

#### QUALCOMM Incorporated

5775 Morehouse Drive San Diego, CA 92121-1714 858-587-1121 www.qualcomm.com

Februrary 27, 2017

#### Via Email and Federal Express

Attn: Daro Bruno ATSC 1776 K STREET NW 8th FLOOR WASHINGTON, DC 20006-2304

Re: ATSC Candidate Standard: Signaling, Delivery, Synchronization, and Error Protection (A/331) (S33-174r5)

Dear Sir,

Enclosed please find Qualcomm Incorporated's IPR Disclosure Statement and Licensing Declaration form for ATSC Candidate Standard Signaling, Delivery, Synchronization, and Error Protection (A/331) (S33-174r5).

Per Sections 1 and 3 of the ATSC Patent Policy attached are copies of Qualcomm Incorporated's Patent Statement and Licensing Declaration form sent to DASH IF for the following normative reference as cited in the above referenced ATSC Specification Candidate Standard:

DASH IF: "Guidelines for Implementation: DASH-IF Interoperability Points for ATSC 3.0, Version 0.90," DASH Interoperability Forum, August 3, 2016. (work in progress) (normatively referenced in ATSC Candidate Standard Signaling, Delivery, Synchronization, and Error Protection (A/331) (S33-174r5).

Please confirm receipt of this declaration at your earliest convenience via email to ip.disclosure@qualcomm.com. If you have any questions or concerns, please contact me directly at 858-587-1121. Thank you for your time and assistance with this matter.

Sincerely

Thomas R. Rouse VP & Legal Counsel Standards Policy and Compliance Qualcomm Incorporated

TR/go

# **ATSC**

### Disclosure Statement and Licensing Declaration

This declaration does not represent an implied license grant

Please return to:

President ATSC 1750 K Street NW Suite 1200 Washington, DC 20006 202-872-9160 – Office 202-872-9161 - Fax

Discloser:

Name of Participant:

**QUALCOMM** Incorporated

Contact Information for

Participant's Representative:

Thomas R. Rouse, VP & Legal Counsel,

Standards Policy and Compliance

Name of Representative:

Thomas R. Rouse, VP & Legal Counsel,

Standards Policy and Compliance

Address:

5775 Morehouse Drive, San Diego, CA 92121

Tel. No.:

858-587-1121

Fax:

858-658-2503

Email:

ip.disclosure@qualcomm.com

URL (optional):

www.qualcomm.com

Identification of ATSC Specification Document relevant to the Disclosure Statement:

Number:

S33-174r5

Title:

ATSC Candidate Standard: Signaling, Delivery, Synchronization, and Error Protection

(A/331)

Licensing Declaration
f the Discloser is the holder of a patent and/or pending patent application that is the subject of an Essential Claim, i.e., the use of which it believes would be required to implement the identified ATSC Specification Document, the Discloser hereby declares, in accordance with the Statement on ATSC Patent Policy (see ATSC website), that (check one box only).
a. The Discloser agrees to make a license to the Essential Claim available without compensation upon request to all applicants for the purpose of implementing the Specification Document, which license may be conditioned upon license reciprocity with respect to the same Specification Document. Negotiations are left to the parties concerned and are performed outside of ATSC.  Mark here if the Discloser's willingness to license is conditioned on reciprocity for the above ATSC Standard.
b. The Discloser agrees to make a license to the Essential Claim available upon request under reasonable and nondiscriminatory terms and conditions to all applicants for the purpose of implementing the Specification Document, which conditions may include license reciprocity with respect to the same Specification Document. Negotiations are left to the parties concerned and are performed outside of ATSC.  Mark here if the Discloser's willingness to license is conditioned on reciprocity for the above ATSC Standard.
c. The Discloser will not make a license to the Essential Claim under reasonable and nondiscriminatory terms and conditions to applicants for the purpose of implementing the Specification Document.
Without Compensation: The phrase "without compensation" does not mean that the Discloser is waiving all of its rights with respect to each patent or patent application that is the subject matter of the Essential Claim. Rather, "without compensation" refers to the issue of monetary compensation; <i>i.e.</i> , that the Discloser will not seek any monetary compensation as part of the licensing arrangement (whether such compensation is called a royalty, a one-time licensing fee, etc.). However, while the Discloser in this situation is committing to not charging any monetary amount, the Discloser is still entitled to require that the implementer of the ATSC Specification Document sign a license agreement that contains other reasonable terms and conditions such as those relating to governing law, field of use, reciprocity, warranties, etc.
Reciprocity: As used herein, the word "reciprocity" means that the Discloser shall only be required to license any prospective licensee under the stated terms (without compensation or under reasonable and nondiscriminatory terms and conditions) if such prospective licensee will commit to license its patent(s) or patent application(s) forming the subject matter of an Essential Claim under similar (without compensation or under reasonable and nondiscriminatory) terms and conditions.

In accordance with Sections 3 and 4 of the ATSC Patent Policy, if the Discloser has made a licensing declaration under paragraph (c) above, please provide the following information.

- an identification of each patent or patent application that is the subject matter of the Essential Claim;
- an identification of specific section(s) or text of the Specification Document that are relevant to the Essential Claim; and
- an identification of each patent or patent application claim covering the Specification Document.

This information is informal in nature and does not constitute a legal opinion, but should be based on "good faith and belief" of the Discloser. Information provided in this section does not represent a formal "notice" that implementation of any resulting ATSC Standard or Recommended Practice would infringe any patent or patent application for the Essential Claim.

* 4	
Completed By:	
Name of Participant:	QUALCOMM Incorporated
Name of Representative:	Thomas R. Rouse
Title of Depresentative:	VD 9 Logal Councel Standards Policy 9 Compliance
Title of Representative:	VP & Legal Counsel, Standards Policy & Compliance
Signature:	
Place, Date:	San Diego, CA February 27, 2017



### **QUALCOMM Incorporated**

5775 Morchouse Drive San Diego, CA 92121-1714 (858) 658-4851 www.qualcomm.com

January 13, 2017

Via Email and FedEx

ATTN: Dash Industry Forum Executive Director DASH Industry Forum 3855 SW 153rd Drive Beaverton, OR 97003 dashindustry@live.com

Re:

Qualcomm Incorporated's Patent Statement and Licensing Declaration to Guidelines for Implementation: DASH-IF Interoperability Point for ATSC 3.0 dated January 13, 2017

Dear Sirs:

Attached please find QUALCOMM Incorporated's Patent Statement and Licensing Declaration of January 13, 2017 to Guidelines for Implementation: DASH-IF Interoperability Point for ATSC 3.0.

Please confirm receipt of this declaration at your earliest convenience via email to my attention at <a href="mailto:ip.disclosure@qualcomm.com">ip.disclosure@qualcomm.com</a>. If you have any questions or concerns, please contact me directly at 858-587-1121. Thank you for your assistance with this matter.

Sincerely,

Thomas R. Rouse

VP & Legal Counsel, Standards Policy & Compliance

Qualcomm Incorporated

TRR/jb

#### **EXHIBIT A**

## Patent Statement and Licensing Declaration Form for DASH Industry Forum Deliverable

This declaration does not represent an actual grant of a license

Name of DASH Industry Forum Deliverable: for ATSC 3.0	Suidelines for Implementation: DASH-IF Interoperability Point
Please return to DASH Industry Forum as inst Dash Industry Forum Executive Director 3855 SW 153rd Drive Beaverton, OR 97003 Fax: 1-503-644-6708 Email: dashindustry@live.com	ructed below:

ct for license application:
Thomas R. Rouse, VP & Legal Counsel, Standards Policy & Complianment
5775 Morehouse Dr.
San Diego, CA 92121
858-587-1121
858-845-4122
lp.disclosure@qualcomm.com

icensir	ng declaration:
which y	tent Holder believes that it holds granted and/or pending applications for patents, the use of would be required to implement a DASH Industry Forum Deliverable and hereby is, in accordance with the IPR Policy of the DASH Industry Forum, that (check one box only):
	1. The Patent Holder is prepared to grant a free of charge license to an unrestricted number of applicants on a worldwide, non-discriminatory basis and under other reasonable terms and conditions to make, use, and sell implementations of the above document.
	Negotiations are left to the parties concerned and are performed outside the DASH Industry Forum.
	Also mark here 🗆 if the Patent Holder's willingness to license is conditioned on reciprocity for the above
	document. Also mark here if the Patent Holder reserves the right to license on reasonable terms and conditions (but not free of charge) to applicants who are only willing to license their patent claims whose use would be required to implement the above document, on reasonable terms and conditions (but not free of charge).
Ø	2. The Patent Holder is prepared to grant a license to an unrestricted number of applicants on a worldwide, non-discriminatory basis and on reasonable terms and conditions to make, use and sell implementations of the above document.
	Negotiations are left to the parties concerned and are performed outside the DASH Industry Forum.
	Also mark here if the Patent Holder's willingness to license is conditioned on reciprocity for the above document.
	3. The Patent Holder is unwilling to grant licenses in accordance with provisions of either 1 or 2 above.
	In this case, the following information must be provided to the DASH Industry Forum, as part of this declaration:
	<ul> <li>granted patent number or patent application number (if pending);</li> <li>an indication of which portions of the above document are affected;</li> <li>a description of the patent claims covering the above document.</li> </ul>
respect Patent comper commi	charge: The words "free of charge" do not mean that the Patent Holder is waiving all of its rights with to the essential patent. Rather, "free of charge" refers to the issue of monetary compensation; <i>i.e.</i> , that the Holder will not seek any monetary compensation as part of the licensing arrangement (whether such is a scalled a royalty, a one-time licensing fee, etc.). However, while the Patent Holder in this situation is tting to not charging any monetary amount, the Patent Holder is still entitled to require that the implementer above document sign a license agreement that contains other reasonable terms and conditions such as those g to governing law, field of use, reciprocity, warranties, etc.
any pre	ocity: As used herein, the word "reciprocity" means that the Patent Holder shall only be required to license ospective licensee if such prospective licensee will commit to license its essential patent(s) or essential patent s) for implementation of the same above document free of charge or under reasonable terms and conditions.
other s	The word "Patent" means those claims contained in and identified by patents, utility models and similar statutory rights based on inventions (including applications for any of these) solely to the extent such claims are essential to the implementation of the same above document. Essential patents are patents ould be required to implement a specific DASH Industry Forum Deliverable.
Signat	ture: Holder Qualcomm Incorporated
L	fourtherized purson. Thomas R. Rouse

Title of authori	ized person
Signature	
Place Date	

VP & Legal Counsel, Standards Policy & Compliance
San Diege, California, USA, January 13, 2017

No.	Status [granted/pending]	Country	Granted Patent Number or Application Number (if pending)	Title
1				
2				
3				

NOTE: For option 3, the additional minimum information that shall also be provided is listed in the option 3 box above.