FileTemplate** shall be a valid URL corresponding identically to the **File@Content-Location** attribute of the FDT, and the URL is associated to the object with the TOI number in this transport session.

If the **\$TOI\$** is present, then this element is used to generate a one-to-one mapping between the TOI and URL. In the event that each delivery object of the object flow is a DASH Media Segment, the Segment number may be equal to the TOI value.

In each URI, the identifiers from Table A.3.4 shall be replaced by the substitution parameter defined in Table A.3.4. Identifier matching is case-sensitive. If the URI contains unescaped \$ symbols which do not enclose a valid identifier then the result of URI formation is undefined. The format of the identifier is also specified in Table A.3.4.

Each identifier may be suffixed, within the enclosing '\$' characters following this prototype:
%0[width]d

The width parameter is an unsigned integer that provides the minimum number of characters to be printed. If the value to be printed is shorter than this number, the result shall be padded with zeroes. The value is not truncated even if the result is larger.

The **FileTemplate** shall be authored such that the application of the substitution process results in valid URIs.

Strings outside identifiers shall only contain characters that are permitted within URIs according to RFC 3986 [13].

Table A.3.4 Identifiers for File templates

\$<Identifier>\$	Substitution parameter	Format
\$\$	Is an escape sequence, i.e. "\$\$" is non-recursively replaced with a single "\$"	not applicable
\$TOI\$	This identifier is substituted with the TOI.	The format tag may be present. If no format tag is present, a default format tag with width=1 shall be used.

A.3.3.3 Entity Mode

In the Entity Mode, the delivery object represents an entity as defined in RFC 2616 [10], Section 7. An entity consists of **entity-header** fields and an **entity-body**.

This mode reuses the major concepts of FCAST as defined in RFC 6968 [40], but permits delivery of any type of HTTP entity headers including extension headers, etc.

The **entity-header** field sent along with the file provides all information for the contained complete or partial file. Note that if the header contains a **Content-Range** **entity-header** then the delivery object represents a portion of the target file, in the form of a byte range. In addition, this mode also allows for chunked delivery in case the **entity-header** contains the signaling.

A.3.3.4 Packaging

In this delivery mode, the delivery object consists of a group of files that are packaged for delivery only. If applied, this packaging is only used for the purpose of delivery and the client shall unpack the package and provide each file as an independent object to the application. Packaging is supported by Multipart MIME [9], where objects are packaged into one document for transport. Packaged files shall be delivered using the file mode with **Content-Type** set to **multipart**.

If a file is delivered in package mode, then the ROUTE receiver must unpack the received delivery object before the constituent files are passed to the application, whereas in regular file mode (not in package mode), the object itself is directly handed to the application.

A.3.4 Usage of ALC and LCT

The ROUTE source protocol is based on ALC as defined in RFC 5775 [21] with the following details:

- The Layered Coding Transport (LCT) Building Block as defined in RFC 5651 [20] is used with the following constraints:
 - The Congestion Control Information (CCI) field in the LCT header may be set to 0.
 - The TSI in the LCT header shall be set equal to the value of the **TransportSession@tsi** attribute.
 - The Code Point in the LCT header shall be used to signal the applied formatting as defined in the signaling metadata.
 - The MSB of the PSI shall be set to 1 to indicate a source packet.
 - In accordance to ALC, a source **FEC Payload ID** header is used to identify, for FEC purposes, the encoding symbols of the delivery object, or a portion thereof, carried by the associated ROUTE packet. This information may be sent in several ways:
 - As a simple new null FEC scheme with the following usage:
 - The value of the source **FEC Payload ID** header shall be set to 0, in case the ROUTE packet contains the entire delivery object, or
 - The value of the source **FEC Payload ID** header shall be set as a direct address (start offset) corresponding to the starting byte position of the portion of the object carried in this packet using a 32-bit field.
 - Existing FEC schemes that are widely deployed
 - Using the Compact No-Code as defined in RFC 5445 [19].
 - In a compatible manner to RFC 6330 [22] where the SBN and ESI defines the start offset together with the symbol size T.
 - The signaling metadata provides the appropriate parameters to indicate any of the above modes using the **@sourceFecPayloadID** attribute and the **FECParameters** element.
- The LCT Header **EXT_TIME** extension as defined in RFC 5651 [20] may be used by the sender in the following manner:
 - The Sender Current Time (SCT) may be used to occasionally or frequently signal the sender current time depending on the application. This may be used in order to synchronize the clock of the sender and the receiver, or to measure jitter and delivery latency.
 - The Expected Residual Time (ERT) may be used to indicate the expected remaining time for transmission of the current object.
 - The Sender Last Changed (SLC) flag is typically not utilized, but may be used to indicate addition/removal of Segments.
- Additional extension headers may be used to support real-time delivery. Such extension headers are defined in Section A.3.7.
- The LCT channel description information is communicated through signaling metadata.

Version number (v) – This 4-bit field indicates the protocol version number. The version number for this specification is ‘0001’.

Protocol-Specific Indication (PSI) – This 2-bit field indicates whether the current packet is a source packet or an FEC repair packet. As the ROUTE source protocol only delivers source packets, this field shall be set to ‘10’.

Congestion Control flag (c) field – This 2-bit field, as defined in RFC 5651 [20], shall be set to ‘00’.

Transport Session Identifier flag (s) – This 1-bit field shall be set to ‘1’ to indicate a 32-bit word in the τSI field.

Transport Object Identifier flag (o) – This 2-bit field shall be set to ‘01’ to indicate the number of full 32-bit words in the τOI field.

Half-word flag (H) – This 1-bit field shall be set to ‘0’ to indicate that no half-word field sizes are used.

Transport Session Identifier (τSI) – This 32-bit field shall identify the Transport Session in ROUTE. The context of the Transport Session is provided by signaling metadata. The τSI field is constrained to a length of 32 bits because the Transport Session Identifier flag (s) must be set to ‘1’ and the Half-word flag (H) must be set to ‘0’.

Transport Object Identifier (τOI) – This 32-bit field shall identify the object within this session to which the payload of the current packet belongs. The mapping of the TOI field to the object is provided by the Extended FDT. The τOI field is constrained to a length of 32 bits because the Transport Object Identifier flag (o) must be set to ‘01’ and the Half-word flag (H) must be set to ‘0’.

Codepoint (CP) – This 8-bit field is used to indicate the type of the payload that is carried by this packet. Depending on the type of the payload, additional payload header may be added to prefix the payload data.

The main changes that ROUTE introduces to the usage of the LCT building block are the following:

- ROUTE limits the usage of the LCT building block to a single channel per session. Congestion control is thus sender-driven in ROUTE.
- The functionality of receiver-driven layered multicast may still be offered by the application, allowing the receiver application to select the appropriate delivery session based on the bandwidth requirement of that session.

A.3.7 Extension Headers

A.3.7.1 Introduction

For proper operation of the protocol in different circumstances, LCT extension headers are defined that support the operation of the ROUTE protocol for different purposes.

Note: The HET values in the headers will be registered with IANA according to Section 9 of RFC 5651 [20].

A.3.7.2 EXT_ROUTE_PRESENTATION_TIME Header

A ROUTE presentation time header may be added to an LCT packet. ROUTE applications may use the EXT_ROUTE_PRESENTATION_TIME Header to deliver time-related information. Two alternatives may be employed as shown in Figure A.3.3 and Figure A.3.4. In the first alternative,

a fixed length header is to be registered. If present, it expresses the third, fourth and fifth octet of a 64-bit NTP timestamp. The value must always be greater than the SCT.

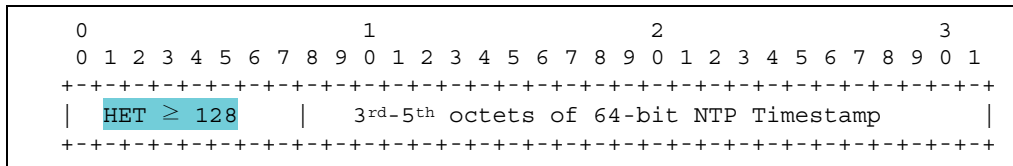


Figure A.3.3 Four-byte EXT_ROUTE_PRESENTATION_TIME header.

In the second alternative, a full 64-bit NTP time stamp value is carried in the header.

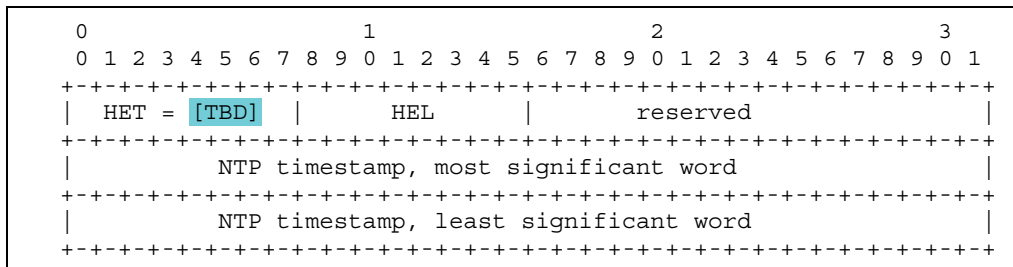


Figure A.3.4 12-byte EXT_ROUTE_PRESENTATION_TIME header.

Note: formal registration and assignment of HET values is underway.

A.3.7.3 EXT_TIME Header

In addition to EXT_ROUTE_PRESENTATION_TIME, the EXT_TIME header as defined in RFC 5651 [20] may be used, and SCT-High and SCT-Low flags may be set.

A.3.8 Basic ROUTE Sender Operation

The following description of the ROUTE sender operation on mapping of the delivery object to the ROUTE packet payloads logistics represents an extension of RFC 5445 [19], which in turn inherits the context, language, declarations and restrictions of the FEC building block in RFC 5052 [15]. The data carried in the payload of a given ROUTE packet constitute a contiguous portion of the delivery object, and the ROUTE source delivery can be considered as a special case of the use of the Compact No-Code Scheme according to Sections 3.4.1 and 3.4.2 of RFC 5445 [19], in which the encoding symbol size is exactly one byte.

In the basic operation, it is assumed that:

- A delivery object is fully available at the ROUTE sender
- $T > 0$ represents the Transfer-Length of the object in bytes
- The Source FEC Payload ID comprises the start_offset field

The maximum transfer unit of the first data packet is known as Y. The transfer unit is determined either by knowledge of underlying transport block sizes or by other constraints. In case Y is greater than or equal to T, this is the only packet for this delivery object. Therefore, the codepoint may be set indicating a packet header size of 0. The entire delivery object is then carried as the payload of the packet.

If Y is smaller than T , then the data carried in the payload of a packet consists of a contiguous portion of the object. For any arbitrary X and any arbitrary $Y > 0$ as long as $X + Y$ is at most T , a ROUTE packet may be generated. In this case the following shall hold:

- 1) The data carried in the payload of a packet shall consist of a contiguous portion of the object starting from the beginning of byte X through the beginning of byte $X + Y$.
- 2) The `start_offset` field in the ROUTE packet header shall be set to X and the payload data shall be added into the packet to send.
- 3) If
 - $X + Y$ is identical to T , the payload header flag B shall be set to "1".
 - else
 - The payload header flag B shall be set to "0".

The order of packet delivery is arbitrary, but in the absence of other constraints delivery with increasing `start_offset` value is recommended. Certain applications may require a strict sending order with increasing `start_offset` value for each subsequent ROUTE packet.

In other cases, the transfer length may be unknown prior to sending earlier pieces of the data, and only a maximum transfer length is known as signaled in the EFDT parameter `@route:maxTransportSize`. In this case, T may be determined later. However, this does not affect the sending process above. Additional packets may be sent following the above rules 1 to 3. In this case the B flag shall only be set to '1' for the payload that contains the last portion of the object.

A.3.9 Basic ROUTE Receiver Operation

A.3.9.1 Overview

Figure A.3.5 illustrates the basic receiver operation. The receiver receives packets and filters these packets accordingly. From the ROUTE session and each contained LCT channel it regenerates delivery objects that are passed to the appropriate handler in order to process the data further.

The basic receiver information is provided below. In addition, the treatment in case of different received objects is described in this section.

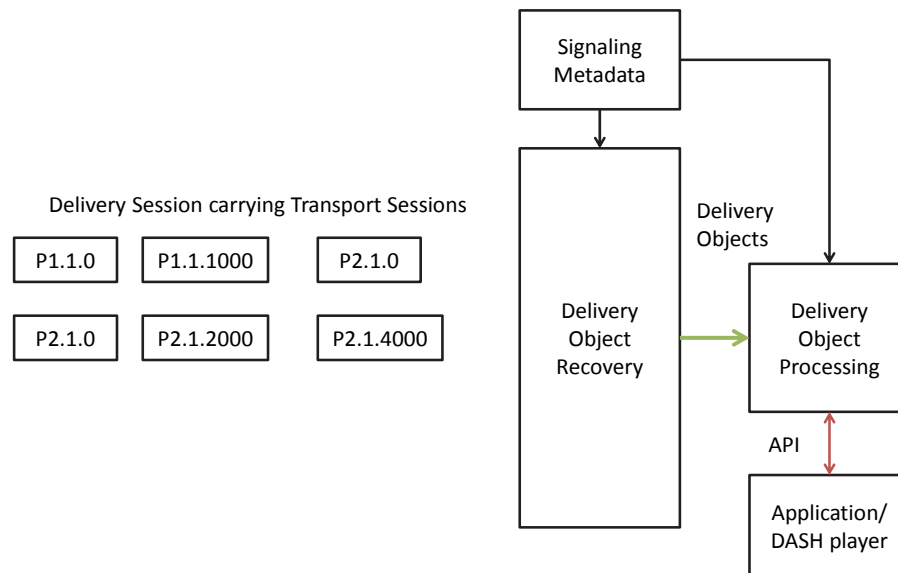


Figure A.3.5 Receiver Operation

A.3.9.2 Basic Delivery Object Recovery

Signaling metadata contains information that describes the carried object flows. Upon receipt of each payload, the receiver proceeds with the following steps in the order listed.

- 1) The ROUTE receiver is expected to parse the LCT and ROUTE (ALC) header to verify that it is a valid header. If it is not valid, then the payload shall be discarded without further processing.
- 2) The ROUTE receiver is expected to assert that the TSI and the codePoint represent valid operation points in the signaling metadata, i.e. the signaling contains a matching entry to the TSI value provided in the packet header, as well as for this TSI, the signaling contains the attribute **PayloadFormat@codePoint** whose value is identical to the codePoint field in the LCT header.
- 3) The ROUTE receiver should process the remainder of the payload, including the appropriate interpretation of the other payload header fields, and using the source FEC Payload ID (to determine the start_offset) and the payload data to reconstruct the corresponding object as follows:
 - a) The ROUTE receiver can determine the object associated with the received ROUTE packet payload via the signaling metadata and the TOI carried in the LCT header.
 - b) Upon receipt of the first ROUTE packet payload for an object, the ROUTE receiver uses the maxTransportSize attribute of the EFDT to determine the maximum length T' of the object.
 - c) The ROUTE receiver allocates buffer space for the T' bytes that the object may occupy.
 - d) The ROUTE receiver also computes the length of the payload, Y, by subtracting the payload header length from the total length of the received payload.
 - e) The ROUTE receiver allocates a Boolean array RECEIVED[0..T'-1] with all T entries initialized to false to track received object symbols. The ROUTE receiver continuously acquires packet payloads for the object as long as any one (or more) of

- the following conditions is satisfied: i) there is at least one entry in RECEIVED still set to false; ii) the object has not yet expired; iii) the application has not given up on reception of this object. More details are provided below.
- f) For each received ROUTE packet payload for the object (including the first payload), the steps to be taken to help recover the object are as follows:
 - i. Let X be the value of the `start_offset` field in the ROUTE packet header and let Y be the length of the payload, Y , computed by subtracting the LCT header size and the ROUTE (ALC) header size from the total length of the received packet.
 - ii. The ROUTE receiver copies the data into the appropriate place within the space reserved for the object and sets $\text{RECEIVED}[X \dots X+Y-1] = \text{true}$.
 - iii. If all T entries of RECEIVED are true, then the receiver has recovered the entire object.
 - g) Once the ROUTE receiver detects a ROUTE packet with the B flag set to 1, it can determine the transfer length T of the object as $X+Y$ of the corresponding ROUTE packet payload and adjust the Boolean array $\text{RECEIVED}[0..T-1]$ to $\text{RECEIVED}[0..T-1]$.

Upon recovery of both the complete set of packet payloads for the delivery object associated with a given TOI value, and the metadata for that delivery object, the object is handed to the application.

Metadata recovery depends on the applied delivery mode.

A.3.9.3 General Metadata Recovery

Typically, delivery objects are only handed up to the application if they are complete and intact.

However, in certain circumstances a partially received object may be handed up, if the application API permits this and assuming that sufficient metadata is available to enable the application to handle the partial object. One defined mechanism for this is described in Section 7.2.3 of 3GPP TR 26.946 [36].

If an object is received in the file mode, then the extended FDT is used to recover all object metadata. For details on how to use this, refer to Section A.3.3.2.3.

If an object is received in the entity mode, then the entity header and the entity body are treated according to RFC 2616 [10].

A.3.9.4 Packaged Mode Reception

If a delivery object is received in packaged mode, then the receiver is expected to unpack the files prior to handing them to the application along with the metadata that is included in the package.

A.4 REPAIR PROTOCOL SPECIFICATION

A.4.1 Introduction

The delivery objects and bundles of delivery objects delivered by the source protocol as described in Section A.3 may be protected with FEC. The base protocol as defined in Section A.3 avoids including any FEC-specific signaling.

In this section an FEC framework is defined that enables FEC protection of individual or bundles of delivery objects when using the source protocol defined in Section A.3.

The FEC framework uses concepts of the FECFRAME work as defined in RFC 6363 [39], as well as the FEC building block, RFC 5052 [15], which is adopted in the existing FLUTE/ALC/LCT specifications.

The FEC design adheres to the following principles:

- FEC-related information is provided only where needed.
- Receivers not capable of this framework can ignore repair packets.
- The FEC is symbol-based with fixed symbol size per protected repair flow. The ALC protocol and existing FEC schemes are reused.
- A FEC repair flow provides protection of delivery objects from one or more source flows.

The FEC-specific components of the FEC framework are:

- FEC repair flow declaration including all FEC specific information.
- FEC transport object that is the concatenation of a delivery object, padding octets and size information in order to form a symbol-aligned chunk of data.
- FEC super-object that is the concatenation of one or more FEC transport objects in order to bundle FEC transport objects for FEC protection.
- FEC protocol and packet structure.

A receiver needs to be able to recover delivery objects from repair packets based on available FEC information.

A.4.2 FEC Protocol

A.4.2.1 Introduction

This section specifies the protocol elements for the FEC Framework. Four components of the protocol are defined in this document and are described in the following sections:

- 1) FEC transport object construction
- 2) FEC super-object construction as the concatenation of FEC transport objects
- 3) TOI mapping
- 4) Repair packet generation

The operation of the FEC Framework is governed by Repair Flow definition as defined in Section A.4.3.

Figure A.4.1 shows the FEC packet generation based on two delivery objects.

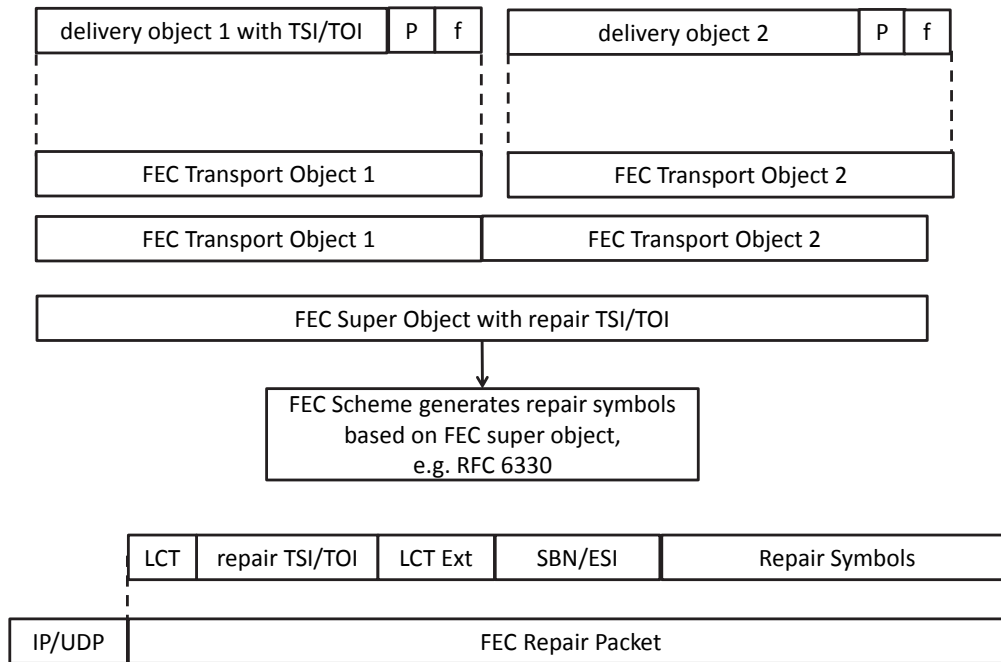


Figure A.4.1 FEC Packet Generation

A.4.2.2 FEC transport object construction

In order to identify a delivery object in the context of the Repair protocol, the following information is needed:

- TSI and TOI of the delivery object. In this case, the FEC object corresponds to the (entire) delivery object.
- Octet range of the delivery object, i.e. start offset within the delivery object and number of subsequent and contiguous octets of delivery object that constitutes the FEC object (i.e., the FEC-protected portion of the source object). In this case, the FEC object corresponds to a contiguous byte range portion of the delivery object.

Typically, the first mapping is applied; i.e., the delivery object is an FEC object.

Assuming that the FEC object is the delivery object, for each delivery object, the associated FEC transport object is comprised of the concatenation of the delivery object, padding octets (P) and the FEC object size (F) in octets, where F is carried in a 4-octet field. The FEC transport object size S, in FEC encoding symbols, shall be an integer multiple of the symbol size Y.

S is determined from the session information and/or the repair packet headers.

F is carried in the last 4 octets of the FEC transport object.

Specifically, let

- F be the size of the delivery object in octets,
- **F** be the F octets of data of the delivery object,
- **f** denotes the four octets of data carrying the value of F in network octet order (high order octet first),
- S be the size of the FEC transport object with $S = \text{ceil}((F+4)/Y)$, where the ceil() function rounds the result upward to its nearest integer,

- **P** be $S \cdot Y - 4 - F$ octets of data, i.e. padding placed between the delivery object and the 4-byte field conveying the value of F and located at the end of the FEC transport object, and
- **O** be the concatenation of **F**, **P** and **f**.

O then constitutes the FEC transport object of size $S \cdot Y$ octets. Note that padding octets and the object size F are NOT sent in source packets of the delivery object, but are only part of an FEC transport object that FEC decoding recovers in order to extract the FEC object and thus the delivery object or portion of the delivery object that constitutes the FEC object. In the above context, the FEC transport object size in symbols is S .

Figure A.4.2 shows an example with $S=3$.

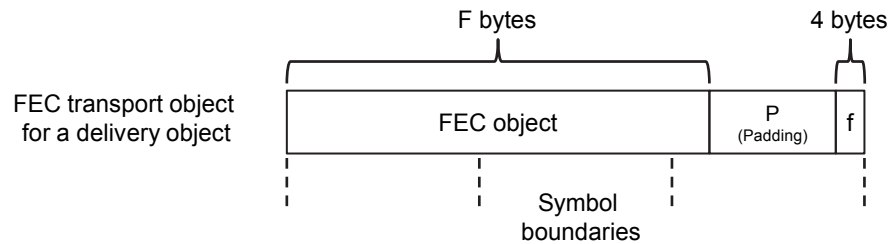


Figure A.4.2 FEC Transport Object Construction (Example with $S = 3$)

The general information about an FEC transport object that is conveyed to an FEC enabled receiver is the source TSI, source TOI and the associated octet range within the delivery object comprising the associated FEC object. However, as the size in octets of the FEC object is provided in the appended field within the FEC transport object, the remaining information can be conveyed as:

- TSI and TOI of the delivery object from which the FEC object associated with the FEC transport object is generated
- Start octet within delivery object for the associated FEC object
- Size in symbols of the FEC transport object

A.4.2.3 Super-Object and FEC repair for delivery objects

From the FEC repair flow declaration, the construction of an FEC super-object as the concatenation of one or more FEC transport objects can be determined. The FEC super-object includes the general information about the FEC transport objects as described in the previous subsection, as well as the placement order of FEC transport objects within the FEC super-object.

Let

- N be the total number of FEC transport objects for the FEC super-object construction.
- For $i = 0, \dots, N-1$, let $S[i]$ be the size in symbols of FEC transport object i .
- **B** be the FEC super-object which is the concatenation of the FEC transport objects in numerical order, comprised of $K = \sum_{i=0}^{N-1} S[i]$ source symbols.

For each FEC super-object, the remaining general information that needs to be conveyed to an FEC enabled receiver, beyond what is already carried in the FEC transport objects that constitute the FEC super-object, comprises:

- The total number of FEC transport objects N.
- For each FEC transport object, the
 - TSI and TOI of the delivery object from which the FEC object associated with the FEC transport object is generated,
 - start octet within delivery object for the associated FEC object, and
 - size in symbols of the FEC transport object.

The carriage of the FEC repair information is discussed below.

A.4.2.4 Repair Packet Structure

The repair protocol is based on Asynchronous Layered Coding (ALC) as defined RFC 5775 [21] and the LCT Layered Coding Transport (LCT) Building Block as defined in RFC 5651 [20] with the following details:

- The Layered Coding Transport (LCT) Building Block as defined in RFC 5651 [20] is used as defined in Asynchronous Layered Coding (ALC), Section 2.1. In addition, the following constraints apply:
 - The TSI in the LCT header shall identify the repair flow to which this packet applies, by the matching value of the @tsi attribute in the signaling metadata among the LCT channels carrying repair flows.
 - The first bit of the Protocol Specific Indication (PSI bit x), the Source Packet Indicator (SPI), shall be set to '0' to indicate a repair packet.
 - FEC configuration information may be carried in LCT extension headers.
- The FEC building block is used according to RFC 5053 [16] and RFC 6330 [22], but only repair packets are delivered.
 - Each repair packet within the scope of the repair flow (as indicated by the TSI field in the LCT header) shall carry the appropriate repair object/super-object TOI. The repair object/super-object TOI shall be unique for each FEC super-object that is created within the scope of the TSI.

A.4.2.5 Summary FEC Information

For each super-object (identified by a unique TOI within a Repair Flow that is in turn identified by the TSI in the LCT header) that is generated, the following information needs to be communicated to the receiver:

- The FEC configuration consisting of
 - FEC Object Transmission Information (OTI) per RFC 5052 [15].
 - Additional FEC information (see Table A.4.1).
- The total number of FEC objects included in the FEC super-object.
- For each FEC transport object,
 - TSI and TOI of the delivery object used to generate the FEC object associated with the FEC transport object,
 - Start octet within the delivery object of the associated FEC object, if applicable, and
 - The size in symbols of the FEC transport object.

The above information may be delivered:

- Statically in the RepairFlow declaration as defined in Section A.4.3,
- Dynamically in an LCT extension header, or

- Via a combination of the above two methods.

A.4.2.6 Extension Headers

A.4.2.6.1 General

The ROUTE receiver uses either the EXT_FTI per RFC 5775 [21] or the EXT_TOL header specified below to extract any relevant FEC transport information.

A.4.2.6.2 EXT_TOL Header

The EXT_TOL24 and the EXT_TOL48 header formats are shown in Figure A.4.3. EXT_TOL is an LCT extension header that denotes the transport object length as either a 24-bit or 48-bit unsigned integer value.

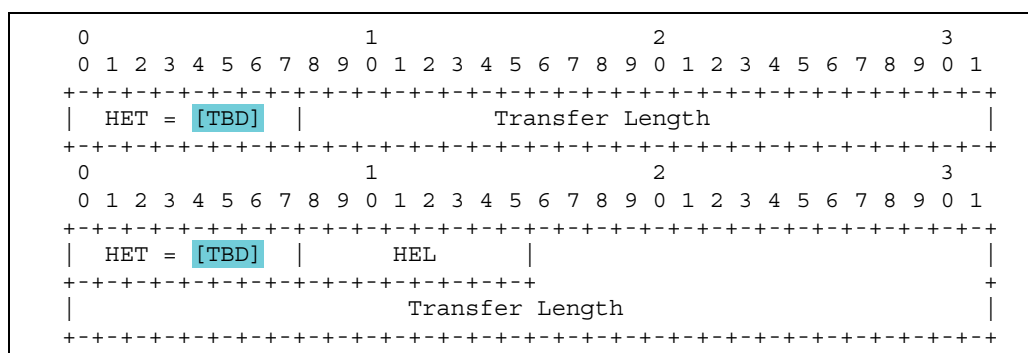


Figure A.4.3 EXT_TOL Header (24-bit version shown on top and 48-bit version shown on bottom)

Note: formal registration and assignment of HET values is underway.

A.4.3 Repair Flows Declaration

A.4.3.1 General

In the delivery of ATSC 3.0 services, a repair flow declaration may be included as service signaling metadata pertaining to an instance of the User Service Bundle Description.

As part of the repair flow declaration, a repair flow identifier is provided for the repair flow in the @tsi attribute, and all repair flows shall be declared to be of type `RepairFlow`. The combination of the IP address, the port number and the repair flow identifier shall provide a unique identifier among all flows within a User Service. Note that a destination IP address/port number combination may carry multiple FEC repair flows as well as source flows, in which case each flow is identified by a unique TSI value in the LCT header.

The repair flow declaration indicates the pattern of the delivery objects (or octet ranges of delivery object) from source flows that are to be protected by the repair flow.

A.4.3.2 Semantics

The **RepairFlow** shall be represented as an XML document containing a **RepairFlow** root element that conforms to the definitions in the XML schema that has namespace:

<http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTESLS/1.0/>

The definition of this schema is in a schema file accompanying this standard, as described in Section 3.6 above.

While the indicated XML schema specifies the normative syntax of the **RepairFlow** element, informative Table A.4.1 below describes the structure of the **RepairFlow** element in a more illustrative way.

The semantics of the elements and attributes of **RepairFlow** shall be as given in Table A.4.1.

Table A.4.1 Semantics of RepairFlow Element

Element or Attribute Name		Use	Data type	Description
RepairFlow			rprFlowType	Repair flow carried in the LCT channel referenced by signaling metadata.
	FECParameters			FEC Parameters corresponding to the repair flow
	@maximumDelay	0..1	unsignedInt	Specifies the maximum delivery delay between any source packet in the source flow and the repair flow.
	@overhead	0..1	unsignedShort (percentage)	Specifies the overhead in percentage
	@minBuffSize	0..1	unsignedInt	Specifies the required buffer size. If present then this attribute defines the minimum buffer size that is required to handle all associated objects that are assigned to a super-object.
	FECOTI	1	string	Specifies the FEC Object Transmission Information (FEC OTI) as defined in RFC 5052 [15]. FEC OTI corresponds to FEC related information associated with an object as well as FEC information associated with the encoding symbols of the object and is to be included within this declaration and applies to all repair packets with the repair flow.
	ProtectedObject	0..N	protObjType	Specifies the source flow(s) protected by this Repair Flow and the details on how the protection is done. It also defines how certain delivery objects of a collection of objects are included in the repair flow.

Table A.4.2 Protected Object Bundle

Element or Attribute Name	Use	Data type	Description
ProtectedObject	0..N		Specifies the source flow(s) protected by this Repair Flow and the details on how the protection is done. It also defines how certain delivery objects of a collection of objects are included in the repair flow.
@sessionDescription	0..1	string	Specifies the session description information for the source flow. Details are specified in signaling metadata. If not present, the source flow is contained in the same session as the repair flow.
@tsi	1	unsignedInt	Specifies transport session identifier for the source flow to be protected.
@sourceTOI	0..1	string	Specifies the TOI of the delivery object corresponding to the TOI of the FEC (super-)object delivered by the repair flow. For details see Section A.4.3.3. If not present, the source TOI is the same as the repair TOI.
@fecTransportObjectSize	0..1	unsignedInt	Specifies the default size of each FEC transport object, in units of symbols. If not present then these values shall be provided in the repair packets using the EXT_TOL header. If present, the EXT_TOL header shall not be present.

A.4.3.3 TOI Mapping

If the repair flow declaration contains a **ProtectedObject** element, then the @sourceTOI attribute shall specify a mapping of the repair TOI value contained in a repair packet to a source TOI of a delivery object that the repair packet protects.

The mapping shall be described through an equation in C Language format, in the form $[\text{sourceTOI} = x * \text{TOI} + y]$; whereby the TOI field of the repair flow is specified as the variable TOI, x and y represent 16-bit unsigned integer values, and the result of the equation determines the source TOI value. The mapping shall be represented by a proper C equation with at most one variable TOI and without the addition of the ";" sign. If no @sourceTOI attribute is provided in the **ProtectedObject** then the default equation $\text{sourceTOI} = \text{TOI}$ shall be applied to derive the source TOI from the repair TOI.

A.4.3.4 Examples for RepairFlow Declarations

A.4.3.4.1 Example 1

In Example 1, a repair packet with TSI value 10 and TOI 20 protects the delivery object with TOI 20 from the source flow with TSI 1. The FEC transport object size is defined and is 892 symbols. The repair flow declaration is provided below:

```

<?xml version="1.0"?>
<RepairFlow xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns="http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTESLS/1.0/"
  xsi:schemaLocation="http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTESLS/1.0/ROUTESLS.xsd"
  tsi="10"
  sessionDescription="http://mbmsrocks.com/example-session1.sdp">
  <FECParameters fecEncodingID="6" maximumDelay="5000">
    <FECOTI>XXXXXXXXXX</FECOTI>
    <ProtectedObject
      tsi="1"
      sessionDescription="http://mbmsrocks.com/example-session1.xml"
      sourceTOI="TOI"
      fecTransportObjectSize="892"/>
  </FECParameters>
</RepairFlow>

```

Figure A.4.4 RepairFlow Example #1

A.4.3.4.2 Example 2:

In Example 2, since no @sourceTOI attribute is provided in the **ProtectedObject** then the default equation `sourceTOI="TOI"` is used to derive the source TOI from the TOI. Thus, the super-object that is protected by a repair packet with TSI 11 and TOI 20 is the concatenation of the FEC transport object for the delivery object with TSI 2 and TOI 20 and the FEC transport object for the delivery object with TSI 3 and TOI 20. The FEC transport object size in both cases is defined and the FEC super-object has a total size of 2232 symbols.

All three flows are delivered in the same session.

```

<?xml version="1.0"?>
<RepairFlow xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns="http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTESLS/1.0/"
  xsi:schemaLocation="http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTESLS/1.0/ROUTESLS.xsd"
  tsi="11"
  sessionDescription="http://mbmsrocks.com/example-session1.sdp">
  <FECParameters fecEncodingID="6" maximumDelay="5000">
    <FECOTI>XXXXXXXXXX</FECOTI>
    <ProtectedObject tsi="2" fecTransportObjectSize="892"/>
    <ProtectedObject tsi="3" fecTransportObjectSize="1340"/>
  </FECParameters>
</RepairFlow>

```

Figure A.4.5 RepairFlow Example #2

A.4.3.4.3 Example 3:

In Example 3, the FEC super-object that is protected by a repair packet with TSI 12 and TOI 20 is the concatenation of the FEC transport object for the delivery object with TSI 4 and TOI 40, the FEC transport object for the delivery object with TSI 5 and TOI 20 and the FEC transport object for the delivery object with TSI 4 and TOI 41. In this example, the FEC transport object sizes of the delivery objects are not included in the repair flow declaration.

Note that the sequence of the **ProtectedObject** declarations within a **RepairFlow** declaration determines the order of the FEC transport objects for the delivery objects in the super-object.

```

<?xml version="1.0"?>
<RepairFlow xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns="http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTESLS/1.0/"
  xsi:schemaLocation="http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTESLS/1.0/ROUTESLS.xsd"
  tsi="12"
  sessionDescription="http://mbmsrocks.com/example-session1.xml">
  <FECParameters fecEncodingID="6" maximumDelay="5000">
    <FECOTI>XXXXXXXXXX</FECOTI>
    <ProtectedObject tsi="4" sourceTOI="2*TOI"/>
    <ProtectedObject tsi="5"/>
    <ProtectedObject tsi="4" sourceTOI="2*TOI+1"/>
  </FECParameters>
</RepairFlow>

```

Figure A.4.6 RepairFlow Example #3.

A.4.3.4.4 Example 4

In Example 4, a repair packet with TSI 13 and TOI 20 protects the delivery object with TSI 6 and TOI 21.

```

<?xml version="1.0"?>
<RepairFlow xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns="http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTESLS/1.0/"
  xsi:schemaLocation="http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTESLS/1.0/ROUTESLS.xsd"
  tsi="13"
  sessionDescription="http://mbmsrocks.com/example-session1.xml">
  <FECParameters fecEncodingID="6" maximumDelay="5000">
    <FECOTI>XXXXXXXXXX</FECOTI>
    <ProtectedObject tsi="6" sourceTOI="TOI+1"/>
  </FECParameters>
</RepairFlow>

```

Figure A.4.7 RepairFlow Example #4.

A.4.3.4.5 Example 5:

In Example 5, a repair packet with TSI 14 and TOI 10 protects the concatenation of the FEC transport objects for two delivery objects: the delivery object with TSI 7 and TOI 20 and the delivery object with TSI 7 and TOI 21. The following repair flow declaration with TSI 14 provides the static FDD.

```

<?xml version="1.0"?>
<RepairFlow xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns="http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTESLS/1.0/"
  xsi:schemaLocation="http://www.atsc.org/XMLSchemas/ATSC3/Delivery/ROUTESLS/1.0/ROUTESLS.xsd"
  tsi="14"
  sessionDescription="http://mbmsrocks.com/example-session1.sdp">
  <FECParameters fecEncodingID="6" maximumDelay="5000">
    <FECOTI>XXXXXXXXXX</FECOTI>
    <ProtectedObject tsi="7" repairTOI="2*TOI"/>
    <ProtectedObject tsi="7" repairTOI="2*TOI+1"/>
  </FECParameters>
</RepairFlow>

```

Figure A.4.8 RepairFlow Example #5.

The ordering of the FEC transport objects in the FEC super-object is the same as the order in which the **ProtectedObject** declarations are made in the **RepairFlow** declaration. Thus, in example 5, the FEC super-object corresponding to a repair packet with TSI 14 and TOI 10 is the concatenation of the FEC transport object for the delivery object with TSI 7 and TOI 20 and the FEC transport object for the delivery object with TSI 7 and TOI 21.

A.4.4 Receiver Operation

A.4.4.1 Introduction

For robust reception, FEC may be applied. In this case, one or more repair flows are sent along with the source packet streams. The repair flow description is provided in Section A.4.3.2.

The crucial aspect of receiver operation in a ROUTE environment is the recovery of the delivery objects from incoming LCT packets along with additional information provided in the signaling that describes the source and repair packet flows. The specific procedure depends on the implementation option, for example:

- No FEC is employed and the delivery object is to be recovered directly from the source packets.
- FEC is provided individually for each delivery object, i.e. no use of FEC super-objects as described in Section A.4.2.3.
- Single FEC scheme is used to protect a multitude of delivery objects, i.e. use of FEC super-objects.
- Multiple FEC schemes are used to protect different sets of delivery objects.

In addition, it may be up to the receiver to decide to use zero, one or more of the FEC streams. Hence, each flow is typically assigned an individual recovery property, which defines aspects such as the delay and the required memory if one or the other is chosen. The receiver may select one or more repair flows, depending on its mode of operation. The receiver may decide whether or not to utilize repair flows based on the following considerations:

- The desired start-up and end-to-end latency. If a repair flow requires significant amount of buffering time to be effective, such repair flow might only be used in time-shift operations or in poor reception conditions, since use of such repair flow trades off end-to-end latency against media presentation quality.
- FEC capabilities, i.e. the receiver may pick only the FEC algorithm that it supports.
- Which source flows are being protected; for example, if the repair flow protects source flows that are not selected by the receiver, then it may not select the repair flow.
- Other considerations such as available buffer size, reception conditions, etc.

If a receiver decides to acquire a certain repair flow then it must receive data on all source flows that are protected by that repair flow to collect the relevant packets.

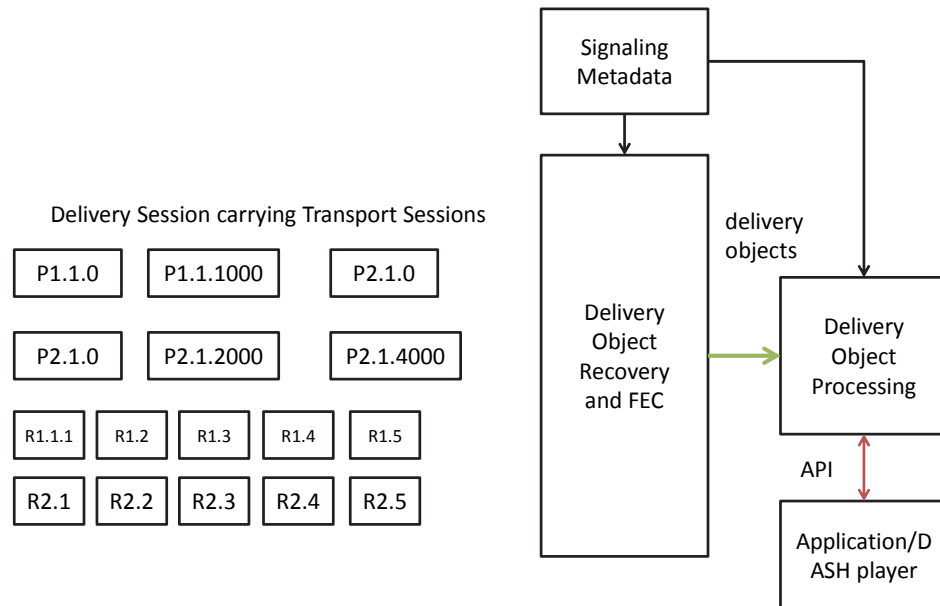


Figure A.4.9 ROUTE Receiver with FEC

A.4.5 Example Operation

To be able to recover the delivery objects that are protected by a repair flow, a receiver needs to obtain the necessary service signaling metadata fragments that describe the corresponding collection of delivery objects that are covered by this Repair Flow. A repair flow is defined by the combination of an LCT channel, a unique TSI number, as well as the corresponding protected source flows.

If a receiver acquires data of a repair flow, it is expected to collect all packets of all protected Transport Sessions.

Upon receipt of each packet, whether it's a source or repair packet, the receiver proceeds with the following steps in the order listed.

- 1) The receiver is expected to parse the packet header and verify that it is a valid header. If it is not valid, then the packet shall be discarded without further processing.
- 2) The receiver is expected to parse the TSI field of the packet header and verify that a matching value exists in the service signaling for the Repair Flow or the associated Protected source flow. If no match is found, the packet shall be discarded without further processing.
- 3) The receiver processes the remainder of the packet, including interpretation of the other header fields, and using the Source FEC Payload ID (to determine the start_offset byte position within the source object), the Repair FEC Payload ID, as well as the payload data, reconstructs the decoding blocks corresponding to a FEC super-object as follows:
 - a) For a source packet, the receiver identifies the delivery object to which the received packet is associated, using the session information and the TOI carried in the payload header. Similarly, for a repair object the receiver identifies the FEC super-object to which the received packet is associated, using the session information and the TOI carried in the payload header.

- b) For source packets, the receiver collects the data for each FEC super-object and recovers FEC super-objects in same way as in Section A.3.9.2. The received FEC super-object is then mapped to a source-block and the corresponding encoding symbols are generated.
- c) With the reception of the repair packets, the FEC super-object can be recovered.
- d) Once the FEC super-object is recovered, the individual delivery objects can be extracted.

A.4.6 Repair Protocol

If FEC is included and @minBufferTime of the repair flow declaration is present, then @minBufferTime shall convey the minimum buffer time for the repair flow.

A.5 SECURITY CONSIDERATIONS

Refer to ALC as defined in RFC 5775 [21].

Annex B: Signaling Instance Examples

B.1 HIERARCHY SIGNALING STRUCTURE

The diagram in Figure B.1.1 illustrates the delivery of two S-TSID instances over ROUTE. One S-TSID provides access information for the LCT channels, belonging to ROUTE session #1, which carries the content components of Service_X. The second S-TSID provides access information for the LCT channel(s) belonging to ROUTE session #N, which carries the content components of Service_Y. This example depicts the delivery of the SLT, as Low Level Signaling, via UDP/IP encapsulation and carried as a Link Layer packet over PLP#0 (pre-configured as the most robust PLP).

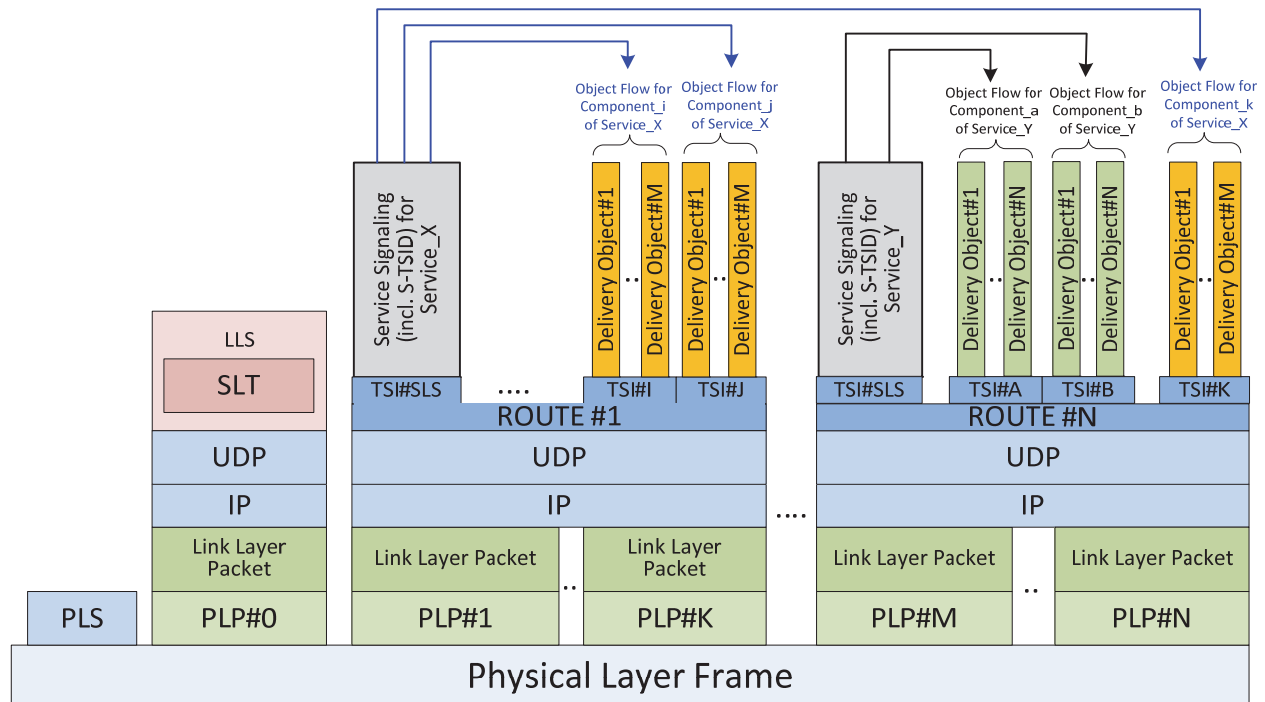


Figure B.1.1 ATSC 3.0 Hierarchical Signaling Architecture

B.2 FAST SERVICE SCAN

Fast Service Scan process is described below. ATSC 3.0 receivers can use the SLT to do the Fast Service Scan.

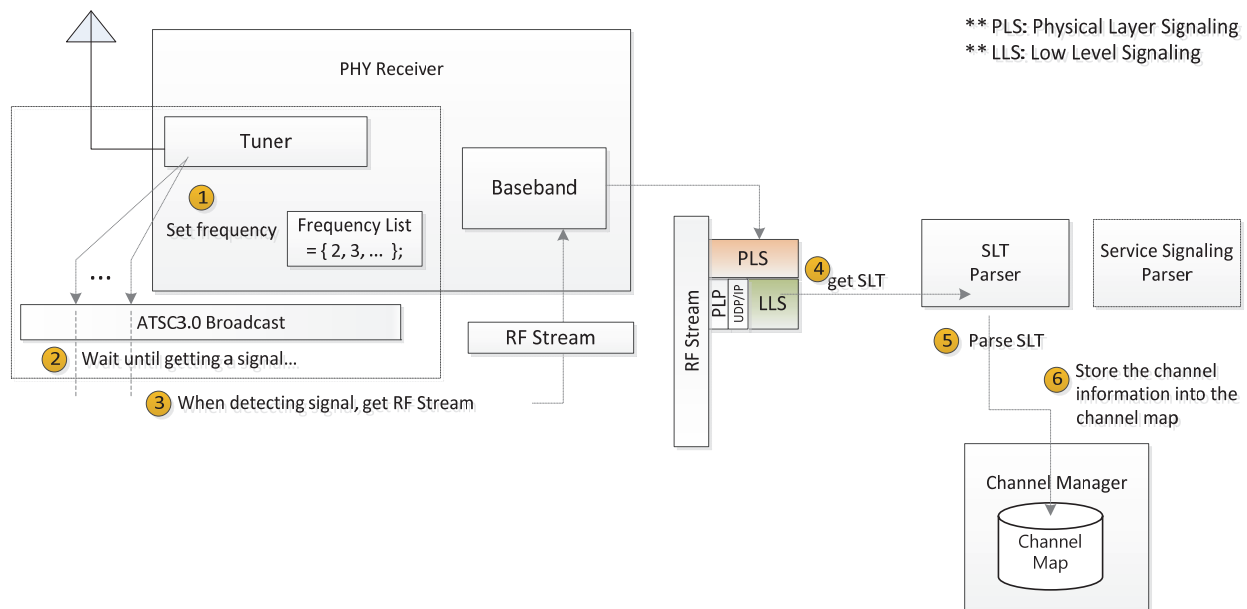


Figure B.2.1 Fast Service Scan Signaling Flow

The Fast Service Scan process is described here.

- 1) Tuner in receiver will step through frequencies using a predefined frequency list.
- 2) For each frequency, tuner determines if a signal is present.
- 3) When detecting a signal at a frequency, the baseband processor will extract the SLT and pass it to the middleware module which manages any type of data (e.g. signaling, audio/video/cc or app-based enhancement) and controls data to the appropriate parser and internal cache.
- 4) The middleware module will pass the SLT to the SLT parser.
- 5) The SLT parser parses the SLT and extracts the information which is essential for making a channel map (e.g. service id, short service name, broadcast SLS signaling information and hidden in the map).
- 6) Information is stored into the channel map.

B.3 FULL SERVICE SCAN

If receivers do a full scan with service signaling (USBD or USD) for each service, they can store more abundant information. For example, a longer service name can be acquired from the USD, and it can be stored in the channel map by matching the `service_id` values in the SLT and the USD.

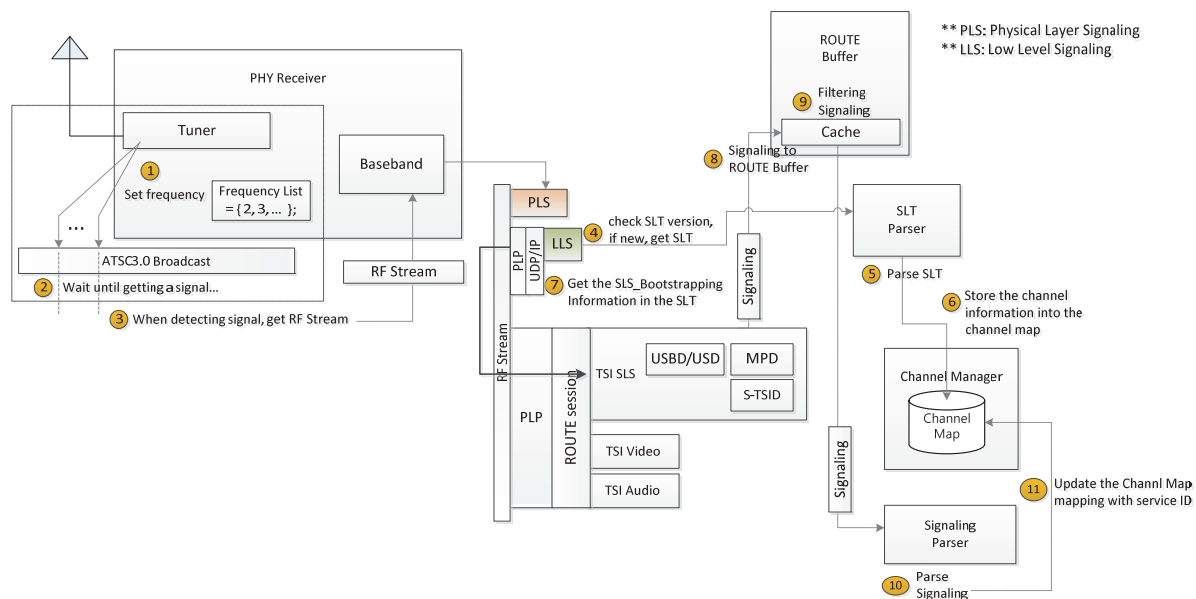


Figure B.3.1 Full-Service Scan Signaling Flow

The steps for a full service scan are described here:

- 1) Tuner in receiver will step through frequencies using the pre-defined frequency list.
- 2) For each frequency, tuner will wait until it gets a signal.
- 3) When detecting a signal from a frequency, baseband processor will extract the SLT and pass it to the middleware module.
- 4) Receivers should check the SLT_version is new or not. It is best to parse it even if it has the same version number as on the last scan. The version number could have wrapped around and by chance be the same number as it was before. If the version is new, the middleware module can gather SLT and pass the SLT to the SLT parser.
- 5) SLT parser will parse the data, and extract the information
- 6) Information will be stored into the channel map.
- 7) Receiver gets the SLS Bootstrapping information from the SLT.
- 8) Receiver passes the SLS Bootstrapping information to the ROUTE client.
- 9) Receiver uses the signaling filtering scheme to extract the USD from the SLS and store it.
- 10) USD is parsed by the signaling parser. (It is best to parse it even if it has the same version number as on the last scan. The version number could have wrapped around and by chance be the same number as it was before.)
- 11) Receivers can update the channel map by mapping with the service_id.

B.4 SERVICE ACQUISITION IN THE PURE BROADCAST (ONE ROUTE SESSION)

When video and audio segments are delivered via pure broadcast with one ROUTE session, the service signaling will be structured like below.

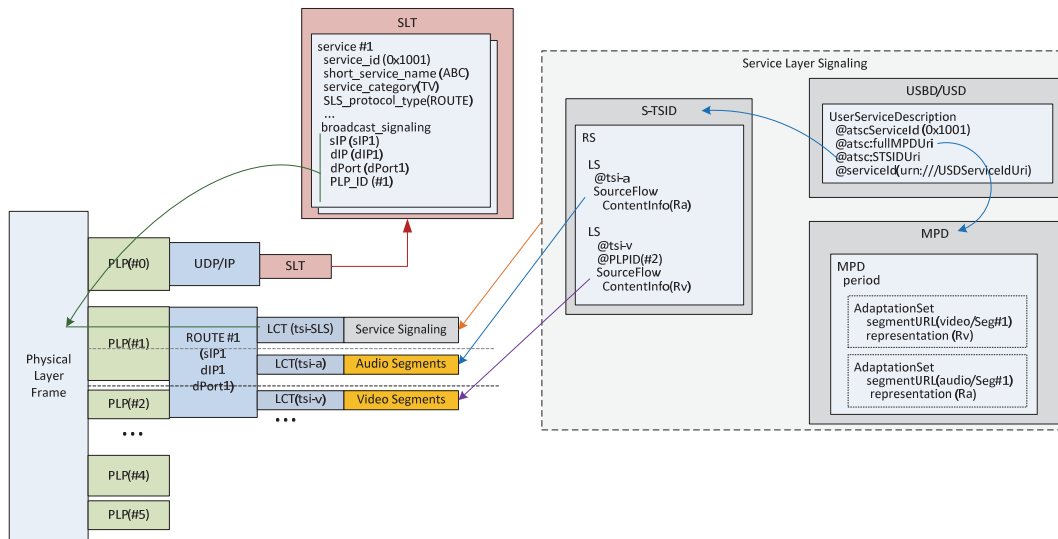


Figure B.4.1 Service acquisition in the pure broadcast (One ROUTE session)

- 1) USD, S-TSID and MPD will be acquired together and should be parsed. All tables will be needed for service acquisition.
- 2) Select which Representations to present. In this case, S-TSID should be checked to determine which Representations are delivered via broadcast
- 3) Receivers will send the information from the signaling (USD, S-TSID and MPD) to the segment acquisition module providing the user preference with them. For example, user prefers the Spanish audio language over the English audio language.
- 4) The segment acquisition module will make a decision if it can retrieve the component via broadcast stream using the information described in the USD. Using USD, the segment acquisition module can know where to get them. When a DASH Client requests a Segment from an internal proxy server, the internal proxy server needs to know whether to request it from a remote broadband server or to wait for it to appear in the broadcast stream (if it is not already there). The USD describes unicast “base patterns” and multicast “base patterns” in the `deliveryMethod` element. Then the proxy server can check whether a unicast base pattern or a multicast base pattern is a substring of the URL presented by the DASH player and act accordingly.
- 5) In pure broadcast case, receivers can know where to get components without any `deliveryMethod` element in the USD.

B.5 SERVICE ACQUISITION IN THE PURE BROADCAST (MULTIPLE ROUTE SESSION)

One service can be composed of multiple ROUTE sessions. In this case, the S-TSID will contain the additional ROUTE session information to allow access to all the Representations. However, this additional information may be optional to render the service.

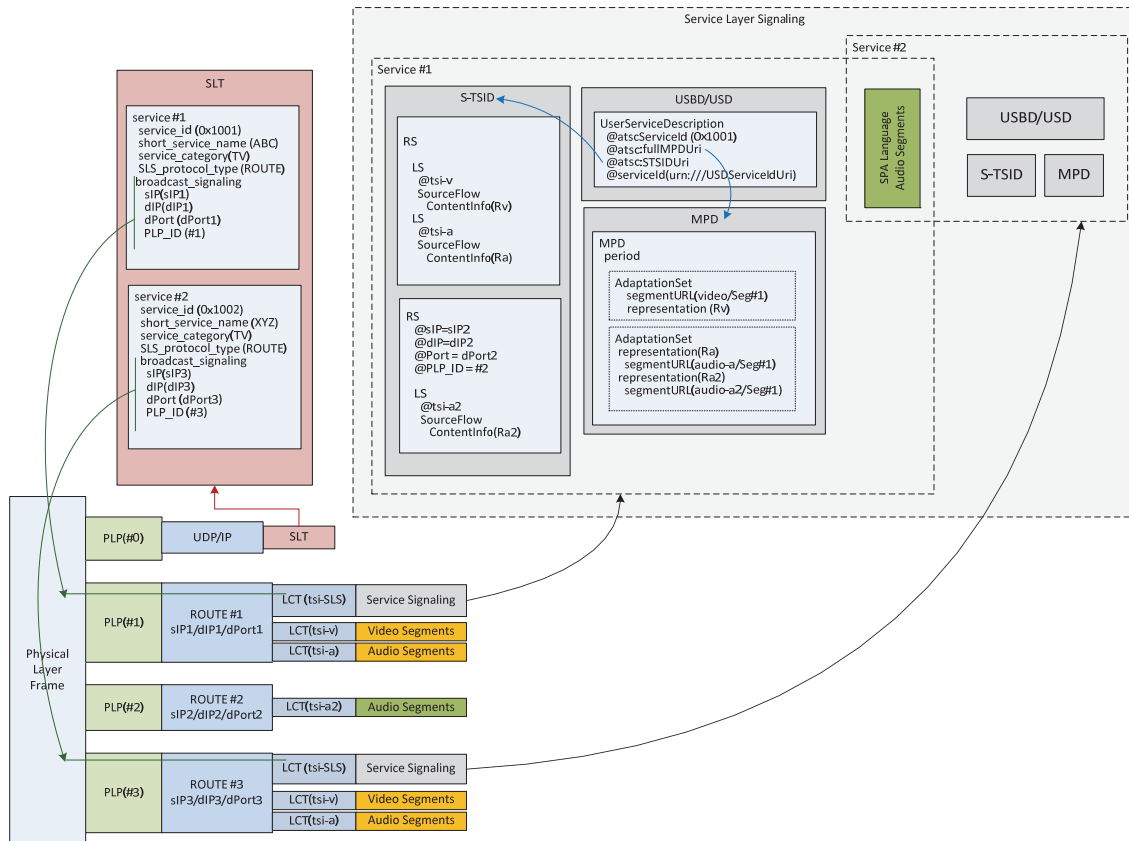


Figure B.5.1 Service acquisition in the pure broadcast (Multiple ROUTE session).

B.6 ESG BOOTSTRAPPING VIA BROADBAND

ESG bootstrapping via broadband will be signaled in SLT. In this example, all ESG data will be delivered via broadband. Therefore, the ESG broadcast bootstrapping information will be replaced with the ESG broadband bootstrapping information – the attribute `@urlType` of `inetLoc` element will indicate if the type of URL is ESG or other. The details of the query mechanism to acquire the ESG data via broadband are outside the scope of this document.

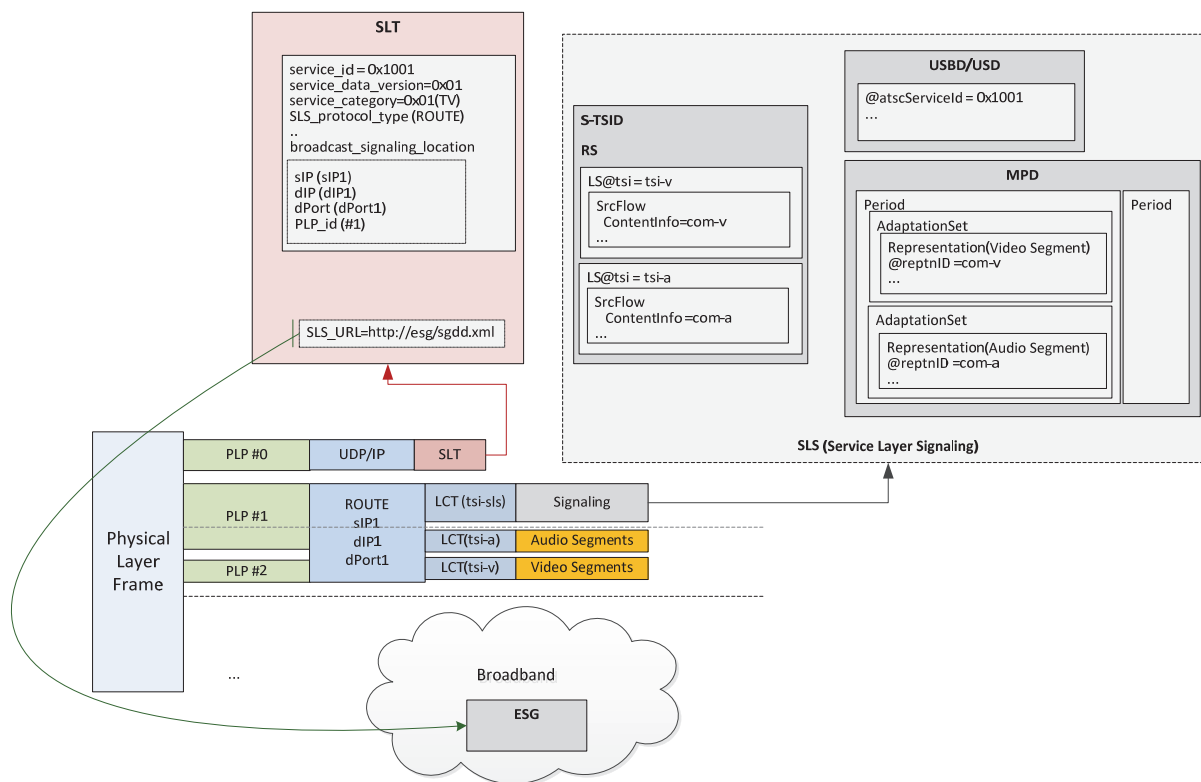


Figure B.6.1 ESG Bootstrapping via broadband

B.7 HYBRID (MULTIPLE AUDIO LANGUAGE)

When two or more audio components in different languages are delivered via different delivery paths, one via broadcast and another via broadband, the S-TSID describes all the broadcast components so that the ROUTE client can retrieve the desired components. Moreover, the USD contains URL patterns for broadband and URL patterns for broadcast, so that when a DASH client issues a request for a Segment, the receiver middleware can describe which Segments will be delivered through which path. The middleware will then know which Segments to request from a remote broadband server, and which ones to look for in the broadcast.

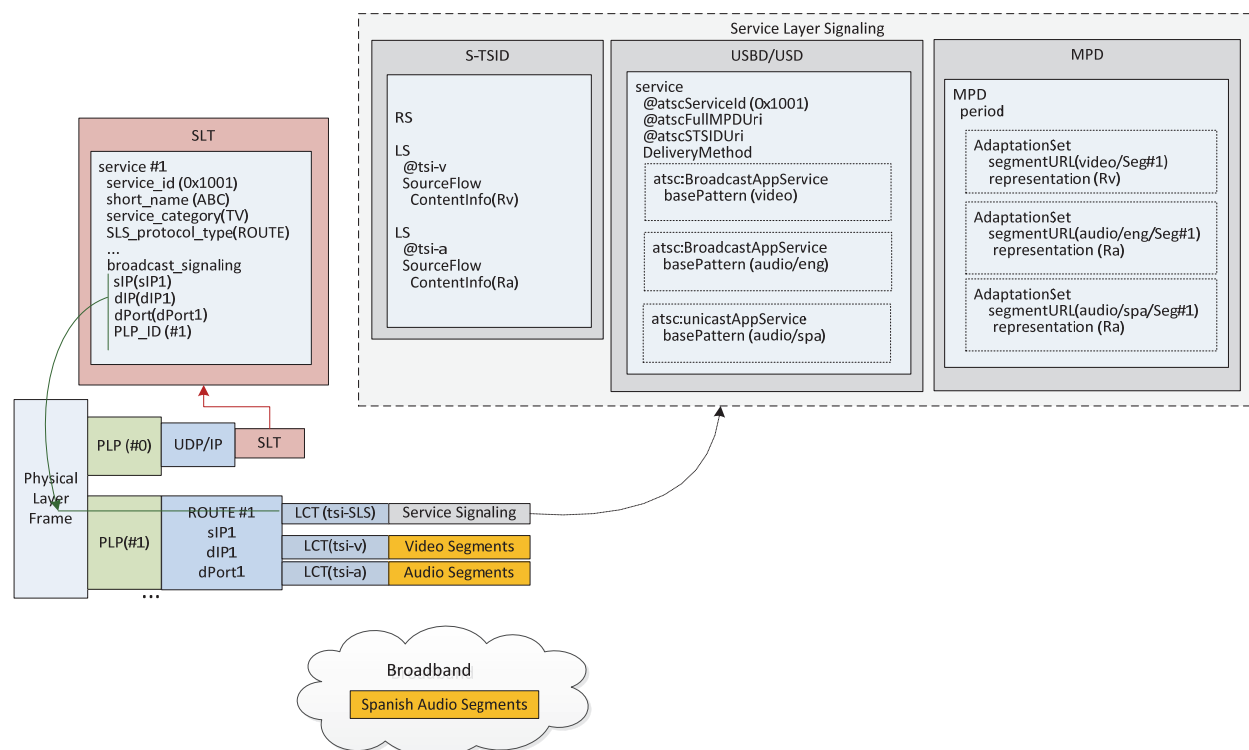


Figure B.7.1 Hybrid (Multiple Audio Language)

B.8 HANDOFF (BROADCAST TO BROADBAND, AND BACK)

Receivers can hand off reception from broadcast to broadband and back using the signaling described in the USD. The USD describes which components will be delivered via broadcast or broadband. The receiver middleware can retrieve the components from broadcast when that is possible or from broadband if broadcast reception is lost.

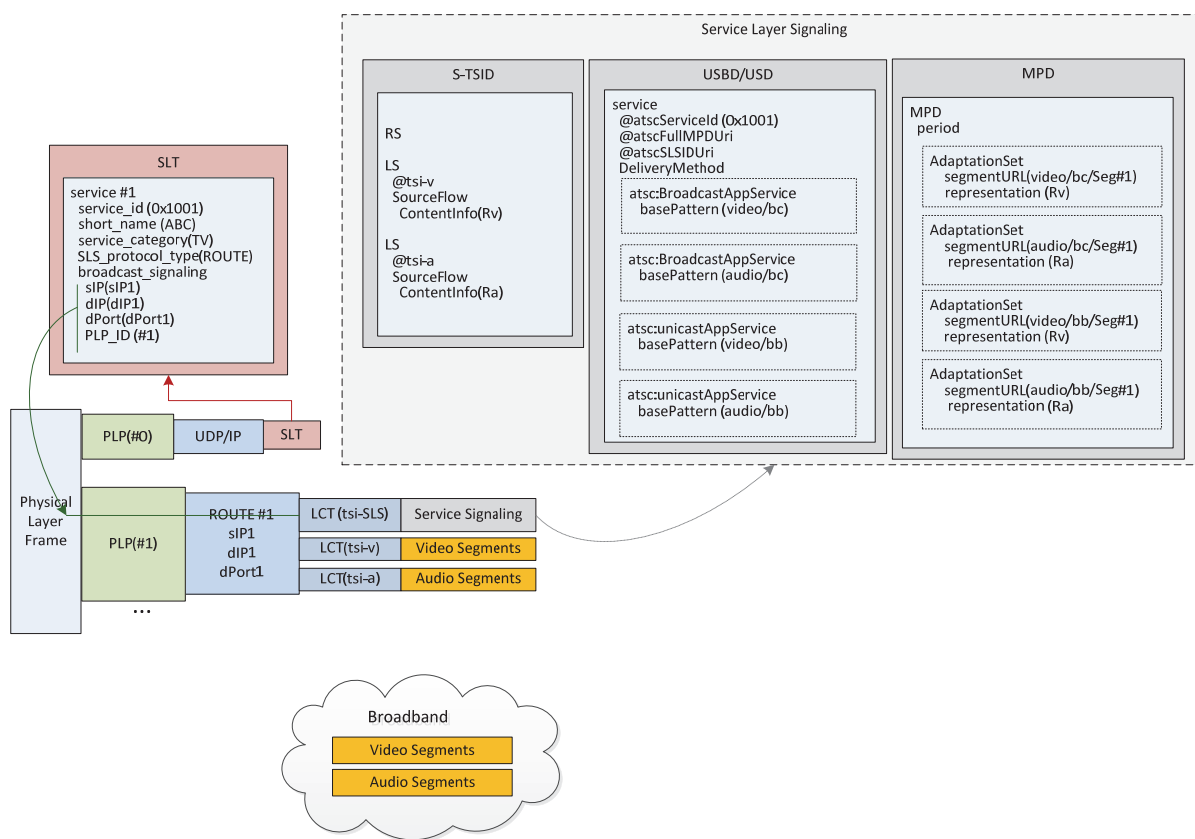


Figure B.8.1 Handoff (broadcast to broadband, and back)

B.9 SCALABLE CODING (CAPABILITY IN THE USD)

Receivers can do scalable coding using one service.

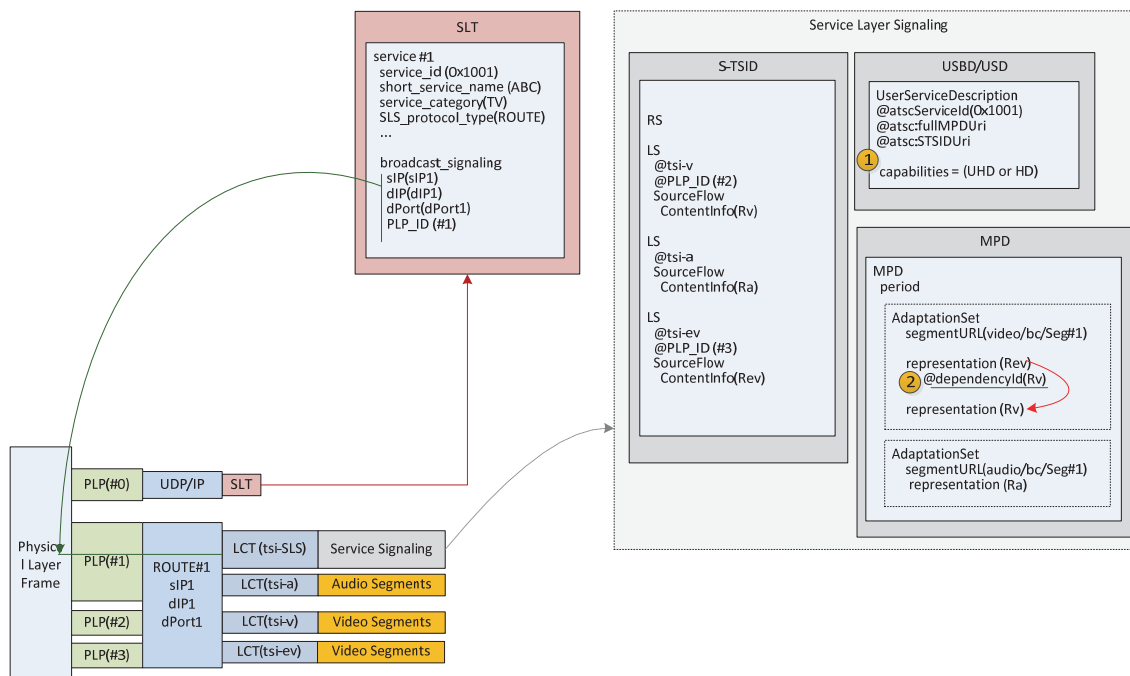


Figure B.9.1 Scalable coding (capability in USD)

- 1) The USD includes all capabilities that are essential to render the service. In this example, the video resolution is the essential capability to decode the video, so the capability in the USD will have the value as 'HD or UHD' (as well as capabilities for other components, such as audio or closed captions or perhaps applications). It means that this program will serve the HD or UHD service now.
- 2) Receivers can know which component will be presented to render UHD service or HD service by using MPD.

B.10 SLT DELIVERY PATH

The PLP carrying the SLT can be used to deliver service components as well.

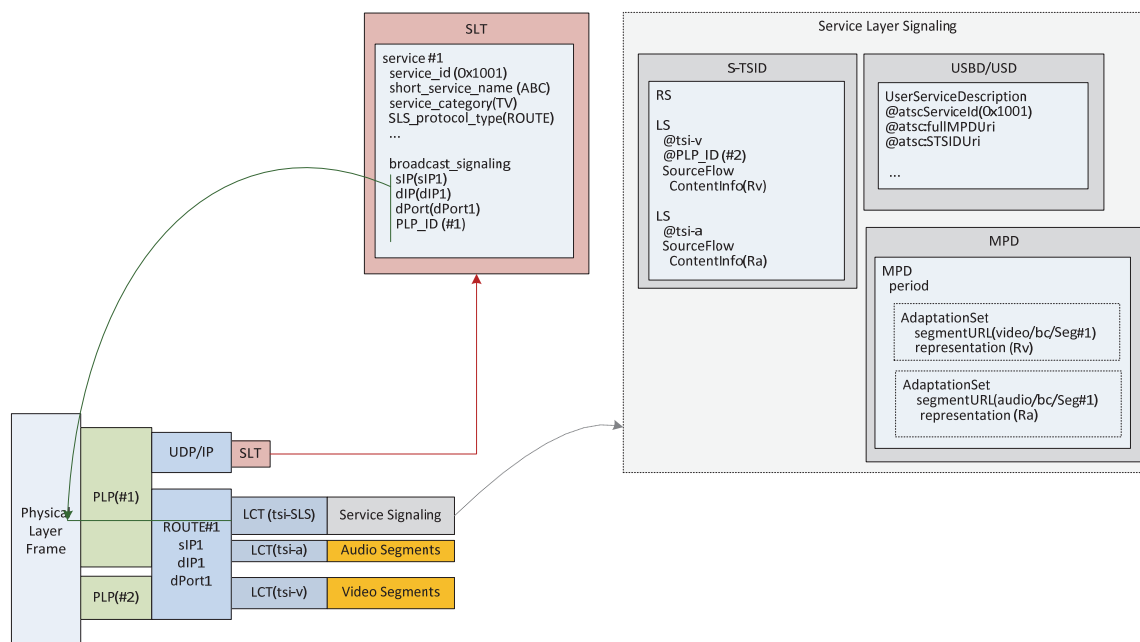


Figure B.10.1 SLT Delivery Path

The PLP carrying the SLT can be used to deliver service components as well. In this example, the `Service@sIsPId` in SLT can be omitted because SLS is delivered via the same PLP which delivers SLT.

B.11 MULTIPLE SLT IN A BROADCAST STREAM

Multiple SLTs can be present in one broadcast stream.

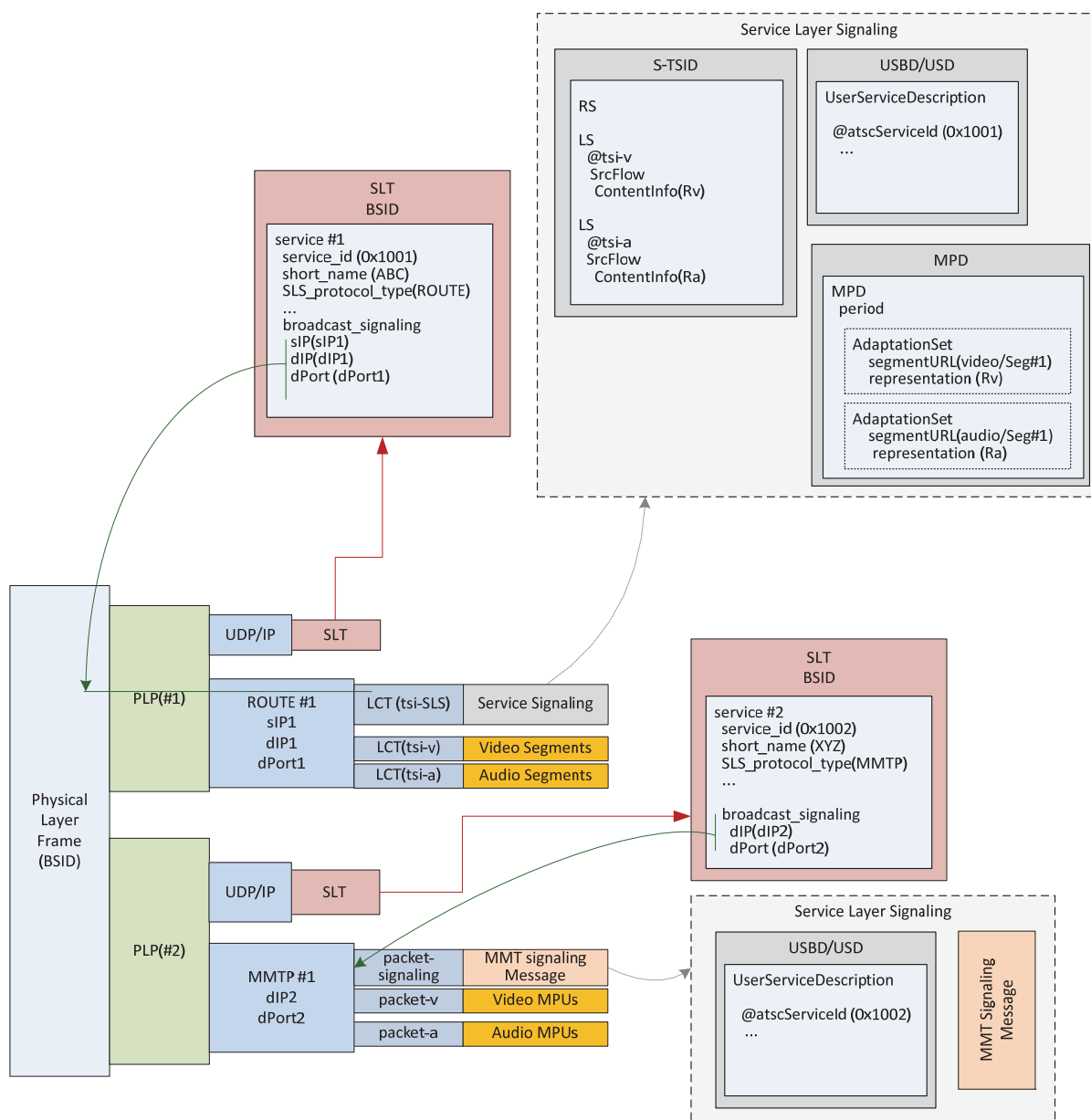


Figure B.11.1 Multiple SLTs

- 1) Multiple SLTs can be present in one broadcast stream.

Annex C: Filtering for Signaling Fragments

To enable ATSC 3.0 receiver quick filtering of target signaling fragment, the LCT TOI field shall be split into three parts:

- **Fragment Type** — identifies the type of signaling fragment.
- **Fragment Type Extension** — identifies the sub-type of the fragment. One example use-case to allocate sub-type is to indicate types of fragments in bit-map format that are contained in the object to filter out the individual fragment in the case of carrying multiple fragments. Another use-case is to indicate instance identifier when multiple instances of signaling fragment with same Fragment Type are delivered. e.g. multiple MPDs near program boundaries.
- **Version** — identifies the version of the object identified by Fragment Type and Fragment Type Extension part.

Bit assignment for each part shall be as defined in Figure C.1.

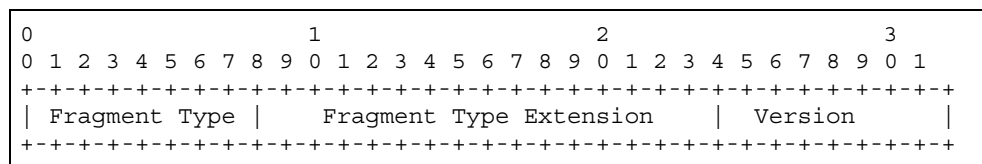


Figure C.1 Example for TOI bit assignment

Fragment Type – The value to be assigned to identify each type of Service Layer Signaling fragment which this object is carrying. The value for each type of fragment shall be as defined in Table B.11.1.

Table B.11.1 Fragment Type Values

Value	Fragment Type
0x00	Bundled
0x01	USBD/USD
0x02	S-TSID
0x03	MPD
≥ 0x04	Reserved

Fragment Type Extension – When the object contains multiple fragments, a bit map indicating which fragments are contained in it. When the object contains a single fragment, the value is derived from the identifier of Service Signaling fragment. The value for each type of fragment shall be as defined in Table B.11.2.

Table B.11.2 Fragment Type Extension Values

Fragment Type Value	Fragment Type Extension Value		Description
0x00	Value generated by OR operation applied on the following values	'0000000000000001'	USB/USD is contained in this bundle
		'0000000000000010'	S-TSID is contained in this bundle
		'0000000000000100'	MPD is contained in this bundle
0x01-0x03	16-bits hashed value derived from the url of Service Layer Signaling fragment		To enable the client to filter the fragment that has instance url in question before assembling LCT packets
≥ 0x04	Reserved		

Version – The version number of the entire object. When the object contains a single fragment, this field shall contain the version number of that fragment. When the object contains a collection of fragments, this field shall contain a version number for the object, so that it is possible to identify when any fragment contained in the object has changed. The version number of the object shall increment by 1 modulo 2^8 each time any fragment in the object is changed.

Note that TOI=0 and TOI=1 are fixed assignment fields reserved for delivery of the EFDT and are not subject to EFDT filtering.

Annex D: Template-based Compression

D.1 DIFF AND PATCH OPERATION

An XML signaling fragment can be compressed not only using compression tools like gzip but also by alternative means such as the diff and patch tools. In the diff and patch process, an XML signaling template is pre-shared between sender and receivers. The process at the sender compares two XML files, the XML signaling template and the XML signaling instance, and produces an output of the difference between the two, referred to as Diff.

Diff is encapsulated in the element **metadataEnvelope** as an ordinary XML signaling instance. When Diff is generated by the sender, Diff is encapsulated in the element **update** then encapsulated in the **metadataEnvelope**. Diff is delivered to multiple receivers through the signaling channel. The receiver captures it and checks if content of element **metadataFragment** contains element **diffupdate**, if it does, the receiver recognizes it has to be handled in this compression mode.

The receiver looks for signaling template of attribute **metadataURI** - **SignalingTemplateID** with optional attribute **version** - **SignalingTemplateVersion** in cache stores pre-shared signaling templates. If not found, the receiver will try to GET the signaling template with url of **SignalingTemplateID**.

The receiver recovers signaling instance by applying delivered Diff on retrieved signaling template. The instantiated signaling fragment will have a pair of attribute **metadataURI** - **SignalingInstanceID** and attribute **version** - **SignalingInstanceVersion**. Only difference between updated part against template (e.g. element or attribute value added, changed or removed, etc.) needs to be transported rather than the complete file. It is obvious that it could gain much more efficiency by diff and patch operation rather than ordinary compression schemes if the differences are very small compared to the original complete fragment.

The metadata envelope and metadata fragment may be compressed using gzip as described in 3GPP-MBMS [1].

The following XML instance notation shows how Diff is encapsulated in the element **metadataEnvelope**.

```
<metadataEnvelope xmlns="urn:3gpp:metadata:2005:MBMS:envelope">
  <item metadataURI="SignalingInstanceID" version="SignalingInstanceVersion">
    <metadataFragment>
      <![CDATA[<diffupdate>
        <templateID>SignalingTemplateID</templateID>
        <templateVersion>SignalingTemplateVersion</templateVersion> - optional
        <update>Diff</update>
      </diffupdate>]]>
    </metadataFragment>
  </item>
</metadataEnvelope>
```

Figure D.1.1 illustrates the principles.

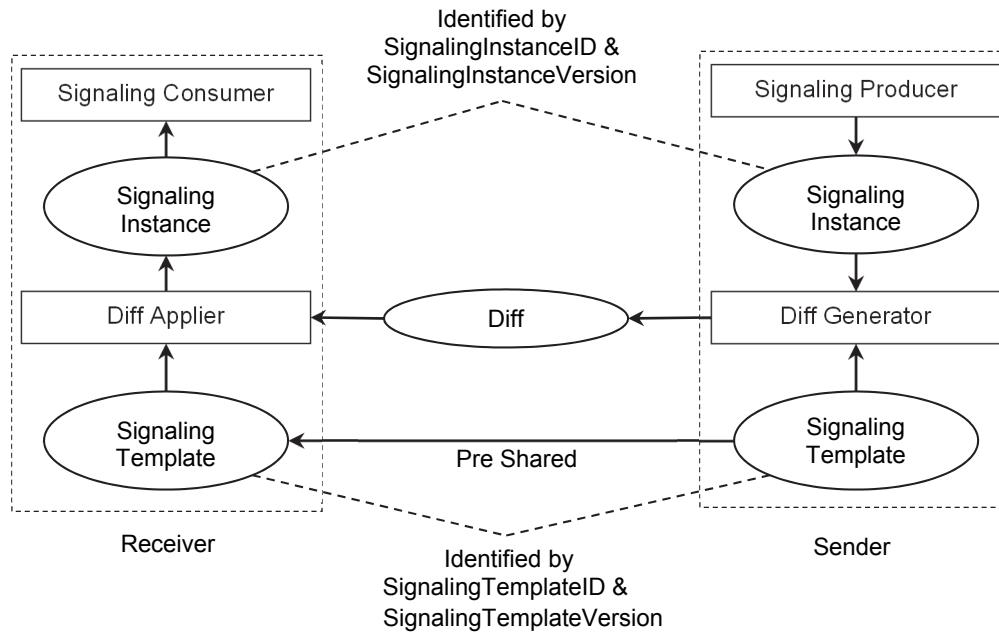


Figure D.1.1 Template based signaling fragment compression.

D.2 DIFF REPRESENTATION

The difference representation shall be an XML patch compliant to the XML Patch Operations framework as defined in RFC 5261 [18] and referenced in “MPD Patch” as adopted in DASH.

D.3 TEMPLATE PRE-SHARING

The signaling template fragment is identified by the url contained in the element **templateID**. The template itself is pre-shared by being fetched through HTTP(S) over broadband. When the receiver gets the diff message for the first time is cached for future use.

Annex E: Acquisition and Playback of Service Using MMTP

E.1 INTRODUCTION

A single MMT Package can be delivered over one or more MMTP sessions, each of which can be identified by a destination IP address and a destination UDP port number. In a broadcast system, a single RF channel can contain one or more logical channels, called PLPs (Physical Layer Pipe), and each PLP can carry one or more MMTP sessions. In addition, one MMTP session can be carried by more than one PLP.

In order to present a selected MMT Package, the receiver acquires MMTP packets carrying the selected MMT Package. MMT signaling messages provide information on MMTP sessions carrying the MMTP packets, which can include a destination IP address, a destination UDP port number and a PLP id for each MMTP session. Note that multiple MMT Packages can be delivered by a single MMTP session and that multiple MMT Packages in the same service can be delivered simultaneously. Such MMT Packages do not overlap in their presentation times.

E.2 MAPPING BETWEEN THE PHYSICAL LAYER AND MMTP SESSIONS

A single RF channel may contain multiple PLPs and each PLP can carry one or more services. Furthermore, each service can be delivered over multiple MMTP sessions. Figure E.2.1 shows an example of an RF channel consisting of two PLPs carrying three MMTP sessions. Here a single RF channel (RF channel 1) carries two PLPs, namely PLP1 and PLP2. In PLP1, two MMTP sessions are multiplexed while there is only one MMTP session in PLP2.

In order to tune-in to a selected service, the receiver must know the location of MMTP sessions carrying the MMT Package associated with the selected service. The location of the MMTP sessions can be represented by a combination of an identifier of a broadcast stream, an identifier of a PLP, a destination IP address and a destination UDP port number all of which can be obtained from the SLT and MMT signaling messages.

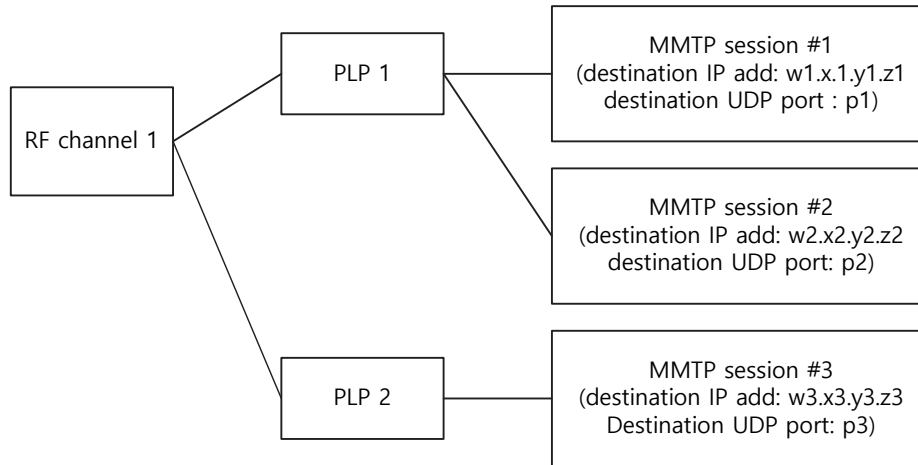


Figure E.2.1 A PHY Channel Consisting of Two PLPs

E.3 SERVICE ACQUISITION

This section describes a service acquisition process under the assumption that all of the service components are delivered in a single RF channel by a single MMTP session. During the channel scan, the SLS Bootstrapping information for the services carried by MMTP sessions can be acquired from the SLT. The USBID for the services can be obtained from the MMTP sessions signaled by the SLT.

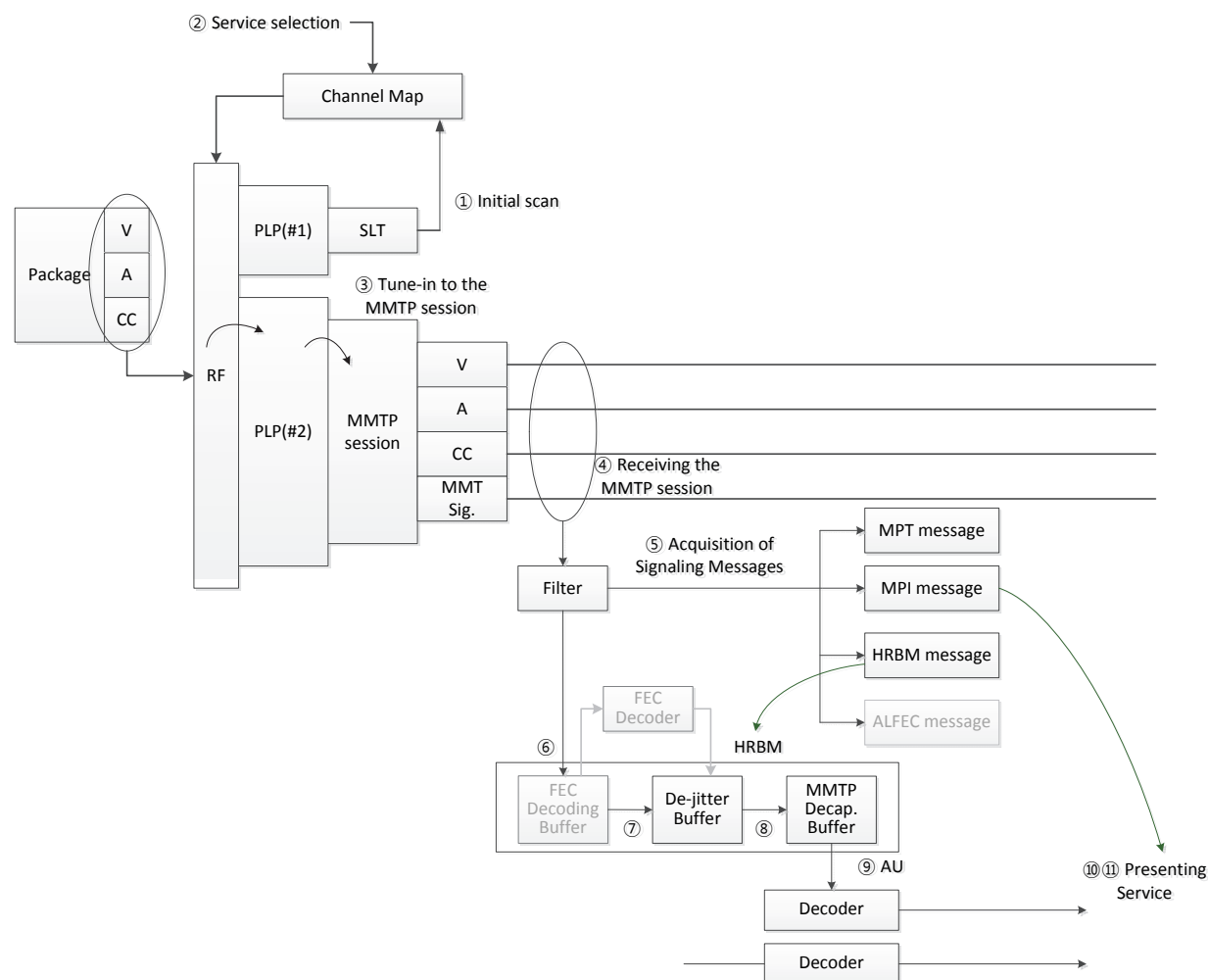


Figure E.3.1 MPU broadcast streaming channel change.

The steps 1-11 for the service acquisition shown in Figure E.3.1 above are:

- 1) The client acquires a Service List Table (SLT) containing information for rapid channel scan and Service Signaling messages containing service layer information including the MMT_package_id of a service and the location of the MPT message for the corresponding the MMT_package_id.
- 2) The User selects a Service and its MMT_package_id are identified.
- 3) Service selection is preceded by an RF channel and the PLP selection using information stored during the channel scan. The MMTP session carrying the MPT message associated for the selected service is acquired.
- 4) In the referenced MMTP session for the selected channel, MMTP packets containing various content components and signaling messages are obtained.
- 5) The receiver searches for MMTP packets carrying an MMT signaling message by looking at the type field of MMTP packet headers to locate MMTP packets containing signaling messages. The MP table extracted from the MPT message is processed to get the list of MMT assets (ATSC 3.0 content components) comprising the selected Service corresponding to the MMT_package_id. The MP table also provides other necessary

information related to each asset such as `packet_id`, `MMT_general_location_info`, `MPU_timestamp_descriptor`, etc. Other MMT signaling messages, including MPI, HRBM and AL_FEC signaling messages are processed if necessary.

- 6) From the series of MMTP packets received, MMTP packets with `packet_ids` corresponding to each content component are selected (filtered) and stored in their corresponding FEC Decoding Buffers. MMTP packets with repair symbols corresponding to each content component are also received and stored separately.
- 7) MMTP packets received at the FEC Decoding Buffer are immediately copied into a corresponding De-jitter Buffer and the `packet_sequence_number` of each MMTP packet is checked to detect missing packets. If some packets are not received by the predefined time given by an AL_FEC message, then the AL-FEC code is applied to recover the missing packets, and the recovered packets are copied to the De-jitter Buffer immediately.
- 8) MMTP packets are buffered (delayed) at the De-jitter Buffer as instructed by HRBM parameters.
- 9) MMTP packets of MPUs are processed to allow Access Unites (AUs) of components to be decoded.
- 10) The first AU in an MPU is decoded by the appropriate decoder and presented at the time signaled in the `MPU_timestamp_descriptor()` (or MPI message if present). The timing information is provided by the server and embedded in the emission through an MMT Signaling message. The descriptor (or MPI message) instructs the associated decoder when the content being decoded is to be presented.
- 11) The next AU in the MPU is decoded and presented after the presentation time specified in the MPU's 'stts' box and 'ctts' box has passed after the presentation of the first AU. This step is repeated until the last AU of the MPU has been decoded and presented.

Annex F: Rating Region Table Requirements

F.1 INTRODUCTION

This annex normatively specifies the minimum requirements for the Rating Region Table (RRT) data structure. Details are expected to be provided as needed by regulatory or standards-making bodies within each region.

F.2 RRT REQUIREMENTS

The broadcast emission may include one or more RRTs, each corresponding to a particular identified value of Rating Region. RRTs shall be delivered encoded with XML instance documents. Each instance shall contain at least one, but not more than two, RRTs. The following specifications provide the general rules for construction of RRT instance documents.

RRTs shall be contained within the **RatingRegionTables** element, with the characteristics given in Table F.2.1.

The RRT shall be represented as an XML document containing a **RatingRegionTables** root element that conforms to the definitions in the XML schema that has namespace

<http://www.atsc.org/XMLSchemas/ATSC3/RRT/1.0/>

The definition of this schema is in a schema file accompanying this standard, as described in Section 3.6 above.

Table F.2.1 RatingRegionTables Element Structure

Element or Attribute		Use	Data Type	Description
RatingRegionTables		1		
RatingRegionTable	RatingRegionTable	1..2		One or two Rating Region Tables.
	RegionIdentifier	1		Information about the region
	@regionIdentifier	1	unsignedByte	Identifies the rating region described.
	RegionIdText	1..N	TextType	Human-readable string describing the rating region, e.g. "Canada." See Table F.2.2.
	Dimension	1..N		One or more elements, each describing one rating dimension in the rating region
	@dimensionLevels	1	unsignedByte	The number of levels for content advisory in this dimension. Shall not be zero.
	DimensionTitle	0..N	TextType	Human-readable string describing the dimension. See Table F.2.2.
	@dimensionGraduated	0..1	boolean	If the dimension describes ratings in a graduated scale, the value of dimensionGraduated shall be TRUE, otherwise the value of dimensionGraduated shall be FALSE. Default value (if not present) shall be FALSE. When ratings are defined to be on a graduated scale, higher rating values represent increasing levels of rated content within the dimension.
	Rating	1..N		Definition of each rating in the Dimension
	@ratingvalue	1	unsignedByte	The rating level value in integer form
	RatingValueAbbrev	1..N	TextType	Abbreviated human-readable string describing the rating value. See Table F.2.2.
	RatingValueString	1..N	TextType	Human-readable string describing the rating value. See Table F.2.2.

Table F.2.2 TextType Element Structure

Element or Attribute		Use	Data Type	Description
TextType		1	string	
	@lang	0..1	lang	The language of the string specified according to BCP 47 [24].

Annex G: Emergency Alert Signaling

G.1 EMERGENCY ALERT SYSTEM STRUCTURE

G.1.1 Overview of the System

With ATSC 3.0, the following types of emergency information can be delivered to TV receivers:

- Emergency Alert wake-up bits – allow a viewer to become aware of an emergency even when it is in standby mode
- Emergency Alert System (EAS) messages – normally broadcast today (2015) as “burned in” to the audio and video of a service.
- Extended Common Alerting Protocol (CAP) messages – XML documents based on version 1.2 of the CAP specification [32] .

Note: possible ATSC-defined extensions to CAP are under discussion.

- Emergency Alert Application (EAA) – A broadcaster application conforming to the ATSC 3.0 Runtime Environment [34] which can provide further information pertaining to the alert.
- Rich media files – content files that provide additional information about an emergency alert. These rich media files may be referenced from within the CAP message, or may be resources used by the EAA.
- Emergency-related programming – programming such as local news coverage that provides viewers with information about the ongoing emergency

More information about each of these is provided below.

Figure G.1.1 below illustrates the overall Emergency Alert System architecture.

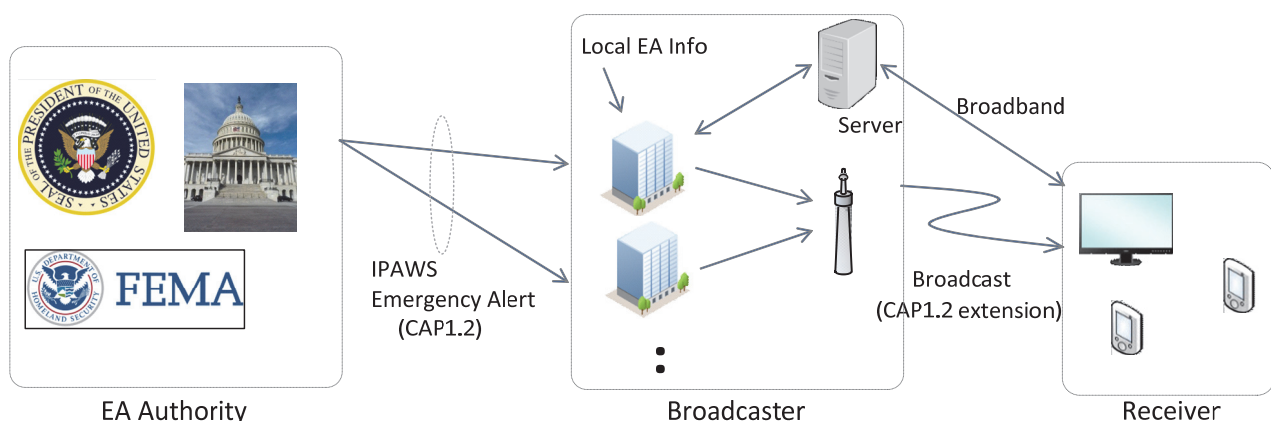


Figure G.1.1 High Level Architecture of an Emergency Alert System

- Alert Authorities can provide Emergency Alert System (EAS) messages to broadcasters via the Emergency Alert System network, and/or they can provide CAP messages to broadcasters via the IPAWS network. Broadcasters can convert CAP messages to EAS

messages for presentation to viewers when necessary. Multiple CAP messages from multiple sources can be merged into a single CAP message.

- Broadcasters may add or modify information in CAP messages before delivering them.
- Broadcasters may also add rich media references to CAP messages and broadcast the rich media files as well as the CAP messages.
- Broadcasters may distribute and launch an Emergency Alert Application coincident with the alert in order to provide viewers with further information related to the event, optionally including interactive elements. Such further information could include school closing information, modifications to bus routes, locations of emergency shelters, or recommendations for dealing with the emergency. An ATSC 3.0 receiver capable of supporting the ATSC Runtime Application Environment [34] supports automatically such a feature and shall care about preemptive execution of EAA .

G.1.2 Flow of Emergency Alert Signaling and Rich Media Contents

Figure G.1.2 below provides a more detailed view of the flow of emergency alert information.

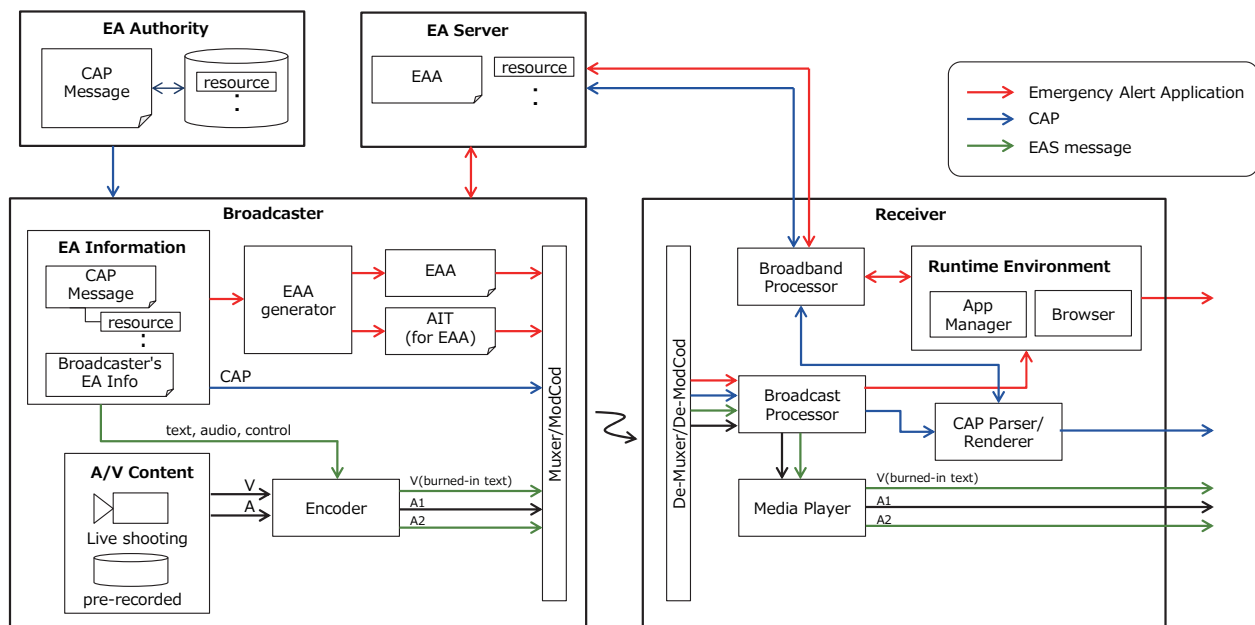


Figure G.1.2 Emergency Alert Data Flows

Note: descriptions of each emergency information item will be added:

EAS message (burned-in text, audio)

CAP

Emergency Alert Application

G.2 MEANING OF WAKE-UP BITS

Two wake-up bits are delivered in the physical layer. They are delivered in such a way that it is possible for a TV receiver to detect them even when the receiver is in “standby” mode, as specified in [3]. These bits allow receivers to detect that emergency information is available for display to

users and also to detect a “wake-up version” so that a wake-up that was dismissed by a user can be distinguished from a new wake-up (i.e., for a new, but concurrent emergency situation).

The two wake-up bits specified in [3] are:

- ea_wake_up_1 within bootstrap_symbol_1() as specified in Table 6.2 of [3]
- ea_wake_up_2 within bootstrap_symbol_2() as specified in Table 6.4 of [3]

These two bits are concatenated together to form a 2-bit value, with ea_wake_up_1 forming the least-significant bit and ea_wake_up_2 forming the most significant bit.

Table G.2.1 illustrates the meaning of the wake-up bits.

Table G.2.1 Meaning of Wake-up Bits

Value	Meaning
'00'	No emergency to wake up devices is currently signaled
'01'	Emergency to wake up devices - setting 1
'10'	Emergency to wake up devices - setting 2
'11'	Emergency to wake up devices - setting 3

When the wake-up bits value changes from 0 to 1, this indicates a wake-up call for an emergency. When the numerical setting number increments from 1 to 2, 2 to 3, 3 to 1, etc. this indicates a new wake-up call. When the value of the bits return to 0, it means an emergency wake-up is no longer being signaled.

Emergency-related signaling and content may be delivered without turning on the wake-up bits. However, it is recommended that whenever the wake-up bits are set, some aspect of a new emergency message communication should be made available. This may include available rich media files, a burned-in video/audio message, emergency-related programming, and/or an extended CAP message.

G.3 EMERGENCY ALERT SYSTEM OPERATION

In the alerting system currently deployed in the U.S., each EAS message that is burned into the audio/video programming starts with an audio tone to announce its presence. This is followed by text overlaid on the video, as a static or scrolled banner, and by audio either in a secondary audio channel or replacing the program audio in the audio track. The total duration of an EAS message is typically less than two minutes.

G.4 COMMON ALERTING PROTOCOL (CAP)

G.4.1 CAP Message Delivery

When a CAP message is delivered, it shall be delivered in one or more Lower Level Signaling (LLS) channels in the broadcast stream, with the delivery mechanism specified in Section 6.5 of the present document. If a broadcast stream is shared by multiple broadcasters and contains multiple LLS channels, each LLS channel may contain a CAP message, and CAP messages in different channels may be the same or may be different. Each CAP message contains one or more info elements, each of which describes an emergency event.

Note: discussions are underway regarding the possible delivery of emergency information via audio/video watermarks.

If information is to be delivered about multiple emergency events at the same time, the information shall be merged into a single CAP message.

For full information on the elements and attributes in the CAP message, see the OASIS CAP specification [32].

G.4.2 Rich Media Content

Rich Media content may be signaled via resource elements in info elements of a CAP message, and when present they may be delivered via a broadcast EAS service, signaled in the SLT, and/or they may be delivered via broadband.

When a rich media resource is delivered via broadband, the `uri` element of its resource element shall be a URL referencing a file on a remote server. When a rich media resource is delivered via broadcast, the `uri` element for the resource shall begin with `http://localhost/`. The URL shall match the `content-Location` attribute of the corresponding `File` element in the EFDT in the LCT [20] channel delivering the file, or the Entity header of the file.

Note: a proposal to extend CAP to add a service ID parameter is being considered.

As with other services, the SLT points to SLS signaling for the service where the content can be found.

When a broadcaster transmits an app to handle presentation of CAP message information and the rich media resources signaled in a CAP message, it can be done via an app-based enhancement that is signaled to be a part of every service in the broadcast stream. For details, see A/337, Application Signaling and Triggers [35] and A/344, Application Runtime Environment [34]. The ROUTE session(s) that carry the rich media shall be included in the S-TSID for each service in the broadcast stream.

— End of Document —